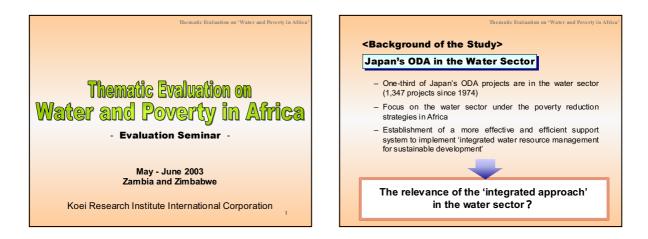
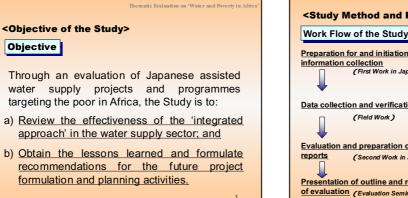
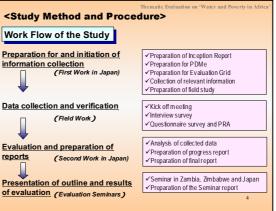
添付資料2: 現地セミナー配布資料





Thematic Evaluation on 'Water and Poverty in Afr



#### <Evaluation Framework>

Evaluation Question

#### Is the 'integrated approach' more effective?

In the Sub-Saharan countries, has the integrated approach been more effective to realize sustainable safe water supply systems for the poor population when compared with the traditional engineering oriented approach?

## <Evaluation Framework> Sub-Questions

(1) Sustainability of Safe Water Supply

How does an integrated approach need to be designed and implemented in order to contribute to the "realization of sustainable safe water supply" more efficiently and effectively?

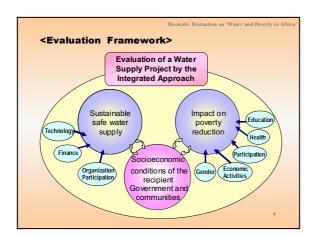
Thematic Evaluation on 'Water and Poverty in Afri

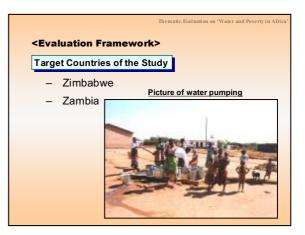
(2) Impact on Poverty Reduction

How does an integrated approach need to be designed and implemented in order to ensure that its impacts attain and enhance the overall goals such as improvement of living condition among poor families, poverty reduction, etc., regarding water supply projects as entry points of capacity building for community development?

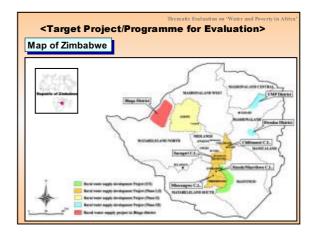
(3) Applicability of Integrated Approach

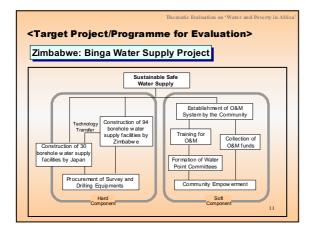
What are the required or desirable social and economic conditions of recipient country government and/or communities to ensure that an integrated approach will function effectively for the poor population of an African country?

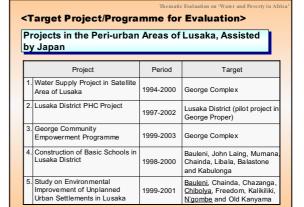


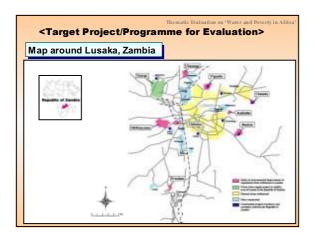


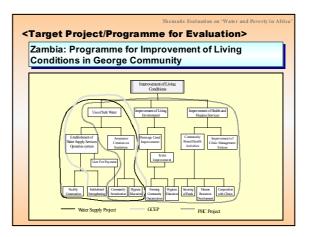
Thematic Evaluation on 'Water and Poverty in Afri							
<target program<="" project="" th=""><th>ime for E</th><th>valuation&gt;</th></target>	ime for E	valuation>					
Water Supply Projects in Zi	mbabwe,	Assisted by Japan					
Project	Period	Target Area					
Rural Water Supply Project (Phase 1)	1983-1985	5 districts in Midlands					
Rural Water Supply Project (Phase 2)	1988-1989	6 districts in Midlands					
Rural Water Supply Project (Phase 3)	1994-1995	2 districts in Mashonaland East					
Binga District Water Supply Project (phase 1)	1997-1998	Binga District in Matabeleland North					
Binga District Water Supply Project (phase 2)	1998-1999	Binga District in Matabeleland North					

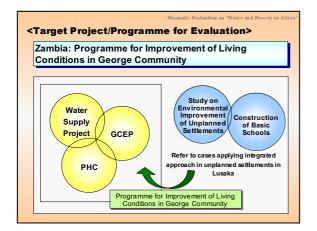


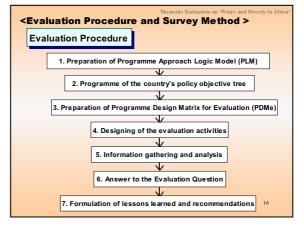






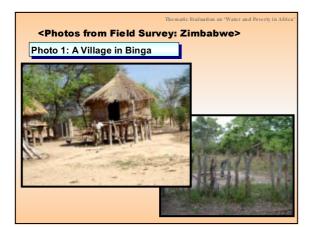






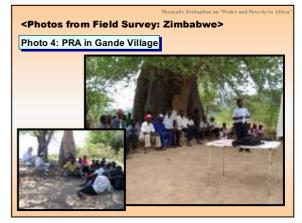
	Thematic Evaluation on 'Water and Poverty in Africa' <evaluation and="" method="" procedure="" survey=""> Zimbabwe: Binga Water Supply Project</evaluation>							
Γ	Survey Method Target							
1	Interview and Document Review	<ul> <li>Government ministries and agencies</li> <li>Organizations in Binga District</li> <li>Major donors and NGOs</li> </ul>						
2	Site Survey of Water Supply Facilities in Binga	10 out of 30 BHs constructed by Japan under the Project and community members around the facilities						
3	Questionnaire Survey in Sample Villages in Binga	Community members of 8 villages with BH construction under the Project (160 HHs) Community members of 2 villages without BH construction under the Project (40 HHs)						
4	PRA in Sample Villages in Binga	Community members and Water Point Committee members in Mucheni and Gande with BH construction under the Project						

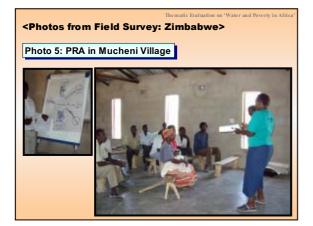
Conditions in George Community					
	Survey Method	Target			
1	Interview and Document Review	Government ministries and agencies     Implementing agencies of the projects     Major donors and NGOs			
2	Key Informant Interviews in Sample Communities	ABO/CBOs, Schools and Health Centres in George, Bauleni and Kalikiliki			
3	Questionnaire Surveyin Sample Communities	Community members in George, Bauleni and Kalikiliki (200 HHs)			
4	PRA in Sample Communities	Community members and Tap Leaders/Attendants in George and Bauleni			

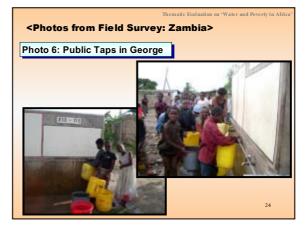


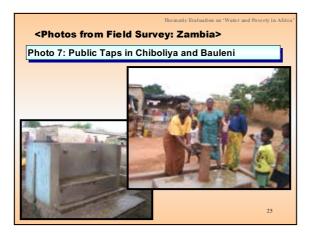




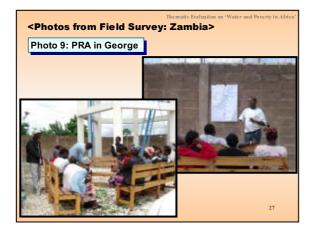


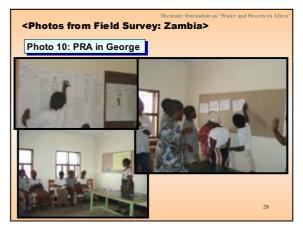












## < Overview of the Study Outcomes> I Zimbabwe

### Achievements

- Survey and drilling equipment was procured
- 30 BH water supply facilities with hand pumps were constructed as technology transfer

on on 'Water and Poverty in Afri

- Sensitization meetings were held for community leaders and members on CBM and water point committees were formed
- Access to safe water was improved at village level: 10.6% → 73.8% (sample villages)
- Water coverage rate in the target area remains low due to a number of BH breakdowns: 31.7% (1997)→ 29% (2002)

#### < Overview of the Study Outcomes>

#### Zimbabwe

Implementation Process

• During the phase 2 (1998-99) SCF/UK conducted community sensitization meetings and initiated formation of water point committees.

ematic Evaluation on 'Water and Poverty in Afric

- No follow up meetings were organized for the communities on O&M system.
- ZINWA has tried drilling at 34 locations, of which only 10 were successful.
- Despite Zimbabwe government's willingness to continue the Project, it faces technical and financial challenges.

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#### The matic Evaluation on "Water and Poverty in Africe < Overview of the Study Outcomes >

#### Zimbabwe

#### Sub-Question 1: Sustainability of Water Supply

- Some BHs were not fully utilized due to difficult pumping.
- · Some broken-down BHs were left unattended.
- None of the water point committees interviewed were collecting maintenance fee from the users.
- Little support had been given to the communities for establishment of CBM system after the project.

# Thematic Evaluation on "Water and Poverty in Africa < Overview of the Study Outcomes >

#### Sub-Question 2: Impact on Poverty Reduction

- Access to safe water improved at village level: 10.6% → 73.8% (sample villages)
- Occurrence of water-born diseases decreased among borehole users.
- Time for fetching water was reduced for some households.

