# JICA's Project Evaluation System and its Features

To improve its projects and ensure accountability to stakeholders, JICA conducts project evaluation and comprehensive or cross-sectoral thematic analysis. The evaluation system of JICA has five features: (1) consistent evaluation throughout the project's PDCA cycle; (2) coherent evaluation methodologies and criteria among three schemes of cooperation (for overview of the three schemes, refer to [→ JICA Annual Report 2021 "About JICA: An Overview of Programs and Strategies"\*1]); (3) comprehensive and crosssectoral evaluation and analysis through a thematic evaluation; (4) ensuring objectivity and transparency; and, (5) emphasizing use of evaluation results. For details, refer to [+ Project Evaluation in JICA ] Evaluations | Our Work – JICA\*2].

### **Project evaluation**

JICA's development projects are implemented in a continuous cycle of Plan, Do, Check, and Act. JICA evaluates its projects at each stage of this project cycle, from ex-ante to ex-post, and with coherent evaluation methodologies and criteria among three schemes of cooperation (Technical Cooperation, ODA Loans and Grant Aid).

### Pre-implementation stage (ex-ante evaluation) <Plan>

At the pre-implementation stage, JICA conducts ex-ante evaluation and publishes the result in a form of "ex-ante evaluation sheet." During the ex-ante evaluation, JICA confirms the priority and necessity of the project, determines outcomes and purposes of the project, verifies the contents and expected effects of cooperation and defines indicators used to measure the effect before implementing the project, with the DAC evaluation criteria in mind (refer to P.11). The proper reflections on environmental and social consideration results and on lessons learned from past projects are also confirmed at this stage. Plan **OUtilization of results:** Ex-ante evaluation results are reflected to the project plan **Pre-implementation** while indicators and target values defined are used for subsequent monitoring and stage evaluation of the project.

For details of ex-ante evaluation and ex-ante evaluation sheet published, refer to [→ About Pre Implementation Stage Evaluation (Ex-Ante Evaluation) | ODA Loan | Evaluations | Our Work – JICA\*3]

### Feedback stage <Action>

JICA promptly utilizes the lessons learned and recommendations obtained in the course from ex-ante to ex-post evaluations to improve ongoing projects and follow up on past projects as required, and leverage this information to formulate and implement similar projects going forward. In addition, JICA will further strengthen the provision of feedback to the JICA's basic cooperation policy. Moreover, JICA strives to reflect the evaluation results in its development policies, programs and the respective projects of recipient governments by giving feedbacks of the evaluation results to them.

Refer to P.37 for some good practices which implemented projects efficiently and effectively by utilizing lessons learned from past similar projects.

Results of the project evaluation are available on JICA's website

elated link https://www.jica.go.jp/english/our work/evaluation/index.html

Action

Feedback stage

### Comprehensive and cross-sectoral evaluation and analysis

JICA conducts a comprehensive or cross-sectoral analysis of particular themes, such as region-, issue-, sector, and types of assistance-specific subjects, to identify trends and challenges that are common to a specific subject, or to compare and categorize projects to derive features or good practices. The purpose of this kind of analysis/evaluation is to extract lessons and recommendations that cannot be drawn from individual ex-post project evaluations. In FY2021, five thematic evaluations are outlined in this report: Nutrition Improvement through a Multifaceted Approach (P.38-39); Analysis of Evaluation Methodologies for Scholarship Programs (P.40-42); Extraction of Practical Knowledge Lessons in the Rural Water Supply Sector (P.42-43); Examination of Evaluation Methods for Mobilization of Private Financing (P.44-45); and, Impact from JICA's Cooperation in Health Sector (Infectious Diseases Control) and Socio-Economic Development in Developing Countries (P.46-47).

For details of comprehensive and cross-sectoral evaluations and analyses conducted in the past, refer to [➡ About Thematic Evaluation | Evaluations | Our Work – JICA\*4].

### Implementation stage (monitoring) <Do>

Do

Implementation

stage

Check

Post-implementation

stage

At the implementation stage, each project is monitored based on the evaluation plan and indicators set during the ex-ante evaluation. JICA confirms whether the project activity is progressing as planned and whether outcomes have been properly achieved and adjusts trajectory as required.

> **OUtilization of results:** The expected achievement of targets set during the preimplementation stage, project progress and factors promoting or hindering progress are all analyzed via monitoring. The project plan is also reviewed as needed in line with any changes encountered during implementation. For mid-term reviews and terminal evaluations conducted in the past, refer to [+ About Implementation Stage Evaluation | ODA Loan | Evaluations | Our Work - JICA\*5].

