

Attachment-5 Environmental Monitoring Form/ Social Monitoring Form

A. Environmental Monitoring Form

Environmental Monitoring will be commenced from the construction stage.

B. Social Monitoring Form

Social monitoring form in November 2019 (from December 2018 to November 2019) is referred to the following pages.

SOCIAL MONITORING FORM

in November 2019

I	Monitoring Item; Monitoring Frequency;	Public Meetings (regardless of official or non-official) Quarterly
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No.	Date of Public Meeting	Venue	No. of Participants			Main Topic	Comments/ Requests, etc. from Participants
			Male	Female	Total		
1	29/07/2019	Primary school in Kween	-	-	32	PACC meeting	See attached minutes of meeting
2	29/07/2019	Primary school in Bulambuli	80	30	110	General assembly meeting	See attached minutes of meeting

Note: The each record of detailed contents should be kept when the meetings organized.

II	Monitoring Item; Monitoring Frequency;	Seminars relevant to the Project* Quarterly
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No.	Date of Seminar	Venue	No. of Participants			Agenda	Comments/ Requests, etc. from Participants
			Male	Female	Total		
	(No seminars)						

Note: Seminars on Agricultural Chemicals Usage.
The each record of detailed contents should be kept when the seminar organized.

III	Monitoring Item; Monitoring Frequency;	Water Users' Association (IWUA) Member List Quarterly
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No.	Village/ Parish/ Sub-county/ District	Sex		Name of Member
		Tick a box (✓)		
		Male	Female	
	(Not established)			

IV	Monitoring Item; Monitoring Frequency;	Complaints relevant to the Project Quarterly
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(1) Summary

Item	Total No. of Complaints Received	Total No. of Complaints Justified	Total No. of Solved Complaints
VGRC*1			
DGRC*2			
Land Tribunals			
a) Village level			
b) Parish level			
c) District level			
Courts of Law			
Other (Specific:)			

*1: VGRC (Village Grievance Redress Committee)

*2: DGRC (District Grievance Redress Committee)

(2) Complaint List by Matter

No.	Date	Complainant Tick a box (✓)		Name	Subject Matter	Clarification on Who initiated the referral
		Male	Female			
1						
2						

V	Monitoring Item; Monitoring Frequency;	Progress of RAP Activities Quarterly
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Item	Completion Date Period	Expected Date of Completion
RAP Finalization Period	Aug 2018	Aug. 2018
Submission to CGV ¹	Aug. 2018	Aug. 2018
Approval by CGV	Oct. 2018	Aug. 2018
Procurement of RAP Implementation Consultant		May. 2018 – Aug. 2018
Approval for payment by MAAIF		Aug. 2018
Preparation of Compensation Funds by MAAIF		Aug. 2019 – March. 2020
Disclosure of Compensation Amounts		Jan. 2020 – Feb. 2020
Opening Banks Accounts of for PAPs		Jan. 2020 – Feb. 2020
Training Programmes		Feb. 2019
Payment of Compensation to all PAPs		Feb. 2020 – Mar. 2020
Grievance Resolution and settlement of disputes		Jan. 2020 to End of RAP
Implementation of Livelihood Restoration Programmes		March 2020 – June. 2020
Assistance of Vulnerable People		Feb. 2020 – June. 2020
External Monitoring and Evaluation		April. 2020 – June 2020
RAP Audit Report		June 2020
RAP Completion		Jul. 2020

Note: Chief Government Valuer, under the Ministry of Lands, Housing, and Urban Development.

VI	Monitoring Item; Monitoring Frequency;	Progress of Compensation Payment, Land Acquisition and Resettlement Quarterly
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Activity	Planned Total	Unit	Progress in Quantity		Progress in Percentage		Expected Date of Completion	Completion Date
			Up to Previous Quarter	Up to Current Quarter	Up to Previous Quarter	Up to Current Quarter		
Progress of Compensation Payment								
Kween		HHs						
Bulambuli		HHs						
Progress of Land Acquisition								
Kween		ha						
Bulambuli		ha						
Progress of Asset Replacement								
Kween		HHs						
Bulambuli		HHs						
Progress of Resettlement of People								
Kween		HHs						
Bulambuli		HHs						
Progress of Providing Livelihood Support								
Kween		HHs						
Bulambuli		HHs						
Progress of Land Re-organization (Model Site: 12 ha)								
Kween		ha						
Bulambuli		ha						

VII	Monitoring Item; Monitoring Frequency;	Actual Amount paid and timeliness of Compensation Payment Quarterly
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RAP ID No.	Name of HH members	Amount of Payment (UGX)	Date of Payment	Signature	
				Payer	Receiver
1					
2					
3					