## < Overview of the Study Outcomes >

#### Sub-Question 3: Applicability of Integrated Approach

Zimbabwe

- Currently, serious economic and social crisis (drought, inflation, unemployment, fuel shortage, etc.)
- Decentralization in progress
- Binga
- · Least developed district in Zimbabwe
- · High poverty rate
- Harsh natural conditions
- Forced relocation of Tonga people to Binga due to the construction of Kariba Dam in 1957

#### < Overview of the Study Outcomes >

#### 🗖 Zambia

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Achievements

- Piped water supply schemes were installed and O&M systems were established.
- Community-based PHC activities were organized in George Proper.
- Extensive capacity building activities for ABO/CBOs were planned and implemented.
- People obtaining water from public taps increased from 65% to 97.5% in George Proper.

#### The matic Evaluation on 'Water and Poverty in Afri

## < Overview of the Study Outcomes >

#### Implementation Process

- To maximize the benefits of the water supply project, a PHC pilot project and GCEP were implemented.
- Most of the components of the programme were undertaken in a timely manner, considering the social conditions of the target area.
- Participatory approach was used during the planning and implementation of the programme.
- Capacity building of ABO/CBOs was sought through each component of the programme.

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#### < Overview of the Study Outcomes >

#### 🗖 Zambia

#### Sub-Question 1: Sustainability of Water Supply

- Cooperation among LWSC, LCC and George Water Committee and community participation was found effective for sustainable water supply.
- Costs for O&M of the water supply scheme are covered by the payments from the users.
- Some poor people found difficult to pay a monthly fee
   → introduction of flexible payment system

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## < Overview of the Study Outcomes > Zambia

Sub-Question 2: Impact on Poverty Reduction

- Use of public taps for drinking water increased from 65% to nearly 100%.
- · Occurrences of water-born disease, especially cholera, decreased significantly.
- Hygienic practices and knowledge were improved.
- · ABO/CBOs gained capacity to identify and tackle community problems.
- · Participation of women in decision making process increased.
- In general, living conditions of the people remained the same or suffered a setback due to difficult economic condition.

#### Thematic Evaluation on 'Water and Poverty in Africa < Overview of the Study Outcomes >

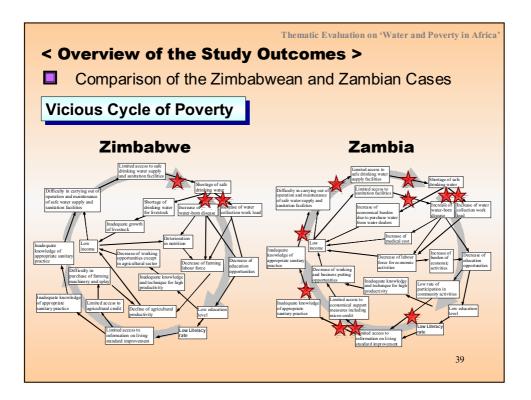
🗖 Zambia

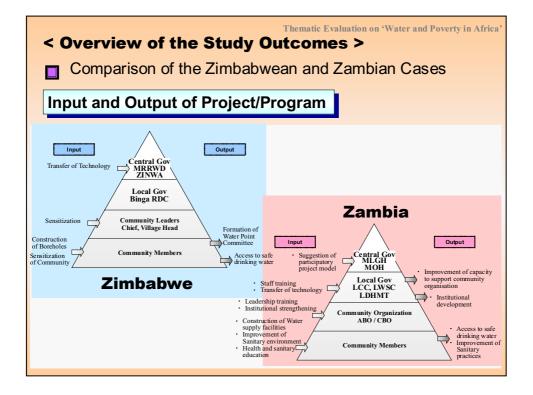
#### Sub-Question 3: Applicability of Integrated Approach

Zambia

• Improvement of macro-economic indicators (reduction in financial deficit, stabilization of inflation, etc.), but a fall in some social indicators and deteriorated living conditions among the poor, due to introduction of SAP. George

· Cooperation among the community, the local authority and other stakeholders have been gradually established.





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#### < Conclusions >

#### Sub-Question 1: Sustainability of Water Supply

- Need to understand socio-economic background and conditions of the target community including water issues, poverty structure, decision-making process.
- Focus should be given on technical, financial and institutional sustainability.
- An "integrated approach within the water sector" which is composed of engineering, institutional and capacity building components is effective.
- IGAs and micro-finance schemes may be included in the programme as the users are to contribute to the maintenance or user fee.

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#### < Recommendations >

#### Expansion of Planning and Designing Stages

- More time should be allocated to the planning and designing of the project in order to understand the water related problems and the poverty structure of the target area.
- Community should be further involved in the problem identification, and planning and designing of the project/programme.

#### < Conclusions >

#### Sub-Question 2: Impact on Poverty Reduction

- Capacity building of the target community in problem analysis and solving, critical thinking, project planning, fund raising etc. is essential.
- The central and local governments need to define their roles and responsibilities for community development and render support to communities.
- Supporting and networking existing groups engaged in water supply services and poverty reduction will enhance the synergetic impact.
- As a long-term support to community is necessary, collaboration with local consultants and NGOs is effective and efficient.

#### < Recommendations >

Need of Capacity Building of Target Community and Central and Local Governments

- A long-term support of capacity building of target communities should be included in the project/ programme in order to establish a workable O&M system.
- The project/programme should include support measures to the local government including institutional building and human resources development.
- Collaboration with local consultants and NGOs should be further promoted.

#### < Conclusions >

#### Sub-Question 3: Applicability of Integrated Approach

- It may be effective to conduct integrated programmes with the local governments as the counterpart agencies.
- Start with the communities with respected leaders or reliable development committees where development issues are already discussed among the people and some kind of consensus building mechanism is established.

## Summary of Survey Outcome in Zimbabwe

Eval. Item	Detailed Evaluation Questions	Data Collected and Data Collection Method	Survey Outcomes
	Achievement of overall goal	<ul> <li>The infant and under five mortality rates of Binga District/ Statistics from Binga District Hospital</li> <li>Prevalence of water-born diseases /Data from Binga RDC and Questionnaire survey in sample villages</li> </ul>	<ul> <li>Infant mortality rate (per 1000) gradually increased from 96 in 1997, 103 in 1998, 117 in 1999 to 136 in 2000, though in 2001 it decreased to 125. Under-five mortality rate was 101 in 1997, 110 in 1998, 99 in 1999, 175 in 2000 and 174 in 2001 (statistics is not reliable).</li> <li>The district statistics show gradual decrease in Bilharzias and diarrhoea between 1995 and 1999 (statistics is not reliable). More respondents in the target area felt that the incidence of diarrhoea and skin disease had decreased (58.8% and 76.9%, respectively) than those in the non-target area (47.5% and 10%, respectively). It is interesting to note that 72.8%, 65.6% and 84.0% of respondents who use water from boreholes indicated decrease in each item, while for the respondents who use other sources (mainly from unprotected shallow wells and river) decrease was 29.3%, 41.3% and 56.0%, respectively.</li> </ul>
Performance	Achievement of program purpose	<ul> <li>Water coverage rate in Binga District/ B/D document and Binga RDC (IRWSS project document)</li> <li>Number of water facilities in use/ B/D document and Binga RDC (IRWSS project document)</li> </ul>	<ul> <li>According to JICA B/D document the water coverage in the target area (12 wards out of 21 wards in Binga District) was 31.7%. From the data in 2002 the water coverage in these 12 wards was 29% (using the number of functional boreholes only). According to the same document the water coverage of the whole of Binga District was 28% (and sanitation coverage of 4%).</li> <li>According to the B/D document there existed 107 BHs in the target area (12 wards). In 2002 there were 144 BHs though only 105 were functional. In Binga District the document states that out of the existing 264 boreholes 206 are functional; out of 490 deep wells 414 are functional; out of 26 small dams but some are and 3 piped water schemes (2 supplying water to Xanjolo secondary school and surrounding community, which needs major rehabilitation).</li> </ul>
	Input performance	<ul> <li>Input of human resources, fund and materials/ B/D document and information from ZINWA</li> </ul>	Human resources: Japanese consultants, counterpart personnel, Japanese contractors     Equipment: survey and drilling equipment and materials, vehicles, hand     pumps     (E/N ceiling of 73 million Yen)
	What was the background of the project planning and implementation of the project?	<ul> <li>Background of project planning, project scheme and scale/ B/D document and information from ZINWA</li> </ul>	<ul> <li>The target area of the project was 12 wards which acutely needed additional safe water sources (Binga District comprises of 21 wards). The project consisted of procurement of survey and drilling equipments and materials for the 124 boreholes in the target area; construction of 30 borehole water facilities as the technical transfer to Zimbabwe counterparts; and formation of water point committees for the O&amp;M of the facilities.</li> </ul>
Imp	Did the implementing agency take part in the project with ownership?	<ul> <li>Performance of implementing agency, especially after the Japanese team had left/ information from ZINWA</li> </ul>	<ul> <li>After the Japanese team completed 30 water supply facilities, ZINWA drilled 34 locations in Binga but only 10 were successful. Except year 2000 funds were disbursed from the government for the project though the amount is not enough to meet the target because of high inflation rate and unexpected large number of unsuccessful boreholes. Technical difficulties and current socio-economic conditions make it difficult to meet the target despite ZINWA's commitment.</li> </ul>
Implementation Process	Was the timing right between construction of facility and other activities?	Implementation schedule of the project components/ Project Completion Report and information from SCF	<ul> <li>The Project Completion Report states that 30 water point committees were formed where the borehole water facilities were constructed, though timing was not given. According to the information gathered from SCF, sensitization of community leaders and community members were conducted before drilling and water point committees were formed when the drilling started. SCF completed formation of water point committees at 30 locations where borehole facilities were constructed, as well as some other places where borehole drilling was planned or tried. Training of committee members on O&amp;M of the water facilities and health and hygiene education was neither complete nor adequate because of the time and resource constraints.</li> </ul>
	How did the local community participate in the project?	Strategy of community participation/ information from SCF	<ul> <li>SCF conducted sensitization meetings on community based management (CBM) of water supply facilities for district councillors, community leaders and community members in sequence. The approach was, in principle, participatory trying to facilitate the people to discuss water problems in the area, come up to their own solutions, pre-site drilling locations and form water point committees for O&amp;M of the facilities. SCF admitted that the shortage of time and human resources did not allow the approach to be fully participatory.</li> </ul>