### Post-implementation stage (ex-post evaluation <Check>

At the post-implementation stage, JICA conducts ex-post evaluation once the project is completed\*<sup>6</sup>. From the perspective of the DAC evaluation criteria, JICA especially focuses on ascertaining whether the project activity was appropriate for achieving the development effects and which actual effects were achieved by the project. Ex-post evaluations are conducted externally by third-party evaluators or internally by JICA overseas office staff members (for details of external and internal evaluation systems, refer to P.10-11).

**OUtilization of results:** To further improve future projects, useful recommendations, lessons learned and good practices are all extracted. For details of ex-post evaluation and ex-post evaluation results, refer to [+ About Post

Implementation Stage Evaluation (Ex-post Evaluation and Ex-post Monitoring) | Ex-post Evaluation | Projectlevel Evaluation | Evaluations for Technical Cooperation and Grant Aid | Evaluations | Our Work - JICA\*7].

<sup>\*1</sup> https://www.jica.go.jp/english/publications/reports/annual/2021/fp4rrb000000sky0-att/2021\_01.pdf

https://www.jica.go.jp/english/our\_work/evaluation/about.html \*3 https://www.jica.go.jp/english/our\_work/evaluation/oda\_loan/economic\_cooperation/about.html

 <sup>\*4</sup> https://www.jica.go.jp/english/our\_work/evaluation/tech\_and\_grant/program/index.html
 \*5 https://www.jica.go.jp/english/our\_work/evaluation/oda\_loan/review/about.html

 <sup>\*6</sup> For projects costing less than 20 million yen, their outcomes are confirmed at the project completion.
 \*7 https://www.jica.go.jp/english/our\_work/evaluation/tech\_and\_grant/project/ex\_post/about.html

## **Overview of the Ex-post Evaluation System**

JICA evaluates projects implemented under three schemes (Technical Cooperation, ODA Loans and Grant Aid), from the pre-implementation stage to after completion, applying coherent methodologies and perspectives (for overviews of the three schemes, refer to [→ JICA Annual Report 2021 "About JICA: An Overview of Programs and Strategies]<sup>\*1</sup>). Once the project is completed, JICA conducts an ex-post evaluation, either externally via third-party evaluators or internally, via overseas office staff. By adopting a basic framework that is commonly applicable to different schemes and evaluators, JICA strives to conduct evaluations and utilize the evaluation results coherently.

#### External and internal evaluation systems

Projects costing one billion yen or more are subject to external evaluations, which are conducted by third-party evaluators to ensure the transparency and objectivity of the evaluation results (see P.12 for evaluation results and pp. 16-27 for highlighted projects). Meanwhile, projects costing from 200 million up to one billion yen are subject to internal evaluations undertaken by overseas office staff and similar (JICA Overseas Office, Branch or Regional Department staff in the countries and regions where the projects are conducted) (see P.13 for evaluation results and P.34-36 for highlighted projects).

For a list of external evaluators of 73 projects with results confirmed in FY 2021, see [→ List of external evaluators assigned in FY 2020<sup>\*2</sup>].

As internal evaluations are conducted primarily by JICA's overseas offices, the evaluation focuses on a "learning" perspective, such as drawing practical lessons based on the project background, to utilize them to improve subsequent project implementation or formulate future projects.

Overseas offices and similar allocate their staff to each project and determine the evaluation results by defining the evaluation framework, conducting a field survey, completing the evaluation based on information and data collected, discussing with the implementing/executing agencies of the partner countries and other activities. The number of staffs, their knowledge and experience in the evaluation varies among overseas offices. To ensure they can proceed smoothly throughout the internal evaluation process, the Evaluation Department develops evaluation criteria and manuals. Also, it provides various forms of support to improve the evaluation capacity of the relevant staff through training sessions and preparing documents used during the evaluation process. In addition, JICA monitors the quality of internal evaluation results using third parties to improve evaluations, make them more objective and impartial and enhance accountability. For details of third-party quality check systems, see [→ External third-party Quality Check of internal ex-post evaluation results | Evaluations | Our Work – JICA\*3].

#### **Ex-post evaluation framework**

While considering various features among each scheme and evaluator, JICA aims to conduct evaluations and utilize the evaluation results coherently by establishing a consistent framework. Specifically, the evaluation framework reflects: (1) an evaluation applying the evaluation criteria laid out by the Organisation for Economic Co-operation and Development/Development Assistance Committee (OECD/DAC) (DAC Evaluation Criteria), which constitute an internationally accepted ODA evaluation methodology; and (2) publication of evaluation results in uniform style, leveraging a rating system developed by JICA. With the adoption of the Agenda 2030 and Sustainable Development Goals (SDGs) in 2015, DAC started reviewing its evaluation criteria. In 2019, a new criterion (Coherence) was added and new six criteria (Relevance, Coherence, Effectiveness, Impact, Efficiency and Sustainability) were redefined. Following this revision, JICA has applied the new evaluation criteria from projects evaluated in FY 2021. Since the evaluation results on this report had evaluations conducted before FY 2020, those projects were evaluated based on the former criteria (Table 1). For details of new evaluation criteria applied by JICA, see [→ P.54-55 of the JICA Annual Evaluation Report 2020\*4]