VIII	Monitoring Item; Monitoring Frequency;	Economic Situation Annually (One time each at before and after compensation payment) (Simple Random Sampling)
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Sex of HH Head (✓)		Main Income Source of HH	Amount of Annual Income (UGX)	Amount of Annual Expenditure (UGX)
Male	Female			

IX	Monitoring Item; Monitoring Frequency	Household Members Annually (One time each at before and after compensation payment) (Simple Random Sampling)
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Name of HH members	Sex (✓)		Age	Marital status (✓)		Relationship to the HH Head	Residential status	Movement in/ out of household members
	Male	Female		Single	Married			

X	Monitoring Item; Monitoring Frequency;	Involvement of PAPs in Project Construction Quarterly (During Construction)
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Item	No. of PAPs		
	Male	Female	Total
Number of PAPs employed in the Project Construction			

XI	Monitoring Item; Monitoring Frequency;	Hazard and Disturbance Monthly (During Construction)
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Item	Monitoring Results
No. of Households Affected by hazards and Disturbance from Construction	HH(s)
No. of Patients among construction workers	Person(s)
No. of accident relevant to the Project Construction	Accident(s)
Children labor during Project Construction	Person(s)
Crimes by construction workers including sexual harassment	Crime(s)

XII	Monitoring Item; Monitoring Frequency;	Extent of damage to existing infrastructures Monthly (During Construction)
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No	Date	Venue	Details of Contents	Owners of existing infrastructures	Solution
1					
2					

XIII	Monitoring Item; Monitoring	Case of conflict between construction workers and community members Monthly (During Construction)
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Frequency:				
No.	Date	Venue	Details of Contents	Solution
1				
2				

Some of the activities undertaken during the environment and social monitoring are indicated in the findings below;

Activity 1:

1. **Activity** Identification and quality assurance of findings of the valuation report of the Project Affected Persons (PAPs) during the resettlement action plan for Atari irrigation scheme
2. **Activity Date** 29th July 2019
3. **Location** The Proposed Atari irrigation scheme is found in Bulambuli and Kween Districts separated by the Atari River
4. **Background of the activity** MAAIF undertook this activity so as to quality assure the findings of the RAP study before the PAPs can be compensated. A sample of the PAPs from the PAPs register is taken for purposes of carrying out this exercise.
5. **Objectives of the Activity**
 - To update the project beneficiaries on the present progress of the project
 - To identify and verify the project affected persons and their valued property,
 - To clarify on any issues regarding the Resettlement Action Plan and the project in general
6. **Expected outputs**
 - PACCs, PDCCs, district and local leaders informed about the progress of the project
 - Participants educated about the RAP process and requirement for compensation
 - PAPs identified and verified

7. **Team**

MAAIF STAFF		
1.	Mr. [Name]	Senior Engineer
2.	Mr. [Name]	Engineer
3.	Mr. [Name]	Engineering Trainee

8. **Description of the Activity** The following activities were carried out by the MAAIF team during the implementation of the activity:
 - The team made a courtesy call to the Bulambuli Chief Administrative Officer (CAO), and held a meeting with the district technical teams i.e. District

Production Officers, District Agricultural Officers, Agricultural Officer and Agricultural Engineer to brief them about the purpose of the activity.

- A general meeting was held to update the participants about the project and discuss the resettlement action plan, and finally report on the details of pertinent key technical, institutional, socioeconomic and environmental issues that will have to be taken into consideration in understanding the project and selected options. In attendance were the PDCC representatives from Kween and Bulambuli, PACCs, Sub-county leadership and Local Council leaders.
- The team undertook the task to identify and verify a representative sample of Project Affected Persons from the PAP register and their valued property prepared during the Resettlement Action Plan study. The team visited the PAPs from both Bulambuli and Kween were visited and had interactions with them to assess their level of readiness to receive compensation and be resettled.