Eval. Item	Detailed Evaluation Questions	Data Collected and Data Collection Method	Survey Outcomes
	I-1 How was the planning and implementation of basic policy and development plans of water resource management and use?	<ul> <li>Basic policy and development plans of water resource management and use and the status of implementation/ information from DWD at Ministry of Rural Resources and Water Development.</li> </ul>	<ul> <li>Government initiated water sector reform in mid 80s with announcement of the National Master Plan for Rural Water Supply and Sanitation. It was followed by the formulation of Water Resources Management Strategy (WRMS), establishment of ZINWA (Zimbabwe National Water Authority) and a new Water Act. The new policies and strategies encourage private sector participation and stakeholder involvement in water resources development and management. (Details were discussed in Chapter 2)</li> </ul>
Sub-question I: sustainable safe water supply	I-2 How is the planning, implementation, monitoring and evaluation of water use and water supply plans carried out in the target area?	<ul> <li>Status of water use and water supply plans in the target area (planning, implementation monitoring and evaluation)/ information from ZINWA and Binga RDC</li> <li>Financial and human resources of implementing agency/ information from ZINWA and Binga RDC</li> </ul>	<ul> <li>Government has been promoting Integrated Rural Water Supply and Sanitation Programme (IRWSSP) since mid 1980s. Many of the districts have either implemented or are currently implementing the programme. In Binga so far no IRWSSP was put in place. Some water supply and sanitation projects including Japan's project were implemented in non-integrated way. In 2001 Binga RDC submitted a proposal of 3-year IRWSSP to NAC for solicitation for fund. It aims to drill additional 368 boreholes, construct 3089 VIP latrines, rehabilitate 77 boreholes, train extension staff and to develop sustainable O&amp;M system by the community in the district. In the project proposal, Binga RDC states that although different agencies have implemented water supply and sanitation projects in different areas of the district, most of these projects were done in a fragrant, uncoordinated manner to make long meaningful impact, hence remaining sanitation of 4% and water coverage of 28%.</li> <li>ZINWA is mandated to implement the commercially viable water supply services mainly in urban areas and rural townships with the self-supporting accounting system. Drilling of boreholes for the rural water supply projects in the communal land is also implemented by ZINWA based on the budget allocation by the government. After the organisation was established in 2001, it is still recruiting staff mainly for the provincial level while the drilling team had hydrogeologists were transferred from DWD.</li> <li>RDC is responsible for capacity building of user communities and support of the community in operation and maintenance of the constructed water facilities. District Water Supply and Sanitation Committee is the technical arm of the RDC for planning and implementing the water supply and sanitation. RDCs are required to submit proposals to National Action Committe (NAC) to obtain the fund for implementation of the interventions. The government has executed the institutional building of RDC with assistance from donors based on the decentrali</li></ul>

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Eval.	Detailed Evaluation	Data Collected and Data	Survey Outcomes
Item			
Item	Questions I-3 How are the existing water supply facilities managed and maintained?	<ul> <li>Collection Method</li> <li>Basic plans and actual status of O&amp;M and management of rural water supply facilities/ information from ZINWA, DDF and Binga RDC</li> <li>Status of O&amp;M and management of existing facilities by the community/ water point committees and community members through questionnaire survey and PRA in sample villages</li> <li>Decision-making process of water management committees/ water point committees and community members through PRA in sample villages</li> <li>Type of community participation/ water point committees and community members through site survey and PRA in sample villages</li> <li>Support system by the government/ community members through PRA in sample villages</li> <li>Communication mechanism between the government and local community/ community members through PRA in</li> </ul>	<ul> <li>Survey Outcomes</li> <li>Previous "three-tire maintenance system", was replaced by "community based management (CBM) system" where community were made responsible for management and O&amp;M of the water supply facilities. A pilot project has been implemented in 2 wards in Binga by SCF (funded by DfID) and shown some success. Binga RDC expressed the need in promoting CBM in the entire district, if funding were secured.</li> <li>When boreholes were constructed water point committees were formed among the users. Majority of the committee members were not trained on maintenance nor equipped with tools. Although the situation varies from facility to facility, many boreholes were kept in reasonable condition (they are still fairly new) while some had stolen or broken fences and blocked soak away. Some committees carry out protective maintenance by greasing and tightening bolts. Out of 10 borehole facilities which the team surveyed, one was broken down (not mended), one was abandoned due to difficulty in pumping and two more were only used when no other sources (river and shallow wells) were available due to difficulty in pumping.</li> <li>From PRA exercise no clear mechanism of decision making process was found within the committee members or between the committee and the users.</li> <li>Though the situation varies from community to community, in general users keep the facility clean by sweeping the surrounding area and mending the fence when it is broken. Some communities follow a roster while others rely on common sense and good will of users. At early stage, many of the committees collected funds for future maintenance from the users, but in most cases it had stopped.</li> <li>In two villages where PRA was conducted it was stated that no support was given from DDF or RDC for the O&amp;M of the borehole facility. Both committees expressed that they were even not sure where they should seek support in case of problem.</li> <li>There was no clear mechanism of communication. When one of th</li></ul>
Sub-question II: Impact on the Improvement of Living Conditions of the poor	II-1 In what way did the living environment of the target community improved and as a result how has the life-style of the people changed?	<ul> <li>members through PRA in sample villages</li> <li>Coverage of water supply in the target area/ information from Binga RDC</li> <li>Access to water/ information from community members through questionnaire survey in sample villages</li> <li>Change of life-style as a result of reduced time for fetching water/ information from community members through questionnaire survey in sample villages</li> <li>Coverage of toilet facilities/ information from community members through questionnaire survey in sample villages</li> <li>Coverage of toilet facilities/ information from community members through questionnaire survey in sample villages</li> <li>Use of water (vegetable garden using wasted water at the borehole, etc.)/ site observation and information from water committee through PRA</li> </ul>	<ul> <li>response had so far been given.</li> <li>Refer to Performance: achievement of program purpose.</li> <li>In the target area people who use boreholes have increased from 10.6% to 73.8% in five years while in non-target area the increase was from 2.5% to 17.5%. The average distance to water source (irrespective type of water source) has reduced from 1.42km to 1.15km in the target area while the reduction was from 1.84km to 1.5km in the non-target area.</li> <li>In the target area 28% of the respondents indicated that women and children in the households spend less time fetching water compared to 5 years ago. Freed time was mainly spent by doing other household chores or working in the field or garden. A small number of women are also making handy crafts, which brings some income.</li> <li>Very few households have toilet facilities at home though it has increased from 9.4% to 23.1% in the target area in 5 years.</li> <li>Waste water at the water points is not actively utilized. In some cased due to blocked soak away wasted water formed stagnated muddy pools around the facility.</li> </ul>

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Eval. Item	Detailed Evaluation Questions	Data Collected and Data Collection Method	Survey Outcomes		
	II-2 In what way has the peoples' behaviour and attitudes improved regarding hygiene?	Improvement of water quality, and the way of transportation and keeping of water/ information from community members through questionnaire survey in	<ul> <li>For carrying water, use of containers with lids has increased from 10.5% to 43.5% in 5 years. For storing water, keeping water in containers with lids inside the house has increased from 57% to 85.5%. No significant difference was found in the target and non-target areas.</li> </ul>		
		sample villages <ul> <li>Practice of hand washing/ information from community members through questionnaire survey and PRA in sample villages</li> </ul>	<ul> <li>Majority (78%) still wash hands in a basin or dish, which is a traditional way though discouraged for hygiene reason.</li> </ul>		
		<ul> <li>Use of toilet facilities/ information from community members through questionnaire survey in sample villages</li> </ul>	<ul> <li>Few households have toilet facilities at home, though those who have use the facility.</li> </ul>		
	II-3 What was the impact on the improvement of health status of the people?	Change of occurrence of water-born diseases/Data from Binga RDC and Questionnaire survey in sample villages	Refer to Performance: Achievement of overall goal		
		<ul> <li>Infant mortality rate/Data from Binga RDC and Questionnaire survey in sample villages</li> </ul>	Refer to Performance: Achievement of overall goal		
		<ul> <li>Nutritious status of infants/ information from community members through questionnaire survey in sample villages</li> </ul>	<ul> <li>The situation seems to vary from household to household as 38.5% indicated improvement compared to 5 years ago and 37.5% indicated deterioration while the rest (24%) said no change.</li> </ul>		
	II-4 What improvement has been made in terms of people's participation in the target community?	Status of community activities/ information from community members through questionnaire survey in sample villages     Status of training of community keaders/ information from community members through PRA	<ul> <li>The most common community activities are collecting locally available resources and labour contribution for development projects such as construction of school blocks and health centres. Different activities were organized as food for work program. Three quarters of the respondents noted that people were in general more active in participating in community activities, though it varied from village to village.</li> </ul>		
	II-5 Has the wealth and income of the people in the target community increased?	<ul> <li>Change of wealth and income/ information from community members through questionnaire survey and PRA in sample villages</li> </ul>	<ul> <li>Due to serious food shortages caused by the severe drought, food stock and possession of livestock and poultry at the household level had significantly reduced compared to 5 years ago.</li> </ul>		
Sub-question III: Applicability of the approach	III-1 How was the   • Community participation in		<ul> <li>Prior to the drilling some community leaders attended consultative meetings organized by SCF. Workshops were held for community members on CBM and water point committees were formed. During the implementation community members contributed by clearing the area, fetching water and river sand, guarding tools and equipments, constructing washing slabs and cattle troughs and fencing the facility. Both in Mucheni and Gande funds were also raised for future maintenance from the users.</li> </ul>		