#### How to search for and read ex-post evaluation results

JICA publicizes project evaluation results online and users can search for project results by [ > Search Page for Evaluation Reports | Evaluations | Our Work | JICA<sup>\*5</sup>]. This report also introduces those projects with evaluation results confirmed in FY 2021 (P.14-15 and 32-33 for external and internal evaluations, respectively). Click the project title shown to open its evaluation report/results.

JICA publicizes ex-post evaluation results either in a report or sheet format, usually 20 or 5 pages in length, respectively.

JICA evaluates each project in line with the DAC Evaluation Criteria: (1) Relevance (and Coherence), (2) Effectiveness and Impact, (3) Efficiency, and (4) Sustainability, to draw an overall rating, recommendation and lessons learned. In order of (1) to (4), perspectives are unified as required to score the criteria on the four-level sub-rating scale (revised from the former three-level scale). Combining sub-ratings from (1) to (4) to judge the overall rating on the four-level scale: highly satisfactory (external evaluation rating: A); satisfactory (B); partially satisfactory (C); and unsatisfactory (D). Since the rating is used as a means of indicating the effectiveness of the projects and applied to all projects uniformly, it does not reflect other aspects such as difficulties in implementing projects.

Recommendations refer to proposals for helping improve those projects evaluated. Most involve how to eliminate factors hindering optimal project results (such as a factor hindering a project from achieving its purpose and sustainability). Lessons refer to proposals drawn from those projects evaluated and which can be referred to in future or other ongoing projects.

Lessons can be learned from both successful and failure cases covering wide-ranging matters including project design, planning, supervision, monitoring and operation and maintenance. On P.37, this report introduces some good practices in which a project was implemented efficiently and effectively by utilizing lessons learned from past similar projects.

#### Table 1 Definition of the former JICA evaluation criteria based on the five DAC Evaluation Criteria (Evaluation results shown in this report are based on the former criteria)

Criterion title	Definition of form
Relevance	Examine the extent to which the cooperation objectives a and donor: Does the goal of the projects meet the need consistent with the overall goal and the attainment of its ob
Effectiveness	Measure the extent to which the program or project attain
Impact	Examine positive and negative changes as a result of the unexpected effects.
Efficiency	Measure the outputs in relation to the inputs to determ desired results.
Sustainability	Examine whether the benefits of the project are likely to la

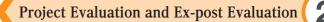
#### Table 2 Definition of new JICA evaluation criteria based on new six DAC Evaluation Criteria (applicable for project evaluation conducted from FY 2021)

Criterion title	Definition of ne
Relevance	<ul> <li>Validity with project implementation (with the recipient of beneficiaries' needs in the target area)</li> <li>Whether the project is designed to focus on "beneficiaries' whether the project is adaptable enough to remain relevent of the project plan and logic of approace</li> </ul>
Coherence	<ul> <li>Consistency with development assistance policies of the</li> <li>Synergies effect/mutual relations with JICA's other projection</li> <li>Complementarity, harmonization, and collaboration with organizations, etc.; consistency with global frameworks (international norms and standards; and producing expection)</li> </ul>
Effectiveness	The degree of achievement of target level in target year equipment) and any differential results across the groups
Impact	<ul> <li>Positive and negative indirect and long-term effects (syster and the environment)</li> </ul>
Efficiency	Comparison of planned and actual project inputs, project
Sustainability	<ul> <li>Outlook on sustainability of effects that are realized by the</li> <li>Institutional/organizational sustainability (organizational sustainability (availability of funds to cover the organizational sustainability, resilience to risks, and operation and main</li> </ul>
*1 https://www.iica	ago in/english/nublications/renorts/annual/2021/fn4rrh0000000sky0.att/20

https://www.jica.go.jp/english/publications/reports/annual/2021/fp4rrb000000sky0-att/2021\_01.pdf https://www.jica.go.jp/english/our\_work/evaluation/reports/2021/iu2gj8000000mpj-att/list\_2021.pdf

\*3 https://www.jica.go.jp/english/our\_work/evaluation/quality\_check.html \*4 https://www.jica.go.jp/english/our\_work/evaluation/reports/2020/index.html

\*5 https://www2.jica.go.jp/en/evaluation/index.php



#### mer five evaluation criteria are suited to the priorities and policies of the target group, recipient

eds of beneficiaries? Are the activities and outputs of the program biectives?

ns its objectives.

project. This includes direct and indirect effects and expected and

nine whether the project uses resources effectively to achieve the

ast after the completion of the project.