9. Proceedings of the Meetings

- The participants were reminded that this was grant aid from the Government of Japan to Uganda and the people of Bulambuli-Kween.
- The participants were willing and ready to have the project and are very grateful to JICA and GOU for the development. Farmers have always been asking their leaders about the progress of the project, therefore this meeting was timely
- The stakeholders were informed that the detailed design of the irrigation scheme was about to be concluded by the consultant, the tender documents were under preparation and would be completed in a months' time.
- Beatrice, Bulambuli DAE was introduced to the PACC and PDCC as very instrumental in the Operation of the irrigation scheme and handling technical issues after completion as she will be on ground.
- There shall be geotechnical investigations carried on in the next week thus the chairpersons PACCs should be prepared. The investigations shall be done in 3 locations, therefore the leaders were called upon to make the farmers aware and offer all necessary assistance to the consultants. The investigations shall be non-destructive and the soils shall be replaced after pitting.
- MAAIF is in the final stages of acquiring the RAP implementation consultant. There are still pending issues such as some PAPs missing on the register, some names were not rightly captured, the emerging issues shall be handled by the consultant. The RAP implementation consultant will have an office in the project area to handle all the issues of resettlement. The leaders were called upon to play their role in preparing the people for the compensation and the project.
- The team also guided the members on the requirements for one to receive their compensation and these included, forms. National ID and a Bank account
- The PAPs were encouraged to acquire National IDs as it shall be mandatory to have one during compensation. Government pays through bank accounts therefore PAPs should also get bank accounts as early as possible. They will be required to present their

bank statements to prove ownership of accounts. Regarding opening bank accounts, the MAAIF team said they will liaise with some banks to extend services closer to the people.

10. Discussions

- The district committees need to have sub county leaders who can identify the rightful owners of land and property.
- PAPs are not are of Bank operations, they requested that banks come close, on ground to register farmers
- There are cases of exchanges of land where some PAPs have sold land and hence there maybe need for transfer of ownership.
- Funds are going to be channeled through banks. for PAPs who shall receive little money, mechanism needs to be put in place for them to receive their compensation on ground so as not to incur bank charges and transport to Mbale
- Shall PAPs wait for all issues and grievances to be handled before payment or PAPs without complaints shall be paid as grievances are being handled. The former is likely to cause delays.

11. Findings from the Activity

- The Resettlement Action Plan was successfully implemented and the PAPs appreciate the participatory process and transparency that demonstrated during the RAP.
- Verification of the valuation of property and land showed that the information was accurate and reliable.
- The PAPs need to be prepared to receive their compensation to avoid misuse and wastage.

12. Conclusion and Recommendations

The activity was successful undertaken with a lot of lessons learned by the PAPs concerning RAP. The meeting proceedings went on well and members were well pleased with how far the RAP process has gone. Continued monitoring and information sharing among the PDCCs, PACCs, and PAPs should be done so as to deliver positive results.

13. Annexes

- i. Agenda of meeting with PACC, PDCC
- ii. PAPs verified
- iii. Pictorial
- iv. Attendance sheets

Annex II. Project Affected Persons identified and verified

District	Name of PAP	Property valued
Bulambuli	1. ██████████	0.324 acres, 28 banana clumps, 39 orange trees, 4 mangôes
	2. ██████████	0.071 acres
	3. ██████████	30 mature bush trees, 2.564 acres
	4. ██████████	1.322 acres
	5. ██████████	Acacia trees, Bush trees, 1.689 acres
	6. ██████████	1.344 acres
Kween	1. ██████████	17.55 acres, 90 acres trees, 0.189 acres
	2. ██████████	2.269 acres, 180 sqm of fence
	3. ██████████	1.698 acres
	4. ██████████	1.64 acres
	5. ██████████	1.6 acres
	6. ██████████	2 trees, 3.12 acres
	7. ██████████	1.376 acres
	8. ██████████	3.319 acres
	9. ██████████	198 mature banana clumps, 0.451 acres

Activity 2:

Activity Sensitization on the Grievance Redress Mechanism for Atari irrigation scheme

Activity Date 29th July 2019

Location The Proposed Atari irrigation scheme is found in Bulambuli and Kween Districts separated by the Atari River

Background of the activity MAAIF is ready to undertake the RAP implementation. However, before the implementation can be done, a Grievance Redress Mechanism (GRM) needs to be in place with clear structures and composition of members to the established structures. These members however need to be informed of their key roles and procedure to be followed while addressing grievance related issues. The GRM committee shall help sort out some of the complaints raised by the PAPs before they are escalated. As such, PACC and PDCC members were sensitized on this committee and its importance.

Objectives of the Activity

- To update the project beneficiaries on the present progress of the project
- To discuss the grievance redress mechanism with the PACCs, PDCCs, Local Council leaders and District technical staff.