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Eval. Item	Detailed Evaluation Questions	Data Collected and Data Collection Method	Survey Outcomes
	III-2 What are the characteristics of the community?	<ul> <li>History of the community, climate and demographic changes/ information from community members through PRA in sample villages</li> <li>Communal activities in the community/ information from community/ information from questionnaire survey and PRA</li> </ul>	<ul> <li>HISTORY: Majority of the people are Tonga who were displaced from the Zambezi Valley in 1957 due to the flooding of the valley caused by the construction of Kariba dam, downstream of Zambezi River.</li> <li>CLIMATE: The rainfall in this area is too low and erratic for the reliable farming and the area suffered severe droughts several times in the past (1961/62, 1967, 1982/3, 1991/2, 2002/3). At the time of field survey food shortage was serious due to 2 years of poor harvest caused by drought.</li> <li>DEMOGRAPHT: For example in Mucheni, in spite of high birth rate, due to lack of health facility in the area coupled with outbreaks of measles the population remained relatively low till mid 60s when a health centre was opened in Siabuwa (30 km from Mucheni). The population increased steadily since then. Although family planning was introduced early 90s many did not accept the idea and did not practice. Since mid 80s effect of HIV/AIDS were falt and since mid 90s the death rate increased. Acceptance of family planning by younger generation has recently contributed to smaller fewer numbers of children in the family.</li> <li>The most common community activities are collecting locally available resources and labour contribution for development projects such as construction of school blocks and health centres. Different activities were organized as food for work program. Three quarters of the respondents noted that people were more active in participating in community activities, though it varied from village to village.</li> </ul>
		in sample villages	
	III-3 What kind of resources (natural, human, etc.) does the community have?	<ul> <li>Natural and social resources (facilities such as school, health centre, market, boreholes, etc.)and their use/ information from community members through PRA in sample villages</li> </ul>	• Two streams run through Mucheni. Mucheni has a primary school, a social centre with public toilets, a grinding mill, churches and 4 boreholes (2 constructed by SCF and 2 from Japan), of which 3 are functional. Ground work has started for the construction of a clinic in the village. Gande is adjacent to Chizaria National Park and Russ Brown Safari. Road network in and around the village is very poor. The village also has a primary school, a social centre, a grinding mill, churches and several boreholes of which only one is functional. The construction of a health centre started in 1992 with CAMPFIRE dividends but not yet completed.
	III-4 How is the information access and mobility and its means?	<ul> <li>Communication and interaction with neighbouring communities and outside society/ information from community members through PRA in sample villages</li> <li>Distance and means of transport to Binga town and Bulawayo/ information from community members through PRA in sample villages</li> <li>Coverage of radio and newspapers/ information from community members through DBM and the start through</li> </ul>	<ul> <li>People visit friends and relatives in neighbouring communities as well as go to nearby rural service centres and Binga growth point for various reasons such as work, education, health service, purchase of food. In case of Gande some people have relatives in Zambia (the other side of the Zambezi river) where they go for family gatherings and ancestral duties.</li> <li>Binga is about 70km from Mucheni and Gande. Visits are quite frequent as Binga has a post office, hospital, grain market board and administrative offices. There are daily bus services. Bulawayo, the second largest city, is about 500 km from Binga. Some in the villages have/had work in Bulawayo.</li> </ul>
		<ul> <li>PRA in sample villages</li> <li>Current status of training and visits by extension workers/ information from community members through PRA in sample villages</li> </ul>	<ul> <li>Newspapers are rare. Radio is one of the main sources of information both in Mucheni and Gande. Not many (less than 30%) have radios but important information is often communicated by those who have. Various community meetings are also held to pass information and messages. Children are also playing an important role in passing information and knowledge learned from school to the community.</li> <li>Mobile clinic visits both villages regularly mainly for mother and child health care. VHW and VCW are members of the community who were trained and assigned to work for the community in the area of health and community development, respectively. In Mucheni. EHT(environmental health technician) from Binga Hospital conducted awareness meetings.</li> </ul>

Eval. Item	Detailed Evaluation Questions	Data Collected and Data Collection Method	Survey Outcomes
	III-5 How is the interaction between government agencies and the community?	<ul> <li>Rules and regulations/ information from RDC</li> <li>Interaction with RDC/ information from community members through PRA in sample villages</li> <li>Community's status in the development plans/ information from RDC</li> </ul>	<ul> <li>Development issues are channelled through Village Development Committees, Ward Development Committees and Rural District Development Committee, the technical arm of Council. Political issues on the other hand are channelled through ward and district councillors.</li> <li>There seems little interaction between the communities and RDC. Both in Mucheni and Gande the participants expressed that RDC was not supporting the community adequately.</li> <li>Binga RDC Three Year Rolling Development Plan (2002-2004) addresses need of development in physical and social infrastructure, capacity building of the RDC, food security, natural resources management and</li> </ul>
	III-6 In what field has the community been supported by other donors and NGOs?	<ul> <li>Situation of interventions by other donors and NGOs/ information from RDC and community members through PRA in sample villages</li> </ul>	<ul> <li>water supply in the district.</li> <li>Since early 1980s Save the Children Fund has been assisting the district in the fields of drought relief and emergency food distribution, water supply and sanitation and HIV/AIDS. Kulima Mbobumi Training Centre funds training of communal farmers on dry land farming and provision of farm implements. Christian Care funds Integrated Food Security and Nutrition Programme in 2 wards (Manjolo and Sikalengwe. ZDCP (Zinbabwe Decentralized Cooperation Program) is mobilizing community for construction of a school and a health centre in Mucheni Village in Sinansengwe Ward.</li> </ul>

## Summary of Survey Outcome in Zambia

Evalua	Detailed	Detailed Required Information & Results of Survey				
tion Item	Evaluation Questions	Data	Sector-Wide Approach (George)	Integrated Approach (George)	No Intervention (Kalikiliki)	
Performance	Achievement of overall goal	<ul> <li>a) Increased number of projects for improvement of living conditions in the target area with community participation</li> <li>b) Status of mobilisation of resources by the ABO/ CBO for community development activities</li> </ul>	Implementation of the developmen participation increased with under- members. ABO/CBO is also posi from the residents in the area and facilitation of these community act perceive the difficulty to mobilise t communities as well as from the ex to deterioration of economic situal knowledge and skills to sell their in	t activities with community standing by the community tive to identify the needs to take initiatives for wities. However, they he resources from the sternal support agencies due ion and insufficient	<ul> <li>a) Interventions for improvement of living conditions of the settlement are still very limited since the area has been illegal until recently.</li> <li>b) The RDC does not have much experience in mobilising resources from communities for development activities apart from current activity for bridge construction. Community members are contributing through provision of labour force.</li> </ul>	
mance	Achievement of programme purpose	<ul> <li>a) Decrease of infection rate of the water-born diseases in the target area</li> <li>b) Decrease of infant mortality rate in the target area</li> <li>c) Decrease of malnutrition of children</li> </ul>	<ul> <li>a) More than 60% of sample h diarrhoea, cholera and eye of reasons for this decrease arr and improvement of hygiene George Proper, target area of improvement of knowledge well together with water and b) From PHC report</li> <li>c) Around 70% of sample hou indicated the nutritional statu while the one in other sampl 50%.</li> </ul>	tiseases decreased. Main e provision of clean water condition. Residents in of PHC project, mentioned on health and health care as hygiene aspects. seholds in George Proper is of children as deteriorated	<ul> <li>a) Percentage of people indicating decrease of water-borne diseases is around 20%, which is lower than in George.</li> <li>b) No data was available specific for the compound.</li> <li>c) A little less than 60% indicated deterioration of nutritional status of children</li> </ul>	
	Input performance	a) Input of human resources, fund, materials			Not applicable	
Implen	What was the background of the planning and implementation of the programme (projects)?	<ul> <li>a) Background and timing of the request and formulation of each project</li> <li>b) Implementation scheme and scale of the projects</li> <li>c) Sharing of information and collaboration among stakeholders</li> </ul>	<ul> <li>a) The Water Supply Project w critical need to improve acce mitigation of cholera disease JICA PHC project selected to pilot project on community-butilising the improved waters for promotion of health and h b) Since GCEP was initiated to system of water supply servic supply project, it can be rega integrated approach within th c) Information on implementatio basically shared among the p personnel and other stakehol through meetings and worksh</li> </ul>	ssibility to safe water for in the George Complex. he George Compound as the ased PHC with considering upply available in the area ygiene. strengthen the management ese setablished by the water rded as implemented with an e same sector framework. n of the project was roject staff, counterpart ders at the field level	Not applicable	
Implementation Process	Did the implementing agency take part in the project with ownership?	<ul> <li>a) Performance of implementing agency on execution of the undertaking</li> <li>b) Status of allocation of fund for O&amp;M as well as implementation of project</li> </ul>	<ul> <li>a) LWSC established George M the constructed water scheme LWSC, George Main Divisio independent from the head of self-supporting accounting sy</li> <li>b) Regarding the PHC project, counterpart personnel and ot execute the projects with JIC</li> </ul>	e. Under the supervision by n is running the scheme ffice of LWSC, with stem. LDHMT allocated her resources required to	Not applicable	
	Was the timing of each component in the project co-ordinated properly?	a) Implementation schedule and process of components included in the projects	<ul> <li>a) Construction of water supply scheduled. Due to delay of a constitution by LCC and othe establishment and training of delayed in the implementatio</li> <li>b) Various activities were plann PHC pilot project. Those we appropriate scale and compthe counterparts and CBOs.</li> </ul>	conclusion on revised RDC er stakeholders, 'new RDC and ZDCs were n schedule of GCEP. ed in the process of the ere readjusted to the ponents in consultation with	Not applicable	