#### ew six evaluation criteria

country's development plans, development needs, social needs, and

ies", give consideration to vulnerable people, and ensure fairness and vant if circumstances change during implementation ach

Japanese Government and JICA ects (Technical Cooperation, ODA Loan, Grant Aid, etc.) other assistance/projects in Japan, other development (e.g. SDGs and other international targets and initiatives) and cted achievement in the project plan

of expected project outcome (including the usage of facilities and

tems and norms, people's well-being, human rights, gender equality,

ect period, and project cost

the project

structures and personnel assignment), technical sustainability, operation and maintenance costs), environmental and social ntenance conditions

# **External Evaluation Results**

#### **Overall rating**

The external evaluation was conducted for 73 projects: 23 ODA Loan projects; 31 Grant Aid projects; 17 Technical Cooperation projects; and two Private Sector Investment Finance projects<sup>\*1</sup>. Their results are listed on pages 14 and 15. Most of the 70 projects receiving overall ratings<sup>\*2</sup> were carried out in Africa, South-East Asia and South Asia, in sectors such as water resources, transportation and natural resources/energy. The overall ratings for the 70 rated projects were: A for 22 projects (31%); B for 32 projects (46%); C for 12 projects (17%); and D for four projects (6%) respectively. A and B grades were awarded to 77% while the total of C and D comprised 23%\*<sup>3</sup>.

#### **Evaluation by criteria**

Each of the criteria evaluated in the 70 projects that were rated are overviewed as below.

Relevance: All rated projects except one were aligned with Japan's development policy and the partner country's policies and development needs. For the project rated as fair, regarding to "appropriateness of the project planning and approach", issues on proper indicators in line with the project activity and collaboration with other projects were pointed out.

Effectiveness/Impact: 69% of projects were rated as high while the percentage of projects rated as fair and low was 30% and 1% (one project), respectively. The low-achieving project was attributable to the fact that "the plant has not been sufficiently operational due to the unapproved power supply agreement and damage to some parts of facilities, thus, no rice terrace conservation activities have been started using the income from electricity sales." Efficiency: 80% of projects were rated as fair while 10% were rated as high and low, respectively. Factors behind the seven low rated projects included "procurement procedures," "land acquisition," "increased material and labor costs," and "changes to plan."

Sustainability: 43%, 54% and 3% of projects were rated as high, fair and low respectively. Sustainability issues in technical aspects were confirmed in two projects rated as low since their outputs were not maintained amid volatile political and social conditions, e.g. during two domestic conflicts.

JICA also analyzed "Performance" and determined areas on which to reflect and best practices for planning and supervising its projects. For example, the following cases were analyzed: The review of financing conditions to provide a long-term low-interest loan for retrofitting and reconstruction of garment factories in response to a tenant building with garment factories having collapsed during the project (Evaluation No. 23: Financial Sector Project for the Development of Small and Medium-sized Enterprises (SMEs) in Bangladesh); where achieving a higher tariff collection rate by improving the tariff payment system and organizational efforts in setting goals (Evaluation No. 29: Bangalore Water Supply and Sewerage Projects (II-1 and II-2) in India); providing tangible and intangible support focusing on developing the capacity of state forestry officials who represent a contact point for local communities in the forestry sector (Evaluation No. 30: Capacity Development for Forest Management and Personnel Training Project in India); dispatching administrative officials involved in reconstruction efforts from the East Japan Great Earthquake to optimally exploit their experiences and insights for project activities (Evaluation No. 6: The Project on Rehabilitation and Recovery from Typhoon Yolanda in the Philippines); and where JICA's efforts helped further motivate target countries to develop the corridor (Evaluation No. 63: The Project on the Corridor Development for West Africa Growth Ring Master Plan in UEMOA countries, Burkina Faso, Cote D'Ivoire, Ghana and Togo).

#### External ex-post evaluation policy going forward

New evaluation criteria will be applied for project evaluations starting from FY 2021. JICA will confirm whether assistance was coherent based on the environment surrounding projects, introduce an evaluation approach based on a subjective review which takes changes occured in the project phases into consideration and adopt a subrating system with a four-level scale as part of a great leap forward from former criteria.

JICA continuously focuses on ensuring an object and transparent project evaluation, which is third-party evaluated according to the project size as well as publicizing evaluation results on its website in a timely and appropriate manner. JICA will also strive to ensure impartial and objective judgements<sup>\*4</sup> when procuring an external evaluator.