Expected outputs

- PACCs, PDCCs, district and local leaders informed about the progress of the project
- Participants educated about the grievance redress mechanism and composition of the grievance redress committees

Team

MAAIF STAFF		
4.	XXXXXXXXXXXXXXXXXXXX	Senior Engineer
5.	XXXXXXXXXXXXXXXXXXXX	Engineer
6.	XXXXXXXXXXXXXXXXXXXX	Engineering Trainee

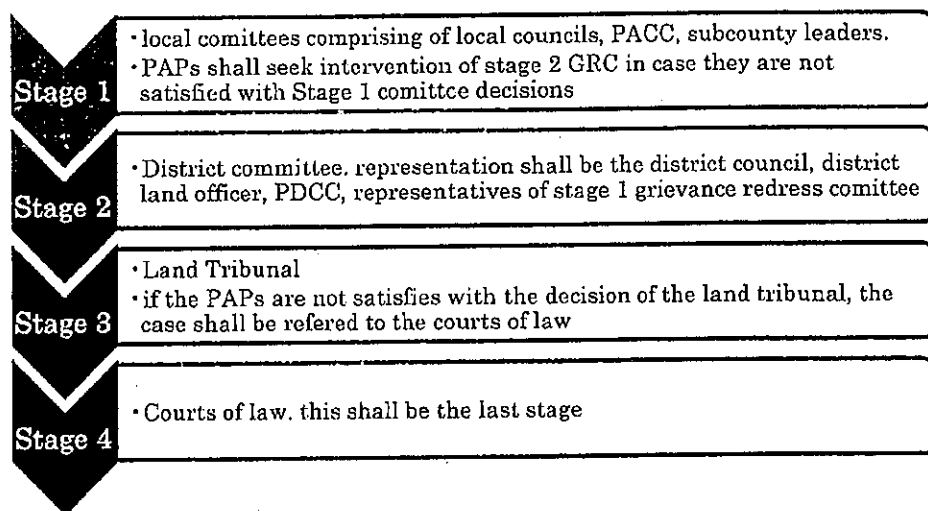
Description of the Activity The following activities were carried out by the MAAIF team during the implementation of the activity:

- The team made a courtesy call to the Bulambuli Chief Administrative Officer (CAO), and held a meeting with the district technical teams i.e. District Production Officers, District Agricultural Officers, Agricultural Officer and Agricultural Engineer to brief them about the purpose of the activity.
- A general meeting was held to update the participants about the project and discuss the grievance redress mechanism for the project and the formation and composition of the grievance redress committees. In attendance were the PDCC representatives from Kween and Bulambuli, PACCs, Sub-county leadership and Local Council leaders.

Proceedings of the Meetings

- The participants were willing and ready to have the project and are very grateful to JICA and GOU for the development. Farmers have always been asking their leaders about the progress of the project, therefore this meeting was timely

- The participants were reminded that this was grant aid from the Government of Japan to Uganda and the people of Bulambuli-Kween.
- The stakeholders were informed that the detailed design of the irrigation scheme was about to be concluded by the consultant, the tender documents were under preparation and would be completed in a months' time.
- The stages of the Grievance Redress mechanism were presented to the members. The leaders were urged to be prepared for dispute resolution in a fast manner.
- The RAP implementation consultant shall identify the PAPs and crosscheck their details on the valuation and confirm consent for the valuation of the property. PAPs, District and sub-county leaders shall form local committees to handle any grievances, and refer those above them to the next level. The RAP implementation consultant shall set up an office on site to handle the grievances.
- Disputes expected to be handled include boundary disputes, PAPs identification and disputes among family members.
- The leaders informed to be ready to address the grievances in time so as not to affect subsequent activities, the leaders need to oversee the processes of the grievance redress.
- There will be four stages on the grievance redress mechanism and each stage will give a detailed overview well documented to the next and will be represented.



: Discussions

- The district committees need to have sub county leaders who can identify the rightful owners of land and property.
- There are cases of exchanges of land where some PAPs have sold land and hence there maybe need for transfer of ownership.
- Shall PAPs wait for all issues and grievances to be handled before payment or PAPs without complaints shall be paid as grievances are being handled. The former is likely to cause delays.

: Findings from the Activity

- Discussions with the leaders show that there are still some cases and grievances that need to be ironed by the RAP implementation consultant. These grievances shall be addressed together with the grievance redress committees in the different stages

Conclusion and Recommendations The activity was successful undertaken with a lot of lessons learned by the PAPs concerning GRM. The meeting proceedings went on well and members were well pleased with the progress. Continued monitoring and information sharing among the PDCCs, PACCs, and PAPs should be done so as to deliver positive results.

- Annexes**
- v. Agenda of meeting with PACC, PDCC
 - vi. Pictorial
 - vii. Attendance sheets

Annex III. Pictorial