Detailed				Results of Survey		/2ボ1リ 興 4キ ム
Evaluation	Required Information & Data			Integrated Approach	N	o Intervention (Kalikiliki)
	a) Scope and	a)			Not	applicable
wrap of the target group of each project?	composition of the target group	<i>u)</i>	the target area while the othe implemented for whole areas target groups of the PHC pr and CBOs working with the the residents in the George F ABO and LWSC in addition	er projects were s of George Complex. The oject are staff of health centre health centre staff as well as Proper. GCEP supported n to the community members		apparent.
How did the community members participate in the project?	<ul> <li>a) Strategy/ approach on community participation in the project planning, implementation, monitoring and evaluation</li> </ul>	a)	Participation of the commun has been facilitated through p community meetings, sending ABO/CBO, and contributing and/or O&M in cash, kind a of community members in id	ity members in the projects participating in the g representatives to g to the cost for investment ind labour. Capacity building entification and analysis of	Not	applicable
I-1 How is the water resources management/deve lopment planned, implemented and monitored/evaluat ed?	<ul> <li>a) Status of planning, implementation, monitoring and evaluation of the basic policy on the water resources management/ development</li> <li>b) Involvement of the communities in the basic policy</li> </ul>	a) b)	development as well as wate Action Program (WRAP) in resources management capa the Water Act under superv and Water Development. Fu separated from the impleme of Local Government and H As an expected output from in order to decentralise the r level. Community member is	er supply in Zambia. The gove a 1997 aiming at supporting the acity. Water resources develop ision by the Water Developme anction as the regulator on wat ntation of water supply which tousing. WRAP, a system of "Catchm roles of issuing licences of wate s regarded as one of the stakel	rnment devel ment i nt Boa er resc is now ent Ma er deve	t initiated the Water Resources lopment of the nation's water in the country is regulated by rd in the Ministry of Energy purces development is clearly administered by the Ministry anagement" is to be established elopment to the catchment
I-2 How is the water supply in the peri-urban areas planned, implemented, and monitored/evaluat ed?	<ul> <li>a) Status of planning, implementation, monitoring and evaluation of the water supply projects in peri-urban areas</li> <li>b) Status of allocation of resources by the implementing agencies/ service providers for water supply in peri-urban areas</li> </ul>	a) b)	management and development in their catchment basin. Water and Sanitation Act (1997) governs the provision of water supply and sanitation service in the urban areas by the commercially-viable water utilities which are supervised by the National Water and Sanitation Council (NWASCO). NWASCO established the Devolution Trust Fund to be utilised by the commercial utilities or other service providers so that investments for water supply and sanitation services in peri-urban areas will be increased. As an overall strategy on water and sanitation in the peripheral areas, the Peri-Urban Water Supp and Sanitation Strategy defines the frameworks on policy, legislative and institutional arrangements, and financing for improvement of the service provision. Investment in peri-urban water supply and sanitation has been implemented by the local authorities with assistance from external donors. Major support agencies in case of Lusaka an DfID through CARE International, Ireland Aid, and JICA. Commercial utilities are searching possibility to get involved in the peri-urban water supply and sanitation sector through providing support services for the community to manage the water scheme rather than			
I-3 How are the existing water supply facilities managed and maintained?	<ul> <li>areas</li> <li>a) Basic policy on O&amp;M of the water supply facilities in peri-urban areas</li> <li>b) Status of O&amp;M of water facilities by the community members</li> <li>c) Process of decision-making by the Water Committee and other CBOs involved in O&amp;M of water facilities</li> <li>d) Type of community participation</li> <li>e) Support services available by local administration/ service providers</li> <li>f) Communication mechanism between local administration and communities</li> </ul>	a) b) c) d)	Partnership between ABO a centred in O&M of water fa scheme in each settlement is managed in self-supporting r Tap leaders elected from the involved in daily operation of monitoring of water use by the incentives from a profit of w Tap leaders through zone w Water Committee and Geor problems they encounter at taps. The user communities partic scheme by cleaning of surro clearing grass, preventing va sample households pay user reason of default found is fin amount. George Main Division provi and repair services of water replacing the lock of the put to be done by the tap leader Committee. While nearly on households are aware of rol Committee and LWSC, a cu	and service provider is acilities. The water supply a expected to be financially management system. e community members are of the communal taps and the users. They are paid ater services. ater monitors report to the ge Main Division on daily operation of water unding area of public taps, andalism. Around 90% of the K3,000/ month. Major ancial constraint to raise the des preventive maintenance facilities apart from blic taps which is supposed rs through the Water en quarter of sample es of tap leaders/Water ertain portion of people in	a) b) c) d)	Same as George Complex No activities in terms of O&M of water facilities since the existing boreholes are not functioning. Nobody is responsible for repairing the broken down boreholes in the settlement. No ABO/CBO responsible for O&M of water facilities. No contribution from community members since no service is provided. LWSC is not charging user fee to the users of existing network. Those who are supposed to pay for water seem to be using communal water scheme or individual tap in neighbouring areas such as Mtendere. All the services of legalisation made it difficult
	Evaluation Questions         Was there an over wrap of the target group of each project?         How did the community members participate in the project?         I-1 How is the water resources management/deve lopment planned, implemented and monitored/evaluat ed?         I-2 How is the water supply in the peri-urban areas planned, implemented, and monitored/evaluat ed?         I-3 How are the existing water supply facilities managed and	Evaluation QuestionsRequired Information & DataWas there an over wrap of the target group of each project?a)Scope and composition of the target groupHow did the community members participate in the project?a)Strategy/ approach on community participation in the project planning, implementation, monitoring and evaluationI-1 How is the water resources management/deve ed?a)Status of planning, implementation, monitoring and evaluationI-2 How is the water supply in the peri-urban areas planned, implemented, and monitoring and evaluationa)Status of planning, implementation, monitoring and evaluation of the basic policy on the water resources management/ developmentI-2 How is the water supply in the peri-urban areas planned, implemented, and monitoring and evaluation of the suscipolicya)Status of planning, implementation, monitoring and evaluation of the basic policyI-3 How are the existing water supply facilities managed and maintained?a)Status of Callocation of resources by the implementing agencies/ service providers for water supply facilities in peri-urban areasI-3 How are the existing water supply facilitiesa)Basic policy on o &M of the water supply facilities in peri-urban areasI-3 How are the existing water supply facilitiesa)Basic policy on o &M of the water supply facilities in peri-urban areasI-3 How are the existing water supply facilitiesa)Basic policy on o &M of the water 	Evaluation QuestionsRequired Information & DataWas there an over wrap of the target group of each project?a)Scope and composition of the target groupa)How did the community members participate in the project?a)Strategy/ approach on community participation in the project planning, implementation, monitoring and evaluationa)I-1 How is the water resources management/deve lopment planned, implemented and monitored/evaluat ed?a)Status of planning, implementation, monitoring and evaluationa)I-2 How is the water supply in the peri-urban areas planned, implemented, and monitored/evaluat ed?a)Status of planning, implementation, monitoring and evaluation of the basic policya)I-2 How is the water supply in the peri-urban areas planned, implemented, and monitored/evaluat ed?a)Status of planning, implementation, monitoring and evaluation of the water supply projects in peri-urban areas agencies/service providers for water supply facilities managed and maintained?a)Basic policy on the water supply facilities in peri-urban areas peri-urban areas peri	Evaluation QuestionsKequred information & DataSector-Wide Approach (Gorge)Was there an over wap of the target goup of each project?a)Sector-Wide Approach (Gorge)a)a)Sector-Wide Approach composition of the target groupa)The PHC plic project cove the target area while the oth implemented for whole area target group sof the PHC pr and CBOs working with the the residents in the Corporation in the project?a)Participation of the corman has been ficilitated through, ABO/CBO, and contributing, and/or O&M in cash, kind a of community members in id their probhems so that they co- action planning, implementation, management/deve developmenta)The National Water Posey development as well as wat their probhems so that they co- action plan.1-1 How is the water resources management/deve development planned, implementation, and the peri-urban acts policy on the water supply in the peri-urban action project in members for water supply in the peri-urban areas peri-urban areasa)Status of planning, implementation, 4C (in inthe urban eras by the co- National Water and Sanitation SAC (in inthe urban eras by the co- National Water and Sanitation SAC (in inthe urban eras by the co- National Water and Sanitation SAC (in inthe urban areas implementing agencies/ service providers for water supply in peri-urban areas in peri-urban areas in peri-urban areasa)Status of DAM of water facilities in peri-urban areas in peri-urban areas involved in O&M of water facilities in peri-urban areasa)The setore and Sanitation Strategy defi involved in O&M of water facilities in peri-	Evaluation Questions         Required Information & Questions         Sector and (George)         Integrate Approach (George)           was there an over warp of the target group of each project?         a)         Scope and composition of the urget group and cDAs working with the heat term is all as well as the residents in the George Proper. GCEP supported ABO and LWSC in addition the ecommanity members who were ake target group of the water supply project.           How did the community participation in the project?         a)         Strategy' approach on community participation in the project planning, implementation, monitoring and evaluation         b)         Participation of the commanity members is who were ake target group of the water supply project.           1-1 How is the water resources management/dee lapment planned, implementation, monitoring and evaluation of the water resources dockproment ed?         a)         Status of planning, implementation, monitoring and evaluation of the water resources dockproment and water brokproment of the urban areas by the cornearcial visible and water supply in in order to development i monitoring and evaluation of the water resources i management/ development         a)         Status of planning, implementation, monitoring and evaluation of the water supply in in provider solution of resources i management dockproment is nuclear and the using.         a)         b)         A so a neceted outpit from WRAP in 1997 governs the provision of the urban areas by the cornearcial visible water utilities or dhe involved in dAN of the varies apply and in the urban areas by the cornearcial visible water utilities or dhe involved in dAN of the varies apply and in the urban areas by the cornearcially. Side water utilities in the	Evolution Questions         Integrated Approach (George)         Integrated Approach (George)         Not (George)           Was there an over yrup of teach project?         a) Scope and (and the target group of the target area which to cherr a which conter and CDOs working which he halth center staff as well as the residents in the Group Proper CGEP supported ABO and LWSC in addition to the community members who were abourset of the Corps Proper CGEP approach (ABO CRD and CDOs working which he halth center staff as well as the resident in the Group Proper CGEP supported ABO and LWSC in addition to the community members who were abourset of the contrainty members in the projects in plementation, monitoring and evaluation         Not the symple in the project planning, implementation, monitoring and evaluation         Not in the symple in the project planning, implementation, monitoring and evaluation         Not support Color the support planned, implementation, monitoring and evaluation of the communities in the project is implementation, monitoring and evaluation of the support planned, implementation, monitoring and evaluation of the support planned, implementation, monitoring and evaluation of the support planned, implementation, arrangement development fb is offer to access the management development of the support as the support is and support in the uban areas by the commercially which is now off Local Government and Itousing.         Not water support is the residuation or water resources support and the roles of samitation settrees of support in the uban areas by the commercially which is now off Local Government and Itousing.           1-2 How is the providers for water and Control Micross of support implementation, and evaluation of the support and asset and subation of vater supply assistanto services of vater and control micross. The areapply and interfr