### **Internal Evaluation Results**

#### **Overall rating**

The internal evaluation results confirmed in FY 2021 are as listed on pages 32 and 33. Evaluations were conducted for 84 projects: 77 Technical Cooperation projects and 7 Grant Aid projects.

Approximately 70% of the projects delivered expected results or exceeded the expected results at the time of ex-post evaluation. Among 84 projects, most were carried out in Africa and South-East Asia in the agriculture sector followed by social infrastructure, administration and health sectors.

#### Evaluation by criteria

Relevance: With some exceptions, almost all projects were consistent with the policies of partner countries and their development needs.

Effectiveness/Impact: Approximately 55% of projects achieved the expected outcomes, while the remaining 45% experienced some challenges when it came to achieving results. Challenges observed in some Grant Aid projects included the fact that: (1) the project effects helped increase demands while qualitative issues arose due to the lack of candidates capable of meeting the demands. With regards to Technical Cooperation projects, in some cases: (1) although outcomes were observed at the time of project completion, the factors needed to sustain said outcomes and achieve overall goals were not considered, which prevented implementing agencies from continuing their activities after the project; and (2) despite formulating a basic plan under a Technical Cooperation project for Development Planning, the approval process by implementing agencies of the recipient country was delayed and remained unapproved, meaning only part of the plan was utilized. Moreover, the project effects could not be fully verified due to the absence of appropriate indicators for goals set at the project planning stage and the lack of data and information at the time of the ex-post evaluation. Efficiency: 19% of projects were completed within the planned period and cost. For Grant Aid projects, however, 70% were affected by extensions due to delays to facility construction, equipment procurement and customs clearance meant the project period had to be extended. As for Technical Cooperation projects, they went over the planned budget given the need for more activities to achieve the project purposes and with the progress in mind. Moreover, the project period was also extended due to changes in the plan or to achieve the project purposes. Sustainability: 77% of projects were identified as having some challenges. One frequent issue included around 70% identified as being insufficiently financially sustainable, reflecting the difficulty faced by implementing agencies in securing the required budget, while, the second most frequent problem experienced was typically staff shortages in terms of institutional sustainability. Other frequently observed challenges occurred in technical-related areas, such as the retaining transferred technologies and the omission of routine inspections and repairs.

### Internal ex-post evaluation policy going forward

In order to achieve the evaluation objectives (fulfilling accountability and learning lessons for improvement) more effectively and efficiently, JICA has made efforts to enhance its Internal Ex-post Evaluation function and capacity development of national staffs in oversea offices since introducing this evaluation system in FY2010. Meanwhile, JICA established evaluator's self-assessment systems to ensure the quality of Internal Ex-post Evaluation. From the perspectives of examining the appropriateness of the evaluation process, the validity of ratings for each of the evaluation criteria (relevance, effectiveness/impact, efficiency and sustainability), the validity of the conclusions, recommendations and lessons learned and the consistency of the overall evaluation report, these checklists allow the following requirements and procedures which should be involved in quality evaluation to be confirmed: whether the evaluators conduct tasks while fully aware of the evaluation framework; whether the evaluation report contains all the necessary information; whether evidence on the ground to underpin judgements and factors is stated; whether the descript ion is coherent; and whether evaluation constraints (if any) and their influence on the evaluation results are properly described. To improve their evaluation reports, the overseas offices (evaluators) try to tick off as many checklist items as possible during their evaluation process.

By revising the evaluation criteria, JICA not only improves the overall evaluation, but also helps enhance the way projects are implemented and formulated going forward by organizing the content of an Internal Ex-post Evaluation manual that helps draw recommendations and lessons learned. Moreover, training opportunities for overseas office and in-house internship programs are utilized to improve evaluation capacities. Meanwhile, efforts to further streamline the way Internal Ex-post Evaluation are conducted are required concurrently, such as prioritizing evaluations while maintaining their quality. Accordingly, JICA will continue to evaluate multi-phase projects and those beyond the scope of Grant Aid, Technical Cooperation, etc.

 <sup>\*1</sup> Since FY 2020, JICA has fully conducted ex-post evaluations of private-sector investment finance projects.
 \*2 For 73 external ex-post evaluation projects with results confirmed in FY 2021, the exception was "Financial Sector, Business Environment and Public Service Reform Development Policy Loan" (Evaluation No.48 on P.14-15), for which no overall rating was given, and "Viet Nam Coffee Value Chain Project" (Evaluation No.17) and "Emergency Life Saving Center Development Project" (Evaluation No.14), for which rating was not published since they are private-sector investment finance project.