Evalua	Detailed	Required Information &		<b>Results of Survey</b>	
tion Item	Evaluation Questions	Data	Sector-Wide Approach (George)	Sector-Wide Approach (George) (George)	
m Sub Question II Impact on Poverty Reduction	II-1 In what way did the living environment of the target group improved and as a result, how has the life-style of the people changed?	<ul> <li>Increased number of users in different socio-economic categories</li> <li>b) Quantity and quality of water supplied</li> <li>c) Equitable access to water</li> </ul>	<ul> <li>(George)</li> <li>water facilities compared wi</li> <li>f) The RDC/ Water Committee local administration and com the management of water su agencies working in coopera Committee in George are LO Division), CARE-GCEP, JIG</li> <li>a) Social services in the comma apart from water supply and with 5 years ago. Users of c though it is still limited. How positive change much in last services. Peoples feel hopeld the RDC is challenging such by realising community-base physically.</li> <li>Main water source for all the communal tap constructed ir more than 90% of the sampl Percentage of use of commu sample areas compared with</li> <li>b) More than 90% of sample hous have perception that water qua with five years ago, considering water treated with chlorine.</li> <li>c) Distance to the protected water reduced. While more than 60% not feel difficulty in obtaining wa households have difficulty in act of raising user fees, short period taps, and overcrowding. Proble water changed from issue of dis the matters how they can utilise</li> </ul>	th other sample areas. e is the interface between imunity members regarding pply facilities. External tion with RDC/ Water CC, LWSC (George Main CA PHC and NWASCO. unity have been deteriorated health services comparing linic can receive medicines ever, people does not feel 10 years regarding social ess to the government while community's perspective ad development activities e sample areas is the n the water supply project as e households answered. nal tap increased in the thive years ago.	<ul> <li>support the community for improvement of the living conditions.</li> <li>f) Same as e) above.</li> <li>a) Legalisation of the settlement is the major improvement since the external organisations may come into the area to assist for improvement of the living conditions. In other aspects, nothing much has changed. Things are getting worse because of high unemployment, high cost of living, more dependents in the family.</li> <li>Use of an illegal connection to the existing LWSC network increased from 52% in five years ago to 72%.</li> <li>b) All the sample households, who use tap water, perceive the quality good.</li> <li>c) Situation has not improved. 5 years ago 47.5% had to cover a distance of 20-100m to water source while currently 52.5% still have to cover same distance. Major problems for the users are instable water supply and restriction of water fetching by the owner of the facility in addition to long distance and difficulty to afford user</li> </ul>
		<ul> <li>d) Change of cycle of daily routine work by decrease of time to fetch water</li> <li>e) Number and capacity of CHWs and staff of health centres</li> </ul>	water instead of increasing perc	An exception is decrease of pe sentage of "others" in George I is same degree compared with mains same degree or slightly men. Inly active during outbreak of nowledge and skills. der the PHC project skills necessary to plan and amme in the community load of health centre staff. were initially trained by	ercentage of adult women to fetch Proper. Distribution of the n five years ago. Water fetching by

Evalua	Detailed			Results of Survey	がバリ 員 仲子 ム
tion Item	Evaluation Questions	Required Information & Data	Sector-Wide Approach (George)	Integrated Approach (George)	No Intervention (Kalikiliki)
	II-2 In what way	<ul> <li>f) Status of monitoring by CHWs for health and hygiene education</li> <li>a) Practice to maintain/</li> </ul>	f) CHWs are mainly involved in g health and hygiene education. C Health Committee (GEHC) is t environmental health in several ensure sources of incentives for activities with sustainability. Sin are limited to George Proper u (phase1), GCEP support to tra Health Promoters to cover oth in terms of facilitation of water- improvement.	rowth monitoring and George Environmental facilitating improvement of aspects. They are trying to r them to continue their ce activities by these CBOs nder the PHC project in Community Hygiene and er areas of George Complex	<ul><li>f) Not applicable</li><li>a) Those who treat water</li></ul>
	has the people's behaviour and attitudes improved regarding hygiene?	<ul> <li>improve water quality at household</li> <li>b) Hygienic practice for drawing, carrying, storing and drinking water</li> <li>c) Utilisation of different water sources in compliance with the usage</li> <li>d) Practice of hand washing</li> <li>e) Practice to improve environmental sanitation at household level</li> </ul>	<ul> <li>drinking water at the housel change in practice on treatm varies among sample areas. implementing treatment of d decreased recently in Georg years ago. Use of chlorine is for water treatment at prese five years ago.</li> <li>b) Container with a lid is used</li> <li>c) Use of different water source decreased as the communal source for them.</li> <li>d) Most common timing for ha the toilet and before eating washbasin. Use of soap incic compared with five years ago doubled in George Proper while burying the garbage in popular way in other sample choose these methods for g diseases.</li> </ul>	nold level presently. Trend of nent of water at household Percentage of households rinking water tremendously ge Proper compared with five s the most common method ent while it was by boiling in to fetch water in most case. these by residents are getting taps became the major water and washing is after using with washing hand inside reased in all sample areas go. to the collection site compared with five years ago the yard is the most te areas. Major reason to garbage disposal is to prevent	<ul> <li>increased.</li> <li>b) Container with a lid is the most common vessel for fetching water. However, type of vessels used varies more than the one in George.</li> <li>c) More than 90% of sample households have been using a single water source for drinking and washing. A few people use handpump to fetch water for washing due to difficulty in obtaining enough water.</li> <li>a) Situation is not much different from George.</li> <li>b) Most common method for garbage to the collection site.</li> </ul>
	II-3 What was the impact on the improvement of education opportunities in the target area?	<ul> <li>a) Enrolment rate of basic school</li> <li>b) Drop-out rate of basic school</li> <li>c) Number of basic schools in the target area per school-age children</li> <li>d) Literacy rate</li> </ul>	Education statistics for the settlem George Proper, there is only one I George Complex, they have five I Number of classes and schools ar school-aged children living in the a enrolment is getting increased afte education" by the government in 2 be improved due to shortage of fa	basic school. In other areas of basic schools in total. re not enough to cater for area. Though application of r announcement of "free 2002, enrolment rate cannot	There is no formal school within the settlement. Children go to schools in neighbouring areas. illiteracy rate is high, especially among women.
	II-4 What improvement has been made in terms of people's participation in the target area?	<ul> <li>a) Status of community participation in decision-making for improvement of living conditions</li> <li>b) Understanding and acceptance of women's participation in decision-making process</li> <li>c) Status of implementation of the action plans elaborated by ABO/CBO</li> <li>d) Status of capacity</li> </ul>	<ul> <li>the community in identificati keep records for further pla interventions. ZDCs are exp meeting in their zone at least order to identify the needs f the progress of the activities shows enthusiasm for partic community-based interventi work for long time for those other business since they ne</li> <li>b) Understanding by the comm participation of women in db been improved. It has also I representation by women in</li> <li>c) Newly formed RDC has jus plan.</li> </ul>	nning and monitoring the beeted to have community t once in three months in from the residents and inform is by ABO to them. People ipation in the ons though they cannot e activities without doing ed to earn their living. nunity members in ecision-making process has been realised by actual the ABO/CBO. st elaborated 5-year action	<ul> <li>a) The settlement is divided into 10 zones and each zone has ZDC which sends representative to RDC. With RDC's initiative, the bridge is now being constructed.</li> <li>b) RDC is composed at a good balance of men and women. However, men are traditionally more outspoken and take charge of decision-making while many women participate in the communal activities.</li> <li>c) Previous RDC had 2-year action plan though many of the plans were not</li> </ul>
		<ul> <li>building of community leaders</li> <li>e) Extent of trust in ABO/CBO by the local authority and community members</li> </ul>	and attitude as the servant le Apart from the new RDC a members, there are commu	CEP. Tap leaders were also GCEP in conflict resolution eader for the community. nd Water Committee nity facilitators who were C/ Water Committee and are	<ul> <li>implemented due to lack of resources. The new RDC will prepare the 5-year action plan soon.</li> <li>d) RDC and ZDC members newly elected are being trained by LCC in their roles as the community leaders in</li> </ul>

Evalua	Detailed			Results of Survey	255113 男子子 ム	
tion Item	Evaluation Questions	Required Information & Data	Sector-Wide Approach (George)	Integrated Approach (George)	No Intervention (Kalikiliki)	
	II-5 Has the	a) Contents of assets	<ul> <li>Experiences and knowledge community development ac succeeded at community lev human resources.</li> <li>e) The RDC reports the progr stakeholders through regula month.</li> <li>a) As a general perception b</li> </ul>	e in management of the tivities have been kept and vel through these existing ess of their activities to other r meeting held once a y the communities in their w	e) The community is supposed to be informed the progress of activities by the RDC through ZDC. vell-being/ ill-being, around 50% of	
	wealth and income of the target group increased?	hold by household and ownership	sample households in the areas answered they had suffered a setback in the compared with five years ago due to increase in living costs and unemployment or household income. 10-17% perceive that their livelihood improved with re- financial ability to buy more household goods due to increase of household income			
		<ul> <li>b) Main income source</li> </ul>	operation of own business households which depend Only an exception is found	s/ shop/ trading and involver on several income sources in	the office worker decreased while the nent of piecework increased. Also, ncreased to complement each other. Irce from government/ private works se of own business relatively.	
		c) Number of HH members earning living			d of their livelihood on one person.	
	W 1 H 4	d) Practice of saving	slightly increased in George same degree.	compared with five years ago	ctice of saving. Further, its percentage while the one in Kalikiliki remains	
	III-1 How was the community involved in the planning and implementation of the project?	<ul> <li>a) Status of community participation in the planning and implementation of the project</li> </ul>	<ul> <li>a) Resource available from the communal activities is labou</li> </ul>	2	People, especially women, participate in communal activities. They are currently busy constructing a bridge. Resources available from the community for communal activities are labour and cash.	
Sub-Question III: Applicability of Integrated Approach	III-2 What are the characteristics of the community?	<ul> <li>a) Formation and history of the community</li> <li>b) Demographic change, social structure, tradition and practices</li> <li>c) Consideration of gender and the disadvantaged</li> <li>d) Decision-making system</li> <li>e) Communal activities in the community</li> </ul>	<ul> <li>a) George Complex consists from 7 compounds named George, Soweto, Desai, Kizito, Lilanda Estate, Chikolokoso, Paradise and Lilanda Site 5. The area divided into 27 zones. LCC started the upgrading scheme including the site and service in 1974 with assistance from the World Bank.</li> <li>b) Population is getting increased and over spilled into western part. Number of population in the target areas of water supply project is approximately 100,000 excluding Lilanda Estate where the house connection from LWSC's existing system is maintained.</li> <li>c) George RDC newly elected</li> </ul>	<ul> <li>a) George Compound (Proper) which consists of zone 2-13 was used to be a commercial farm run by a white farmer named George in colonial days. After the independence of Zambia in 1963, migration by Zambian into George compound increased. The area has been an illegal settlement till LCC announced its recognition to start the upgrading scheme for George Complex as a whole.</li> <li>b) Number of population in George Compound is approximately 35,400. This area has the highest population density in the George Complex.</li> <li>d in July 2002 has Gender</li> </ul>	<ul> <li>a) In the 1950s a businessman was making bricks near the dam. He brought people into the settlement.</li> <li>b) In 1970s and 80s the population increased tremendously and currently estimated at 20,000. The settlement is still growing and some houses have been built around the compound like mushrooms, some of which were demolished by LCC in end of November 2002.</li> <li>c) There are lots of orphans,</li> </ul>	

Evalua	Detailed		Results of Survey		/////J 具 11 4
tion	Evaluation	Required Information & Data	Sector-Wide Approach	Integrated Approach	No Intervention (Kalikiliki)
Item	Questions		the stakeholders for develor Complex. ZDC in each zon to RDC in order to realise community members. Parti community are discussed in sub-committees under the e) There is an informal club calk small-scale business fund for characteristic of mutual-aid a community.	lecision-making at level and awareness of the issues related to gender. ing the community, hence households and ed Organisation to coordinate opment activities in George ne sends their representative the felt needs of the cular issues for the n and facilitated by RDC. ed "Chilimba" which is a r women. This fund has a among women in the	<ul> <li>widows, the aged and the poor while very little assistance from the external organisations. Many orphans are taken care of by the unemployed grandparents.</li> <li>d) The RDC is the co-ordination body for decision-making in the community. The old RDC was dissolved in June 2002 and the new committee was established at the end of November 2002. Handover of the office from the former RDC members took place in middle of December 2002. The new RDC has no sub-committees. When it is necessary the RDC calls for public meetings to discuss and decide the common issues for the residents.</li> <li>e) The community sometimes clear the garbage heap together. Currently people are working together to make a bridge, which was also decided at the public meeting organised by the RDC.</li> <li>a) Ersom IICA Davalencent</li> </ul>
	III-3 What kind of resources does the community have?	a) Natural resources and their utilisation b) Social resources and their utilisation c) Roles of the community leaders	<ul> <li>a) Small land for cultivation inside the settlement. Some people work lime stone in western part of George complex for construction material. The area was used to have forest which has now decreased due to cutting trees for making charcoal for energy and for sale. Additional information to be captured from community map</li> <li>b) They have basic/ primary schools, private clinics, market, churches and community school run by a church. Public taps and shallow wells for water source. Road and drainage were improved in very limited area. Most of the households in the area do not have electricity.</li> <li>c) There are community leaders such as churches leaders and councillors apart from ABO members. Church has very pivotal role to look after orphans and the sick through home based care. Councillors are regarded as bringing negative impact to development of</li> </ul>	<ul> <li>a) Same as other area of George complex. Additional information to be captured from community map</li> <li>b) They have basic/ primary schools, community school run by NGO, clinic, market and churches. Public taps and shallow wells for water source.</li> <li>c) Same as other area in George Complex. In addition, community-based organisations such as Neighbourhood Health Committee (NHC), George Environmental Health Committee (GEHC), Community Health Workers (CHW), Nutrition Promoters, Fee Paying Toilet Management Committee are active in George Compound in cooperation with George Clinic.</li> </ul>	<ul> <li>a) From JICA Development Study report.</li> <li>b) One public tap from LWSC water scheme is located at the end of Kalikilki and used for drinking water together with the taps in Mtendere. Shallow wells for washing and other use. 4 non-functioning boreholes. There is no formal school and clinic in the settlement. Community school for children is run by church. Road was used to be rehabilitated through the food for work programme by PUSH. Currently RDC is implementing bridge construction with community so that minibus will operate in the settlement.</li> <li>c) RDC is non-political and works for development of the community. Political leaders talk a lot but little action, except for campaign periods. Church organisations contribute services where there is no other opportunity such as education and adult literacy class.</li> </ul>

Mathematic biology         Required Information & Data         Sector Mide Approach         Lets Mid of Mints?         No Intervention (Kalikiliki)           Image: A state of the		D. ( 1 1				/がいり 具 キキ ム
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Evalua	Detailed					Results of Survey		
tion	Evaluation	Required Information &	5	Sector-Wide Approach	I	ntegrated Approach	N	o Intervention (Kalikiliki)
Item	Questions	Data		(George)		(George)		
	III-6 In what field has the community been supported by other donors and NGOs?	<ul> <li>a) Situation of interventions by other donors and NGOs</li> <li>b) Approach on community participation by other donors and NGOs</li> </ul>	a)	The World Bank and EU were used to assist LCC to upgrade the water scheme in George Complex in 1980s and early 1990s, respectively, though both of them failed due to vandalism by the community members. CARE has been active in George Complex since 1992 for complex since 1992 for compunity empowerment and strengthening the ABO through projects such as PUSH, PROSPECT and PULSE. Urban LUSAKA, one of the CARE-funded projects, is to construct orphanage in the area with considering the increase of orphans due to death of parents from HIV/AIDS. CARE's approach in interventions has been changed from a food-for-work programme at its start of the activities in Zambia to more long-term development programme. Community empowerment for poverty alleviation is the main goal of every intervention while specific activities such as community- infrastructure projects are considered as an entry point for establishment of the community-based initiatives. An integrated approach is employed and coordination of finding is promoted among the CARE-funded projects in order to maximise the expected positive impacts from the interventions.	a) b)	AMDA Zambia office is working with JICA PHC project in addition to interventions by CARE. Main activities by AMDA are training of CBOs working with George Clinic and community members in tailoring, running adult literacy classes and community farms. Salvation Army runs community school in the area. Considering that most of CHWs and Nutrition Promoters trained under the JICA PHC project were illiterate and did not have stable source of income, the initial target group of the activities by AMDA was CBOs, especially CHWs and Nutrition Promoters in order to improve incentives and economic strengthen for them. These activities to operate community farm aim to support CBOs and community members to operate community-based PHC programme in sustainable manner with providing incentives for the participants.	a) b)	In the past, PUSH has done food for work for road repair, drainage clearance, etc. A NGO called CINDI has just started distributing food for widows though its activity is rather limited. The RDC is aware of JICA's development study which made an action plan to construct borehole in the settlement and the community has put the land aside. No information

<b>D</b> G				ernal Factors		
Programme Summary	Verifiable Indicators	Achievement	Assumptions	Actual		
Overall Goal: Health and hygiene conditions of the people in the target area will be improved.	<ul> <li>Decreased infant mortality rate in the target area</li> <li>Decreased occurrences of water-born diseases in the target area</li> </ul>	<ul> <li>No impact observed (no reliable data)</li> <li>Among the borehole users 72.5%, 65.5% and 84% indicated the decrease in occurrences of diarrhea, eye diseases and skin diseases, respectively.</li> </ul>	<ul> <li>Overall political and economic conditions of the country will be stable.</li> <li>Provision of health services will be improved in the target area.</li> </ul>	<ul> <li>Political and economic conditions further deteriorated.</li> <li>Additional rural health centers are being built but not completed. A pilot project for prevention of malaria is being implemented.</li> </ul>		
<b>Project Purpose:</b> Safe drinking water will be supplied to the people in the target area in a sustainable way.	<ul> <li>Increased water coverage rate</li> <li>Increased number of water facilities in use</li> </ul>	<ul> <li>Decrease from 31.7% to 29% due to non-functional boreholes.</li> <li>The number of boreholes in the 12 wards increased from 107 to 144, though only 105 are functional.</li> </ul>	<ul> <li>Socio-economic conditions will not deteriorate further in the target area.</li> </ul>	<ul> <li>Socio-economic conditions in the area worsened due to 2 years of food shortage caused by drought.</li> </ul>		
Output: 1. 124 boreholes with hand pumps are constructed and yield sufficient water both in quantity and quality.	<ul><li>1-1 Number of boreholes with hand pumps constructed by the Japanese and Zimbabwean sides.</li><li>1-2 Quality and quantity of water supplied.</li></ul>	<ul> <li>1-1 30 boreholes with hand pumps were constructed by Japanese side. Since then ZINWA has drilled 34locations but only 10 produced sufficient water.</li> <li>1-2 Some boreholes were not used as pumping was very heavy (it could be due to low water table). A few people indicated water was not palatable.</li> </ul>	<ul> <li>Hydrological conditions of the target area will not get worse due to the drought.</li> </ul>	<ul> <li>Hydro-geological conditions prove to be difficult due to droughts.</li> </ul>		
<ol> <li>Technique of geophysical prospecting and drilling by the Zimbabwean counterparts are improved.</li> </ol>	<ul> <li>2-1 Success rate of drilling by the Zimbabwean counterparts.</li> <li>2-2 Progress of the construction works according to the plan.</li> </ul>	<ul> <li>2-1 Low rate of 10 out of 34.</li> <li>2-2 No information was so fare obtained (wafting for information from ZINWA).</li> </ul>				
<ol> <li>Capacity of the target communities is improved in operation and maintenance of the borehole water facilities.</li> </ol>	<ul> <li>3-1 Action taken by the water point committees for preventive maintenance and repair of hand pumps.</li> <li>3-2 Contribution from the community members for daily operation and maintenance of the water facilities.</li> </ul>	<ul> <li>3-1 Water point committees were formed at newly constructed water points. Little maintenance is applied, apart from sweeping the area and fencing. Capacity has not been built in the community.</li> <li>3-2 Funds were collected at the beginning, but in most cases it has stopped.</li> </ul>	<ul> <li>RDC will establish and provide support services for the communities.</li> </ul>	No support from RDC     or DDF.		
<ol> <li>Awareness of the communities in hygienic behavior and practice is improved.</li> </ol>	<ul> <li>4-1 Improved practice by the community members in terms of safe transport and storage of water and cleaning of surrounding area of water point.</li> <li>4-2 Improved practice by the community members in terms of hand washing.</li> </ul>	<ul> <li>4-1 For carrying water use of container with lid has increased from 10.5% to 43.5%. For water storage, keeping water in covered container inside the house has increased from 57% to 85.5%.</li> <li>4-2 Improvement was made in frequency though not in the method.</li> </ul>				