<sup>\*3</sup> These results are within the normal range of fluctuation. The average proportion of overall ratings A and B for projects completed between FY2010 and FY2020 was about 77%, ranging from 68% (FY2014) to 91% (FY2015). The fluctuation of around 10% in the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the average ratio is attributable to the characteristics of projects (country, sector, scheme, etc.), if the ave which vary according to the fiscal year

<sup>\*4</sup> To ensure the external evaluations are impartial and objective, JICA will take necessary measures such as applying the exclusion clause to prevent any conflict of interests when procuring external evaluator.

# List of Ratings for External Evaluations

In principle, external ex-post evaluation covers those projects costing one billion yen or more. Click on a project name to jump to see its ex-post evaluation report.

	<sup>2</sup> Evaluation No.	<sup>∞</sup> Project No.	<sup>4</sup> Scheme	Project name			■ Efficiency	* Sustain ability	* Overall rating
	1	1	L	Development of Bandung Institute of Technology (III)	3	3	2	3	A
		2		The Project for Planning and Budgeting Reform for the Performance-Based					
Indonesia	2	3	Т	Budgeting System Implementation (Phase 1) The Project for Planning and Budgeting Reform for the Performance-Based	3	2	2	3	В
	3	4	Т	Budgeting System Implementation (Phase 2) The Project of Capacity Development for Climate Change Strategies	3	3	2	3	A
Timor-Leste	4	5	G	Project for Rehabilitation and Improvement of Buluto Irrigation Scheme	3	3	2	2	B
	5	6	G	The Project for Enhancement of Coastal Communications Systems	3	3	2	2	B
	6	7	T	The Project on Rehabilitation and Recovery from Typhoon Yolanda	3	3	3	3	A
Philippines	7	8	G	Mini-Hydropower Development Project in the Province of Ifugao	3	1	2	2	D
	8	9	G	The Project for Improvement of Equipment for Disaster Risk Management	3	2	2	2	C
	9	10	Т	Capacity Development in Public-Private Partnership (PPP) Project Formulation	2	2	2	3	С
	10	11	G	The Project for Improvement of Svay Rieng Provincial Referral Hospital	3	3	2	3	A
		12		The Project for the Improvement of the National Road No.1 (Phase 1)					
		13	-	The Project for the Improvement of the National Road No.1 (Phase 2)					
	11	14	G	The Project for the Improvement of the National Road No.1 (Phase 3)	3	3	2	2	В
		15	-	The Project for the Improvement of the National Road No.1 (Phase 4)	-		_	_	
Cambodia		16	-	The Project for Improvement of the National Road No.1 Urban Section					
		17		The Project for Construction of Neak Loeung Bridge					<u> </u>
	12	18	Т	The Project on the Capacity Building for Water Supply System in Cambodia (Phase 2)	3	3	2	3	A
	12	19	6	The Project on the Capacity Building for Water Supply System in Cambodia (Phase 3)	2	2	2	2	
	13 14	20 21	G	The Project for Improvement of Equipment for Demining Activities (Phase VII)	3	3	3	2	A
	14		P	Emergency Life Saving Center Development Project New National Highway No.3 and Regional Road Network Construction Project	_	-	_	-	-
Viet Nam	15	22 23	L	(Section Hanoi-Thai Nguyen) (I) New National Highway No.3 and Regional Road Network Construction Project	3	3	2	3	A
VIELINAITI				(Section Hanoi-Thai Nguyen) (II)					<u> </u>
	16	24	L	Thac Mo Hydropower Station Extension Project	3	3	2	3	A
	17	25	Р	Viet Nam Coffee Value Chain Project	-	-	-	-	
Thailand	18	26	Т	ASEAN University Network/Southeast Asia Engineering Education Development Network (AUN/SEED-Net) Project Phase 3	3	3	3	3	A
Comoo	19	27	L	Eighth Bangkok Water Supply Improvement Project	3	3	2	3	A
Samoa	20	28	G	The Project for Improvement of Urban Untreated Water Supply Schemes	3	3	2	3	A
Pacific Region	21	29	Т	Promotion of Regional Initiative on Solid Waste Management In Pacific Island Countries	3	3	2	2	B
	22	30 31	L	New Haripur Power Plant Development Project New Haripur Power Plant Development Project (II)	3	3	2	3	A
	23	31	1	Financial Sector Project for the Development of Small and Medium-sized Enterprises (SMEs)	3	3	2	3	A
	23	33	L 	Rural Electrification Upgradation Project	3	3	2	2	E
Bangladesh	- 1	34	L	Karnaphuli Water Supply Project	5		-	-	-
č	25	35	(T)	Project for Institutional Improvement and Advancing NRW Reduction Initiative of Chittagong WASA	3	3	1	2	
	26	36	L	Renewable Energy Development Project	3	3	2	2	E
	27	37	G	The Project for Improvement of Airport Safety and Security Systems	3	3	2	2	E
		38		Kerala Water Supply Project					
	28	39	L	Kerala Water Supply Project (II)	3	2	2	2	
		40	1	Kerala Water Supply Project (III)					
India	20	41		Bangalore Water Supply and Sewerage Project (II-1)	2	2	1	2	
India	29	42		Bangalore Water Supply and Sewerage Project (II-2)	3	3	1	2	0
	30	43	L	Capacity Development for Forest Management and Personnel Training Project	3	3	2	3	A
	31	44	L	Amritsar Sewerage Project	3	3	1	2	С
	32	45	L	Tamil Nadu Urban Infrastructure Project	3	3	2	3	A
Sri Lanka	33	46 47 48	L	Greater Colombo Urban Transport Development Project Greater Colombo Urban Transport Development Project Phase 2 (I) Greater Colombo Urban Transport Development Project Phase 2 (II)	3	3	2	2	В
		40		Greater cotombo orban transport Development Flogett Flidse 2 (II)				-	-
Pakistan	34	49	G	The Project for Energy Saving in Water Supply System in Lahore	3	3	2	3	A

\*1 3 : High, 2 : Fair, 1 : Low / A: Highly Satisfactory, B: Satisfactory, C: Partially Satisfactory, D: Unsatisfactory \*2 Evaluation No.: the number of evaluations conducted.

Project No.: the number of projects evaluated.
 T: Technical Cooperation, L: ODA Loan, G: Grant Aid, P: Private Sector Investment Finance

Country	*2 Evaluation No.	<sup>®</sup> Project No.	∜Scheme	Project name		Ffectiveness/	* Efficiency	* Sustain ability	* Overall rating
Azerbaijan	36	51	L	Shimal Gas Combined Cycle Power Plant Project (Second Unit)	3	3	1	3	В
	37	52	G	The Project for Construction of Hospital for Communicable Disease	3	3	2	2	В
	38	53	Т	Tuberculosis Control Project	3	2	2	3	в
Afghanistan	50	54	1	Tuberculosis Control Project Phase 2	2	2	Z	5	В
	39	55	Т	The Community Development Project for Returnees and Receiving Communities in Nangarhar Province	3	2	3	2	В
	40	56	G	The Project for Rehabilitation of Community Infrastructure in Nangarhar	3	3	2	2	В
Guatemala	41	57	L	ZONAPAZ Road Improvement Project	3	3	1	2	С
Ecuador	42	58	G	El Proyecto de Construcción y Equipamiento de las Unidades Operativas del Ministerio de Salud Pública en la Provincia de Chimborazo	3	3	2	2	В
Prozil	43	59	Т	Project for Strengthening National Strategy of Integrated Natural Disaster Risk Management	3	3	2	2	В
Brazil	44	60	Т	The Project on Nationwide Dissemination of Community Policing	3	3	2	3	Α
Peru	45	61	L	Cajamarca Water Supply and Sewerage Improvement and Expansion Project	3	2	1	2	D
Paraguay	46	62	G	The Project for Improvement of the Drinking Water System for Coronel Oviedo City	3	3	2	3	Α
Jordan	47	63 64	G (T)	The Programme for Urgent Improvement of Water Sector for the Host Communities of Syrian Refugees in Northern Governorates Project for Formulating Water Supply Plan for the Host Communities of Syrian Refugees	3	2	2	3	В
	48	65	L	Financial Sector, Business Environment and Public Service Reform Development Policy Loan	3	2	-	-	N.A.*5
	49	66	L	Sewage System Development Project (II)	3	2	2	3	В
Morocco	50	67	L	Urban Areas Living Environment Improvement Project	3	3	1	3	B
Moldova	51	68	G	Project for Effective Use of Biomass Fuel in the Republic of Moldova	3	2	2	2	C
Ethiopia	52	69	G	The Project for Water Supply Development to the Small Towns in Rift Valley Basin in Southern Nations, Nationalities, and Peoples' Regional State	3	2	3	2	B
Lunopia	53	70	G	The Project for Construction of Secondary Schools in Amhara Region	3	3	2	2	В
Djibouti	54	71	G	The Project for the Improvement of Road Management Equipment in the Republic of Diibouti		2	2	3	B
	55	72	Т	The Capacity Development Project for Improvement of Dar es Salaam Transport (Phase 2)	3	2	2	2	С
Tanzania	56	73	G	The Project for Reinforcement of Power Distribution in Dar es Salaam	3	3	2	2	B
Malawi	57	74 75 76	G	The Project for the Reconstruction and Expansion of Selected Community Day Secondary Schools The Project for the Reconstruction and Expansion of Selected Community Day Secondary Schools (Phase 2) The Project for the Reconstruction and Expansion of Selected Community Day Secondary Schools and Conventional Secondary Schools (Phase 3)	3	3	2	2	В
Rwanda	58	77	G	The Project for Rural Water Supply (Phase III)	3	3	2	3	A
Ghana	59	78	G	The Project for Fishery Promotion in Sekondi	3	3	2	3	A
Sierra Leone	60	79	G	Project for Urgent Improvement of Power Distribution System in Freetown	3	2	2	2	C.
		80		Project for Urgent Improvement of Power Distribution System in Freetown (Phase 2)					
Guinea	61	81	G	The Project for Bridge Rehabilitation on National Route No. 1	3	3	3	2	A
Liberia UEMOA, Burkina Faso, Cote D'Ivoire, Ghana and Togo	62 63	82 83	G	Project for Rehabilitation of Monrovia Power System The Project on the Corridor Development for West Africa Growth Ring Master Plan	3	3	2	2	B
	64	84	Т	Project for Improving Research and Technology Transfer Capacity for Nacala Corridor Agriculture Development, Mozambique	3	3	2	2	В
Mozambique	65	85	G	The Project for Construction of Bridges on the Road between Ile and Cuamba	3	2	2	3	В
	66	86	L	Upgrading of Atiak-Nimule Road Project	3	2	2	3	B
Uganda	67	87	G	Project for improvement of Queensway substation	3	2	2	3	B
		88	G	Project for Upgrading Food Production Infrastructure in the Republic of Sudan					
Sudan	68	89	(T)	Capacity Development Project for Irrigation Scheme Management in River Nile State	3	3	3	2	A
	69	90	G	The Project for Improvement of Solid Waste Management in Khartoum State	3	2	2	2	C
	70	91	T	The Project for Improvement of Basic Skills and Vocational Training in South Sudan (Phase II)	3	2	2	1	D
South Sudan	71	92	T	Strengthening Mathematics and Science Education in South Sudan (SMASESS)	3	2	2	1	D
Democratic Republic	72	93 94	G	Livelihood Improvement in and around Juba for Sustainable Peace and Development The Project for Rehabilitation and Improvement of the Poids Lourds Avenue in Kinshasa (Phase 1)	3	2	2	2	C B
of The Congo		95		The Project for Rehabilitation and Improvement of the Poids Lourds Avenue in Kinshasa (Phase 2)					