## Achievements of Binga Rural Water Supply Project

Activities:	Achievement:	Input:
<ul> <li>Formulation of Basic Design of the Project.</li> </ul>	Basic Design was formulated between January and June 1997.	Human Resources: • Japanese consultants
Procurement of survey and drilling equipments and materials.	Procured survey and drilling equipment and material were handed over to Zimbabwe in February 1998.	Counterparts     Japanese contractors
Technology transfer in geophysical prospecting and drilling works through construction of 30 boreholes by Japanese contractor.	Five boreholes with hand pumps were constructed between November 1998 and February 1999. Twenty five boreholes with hand pumps were constructed between April 1999 and October 1999.	Equipment: • Survey and drilling equipment and materials • Vehicles • Hand pumps
<ul> <li>Construction of remaining 94 boreholes by Zimbabwe government.</li> </ul>	<ul> <li>ZINWA drilled at 34 locations but only 10 were successful. Hand pumps were already placed for most of these 10 boreholes<sub>o</sub></li> </ul>	
Sensitization of community leaders.	<ul> <li>SCF conducted sensitization meetings for community leaders on CBM including health and hygiene aspects between September 1998 and July 1999.</li> </ul>	
Formation and training of Water Committees	<ul> <li>SCF organized community meetings at target localities and facilitated the formation of water point committees. At all the 30 newly constructed water supply facilities water point committees were formed but training were not adequate in most cases.</li> </ul>	
<ul> <li>Health and hygiene education to the community members.</li> </ul>	<ul> <li>At community meetings SCF included the importance of adequate health and hygiene practices but there was little follow up after the water facility construction to the community members from SCF.</li> </ul>	

D		t rigramme for improvement of Eiving Condition		nal Factors
Programme Summary	Verifiable Indicator	Achievement	Assumption	Actual
Overall Goal: Community-based initiatives will be applied to other areas related to improvement of the living conditions and livelihood by the Area Based/ Community Based Organisations (ABO/CBO) and local authority with utilising lessons learnt from the existing interventions.	<ul> <li>Increased number of projects for improvement of living conditions in the target area with community participation</li> <li>Status of mobilisation of resources by the ABO/CBO for community development activities</li> </ul>	<ul> <li>Intervention to improve environmental hygiene is being tried to expand into other areas of George Complex with an initiative by GEHC.</li> <li>GEHC and Drainage Committee working with George Clinic submitted proposal respectively to funding agencies to access to funds for implementing the projects. Meanwhile, mobilisation of resources, especially in cash, from the community members is getting difficult due to economic constraints at households.</li> </ul>	<ul> <li>LCC and other legislative organisations will control the development and upgrading of peri-urban areas with proper allocation of resources and obligation of the regulations.</li> </ul>	<ul> <li>LCC is currently executing demolition of the illegal settlement under construction in peri-urban areas. Resources of LCC to implement the upgrading of peri-urban areas are still limited and they cannot issue effective measures to control the violation of regulations.</li> </ul>
Programme Purpose: Health and hygiene conditions of the community members in the target area will be improved.	<ul> <li>Decrease of infection rate of the water-born diseases in the target area</li> <li>Decrease of infant mortality rate in the target area</li> <li>Decrease of malnutrition of children</li> </ul>	<ul> <li>More than 60% of sample households indicated decrease of diarrheea, cholera and eye diseases attributing to the access to clean water and improvement of hygiene conditions. Decrease of cases of cholera from 70/10,000 (1994) to 1/10,000 (2000).</li> <li>No statistical data was obtained on infant mortality rate.</li> <li>Around 70% of sample households in George Proper indicated the nutritional status of children as deteriorated compared with five years ago though underweight prevalence among uder-5 children decreased from 25% (1998) to 15% (2001).</li> </ul>	<ul> <li>Knowledge on lessons learnt and experiences from the community-based interventions will be succeeded within the ABO /CBOs in the target area.</li> <li>The Government of Zambia will maintain the policy on improvement of the environmental and living conditions of the peri-urban areas in partnership with the community members.</li> </ul>	<ul> <li>Human resources who were trained and worked as the ABO/CBO members are appointed as the community facilitators to support RDC and other ABO/CBOs. Record keeping by RDC is still to be improved.</li> <li>The Government of Zambia is maintaining the policy on community-based approach for improvement of living conditions of peri-urban areas.</li> </ul>
Output: 1. Water supply services are utilised by the community members in the target area in sustainable manner.	<ul> <li>1-1 Increased number of users in different socio-economic categories</li> <li>1-2 Payments from users meeting the operation and maintenance costs</li> <li>1-3 Quantity of water supply/capita/day</li> </ul>	<ul> <li>1-1 User of water services through communal tap as their water source for drinking increased to nearly 100% compared with five years ago (65%). Small portion of households still use shallow wells as the source for drinking water due to difficulty in paying user fee for water supply services.</li> <li>1-2 Costs for operation and maintenance of water scheme are 100% covered by the payments from users.</li> <li>1-3 Quantity of water supply is generally meeting the consumption</li> </ul>	<ul> <li>Socio-economic environment of the target area will not decline to an extent which they cannot afford to use the basic social services.</li> <li>Condition of groundwater provided will not worsen to affect sustainable operation of the water scheme.</li> <li>Service providers will continue provision of services in the target area.</li> </ul>	<ul> <li>Economic conditions of the country have worsened in five years attributed to soaring inflation, structural reform and droughts.</li> <li>Drought in 2000/2001 partly affected the water level of groundwater to supply the target area. It has recovered to the appropriate level for operation.</li> <li>LWSC continues operation of water supply services based on</li> </ul>

## Achievements of Programme for Improvement of Living Conditions for George Complex, Lusaka

D	G	X7 10 11 X P. 4		Exter	nal Factors
PI	rogramme Summary	Verifiable Indicator	Achievement	Assumption	Actual
2.	Community-based primary health care services are operated in sustainable manner.	<ul> <li>2-1 Increased number of staff for health centre/ community health workers in the target area and improvement of their capacity</li> <li>2-2 Status of monitoring by the community health workers</li> </ul>	<ul> <li>2-1 25 CHWs were trained in the PHC project in addition to existing 26 members who also received refresher-training course. CHWs obtained adequate knowledge to plan and conduct health and hygiene programme for the community members resulting increase of activities by them under supervision by the health centre staff.</li> <li>2-2 Due to increase of participation of CHWs in health monitoring in community, the workload of the health centre staff was reduced. They are trying to establish financial sources for CBOs to continue the activities.</li> </ul>		the partnership agreement with the RDC/ Water Committee. The current management system was reviewed under the GCEP for further improvement.
3.	Knowledge and behaviour of the community members are improved in terms of relation between water, sanitation and hygiene.	<ul> <li>3-1 Practice to maintain/improve water quality at household</li> <li>3-2 Hygienic practice for drawing, carrying, storing and drinking water</li> <li>3-3 Utilisation of different water sources in compliance with the usage</li> <li>3-4 Practice to improve environmental sanitation at household level (proper excreta disposal and household waste disposal)</li> </ul>	<ul> <li>3-1 Household treating drinking water at household decreased about 40% compared with five years ago. Using chlorine becomes common method for water treatment than boiling in expectation effectiveness and easiness for handling.</li> <li>3-2. Container with a lid is the most prevalent type of vessel for drawing, carrying and storing water.</li> <li>3-3. Most of the households use water from communal tap for both drinking and washing though use of shallow well for washing and other purpose such as gardening and moulding of bricks is also found in the community, especially in rainy season. LCC is advising the owners of shallow wells to lock the wells and not to allow neighbours to use it in order to discourage the residents to fetch drinking water from shallow wells.</li> <li>3-4. Households taking garbage to the collection site doubled in George Proper compared with five years ago. In most cases, the sites are self-made near the houses with little consideration of hygiene. For sanitation facility, household owing latrine decreased and those people share the facilities with neighbours. Traditional pit latrine is used mostly by the residents. Prevalence of the improved type of latrine has not been achieved much.</li> </ul>		
4.	ABO/CBOs are enabled to identify the felt needs of the community and take initiatives for realisation of improvement of the living conditions in partnership with local authority and other stakeholders.	<ul> <li>4-1 Status of community participation in decision-making on the projects for improvement of the living conditions</li> <li>4-2 Status of implementation of the action plans elaborated by the ABO/CBO</li> <li>4-3 Extent of trust in the ABO/CBO by the local authority and community members</li> </ul>	<ul> <li>4-1 ZDCs were formed in all 27 zones in George Complex as the unit to discuss and make decisions on common development issues for residents living in each zone. Community members also send their representative through ZDC to RDC.</li> <li>4-2 Newly elected RDC has just elaborated an action plan hence not much progress in realisation. CBOs working with health centre also make their action plans.</li> <li>4-3 Local authority and service providers regard ABO/ CBO in the area as their partner to execute the development activities. Awareness and evaluation on the ABO/CBO by the community members varies</li> </ul>		