\*5 Overall rating is not drawn.
 \*6 Ratings for Private Sector Investment Finance projects are not disclosed as they are implemented by private sector.

### Project Evaluation System and Ex-post Evaluation Results of JICA

Highlights

**External Evaluation:** Out of the 73 external evaluations, 6 external evaluations are selected based on geography, assistance scheme, sector, and rating.

### **Republic of** Ghana

Grant Aid

The Project for Fishery Promotion in Sekondi



Efficiency

Sustainability

Contribution to the supply of fresh marine products by expanding fishing port facilities and improving their operation methods

External Evaluator: Keiko Asato, Foundation for Advanced Studies on International Development (FASID)

#### Grant limit / Actual Grant amount:

1,825 million yen (Amended 2,169 million yen)/ 2,102 million yen

#### Exchange of notes:

April, 2014 (Amended on December, 2015)

Project Completion: March, 2018

#### Implementing agency:

Ghana Ports and Harbours Authority (GPHA) (Operating Agency is Sekonodi Fishing Harbour (SKFH))

#### **Overall Goal:**

To contribute the stable supply of marine products at SKFH

#### Project Purpose:

To reduce congestion at SKFH and improve the freshness of the caught fish

#### Output:

To extend the mooring quay and improve related facilities at SKFH



and vessels mooring and preparing at mooring quay (left back)

#### Effects of Project Implementation (Effectiveness, Impact)

The congestion rate, which is an indicator of the quantitative effect of this project, has improved comparing with that at the time of starting of the project. The fishing net occupancy rate and ice sufficiency have reached the target values. By ingenuity of facility operation, such as zoning (dividing the facility area subject to its purpose of use, and

limiting unexpected use other than original purpose), fish landing is done efficiently and the congestion of the fishing port facility has been improved. In addition to these quick landing, the freshness of the catch is obtained by purchase and sales transaction of the catch under the roof of the handling shed. That's why the project objective was achieved. A positive impact was also seen, such as that the fresh catches are bought and sold at higher price. On the other hand, the fishery resources around Ghana have been decreasing in recent years due to illegal fishing, and this tendency can be also seen around the SKFH. As a result, although the unit sales price of catch has increased, only some fishermen and fishmongers could increase their income. The increased income is used for family clothes, children's education, and personal luxury purchases, and is also used for reinvestment in their business expansion, which might contribute to improve their lives.

In light of the above, the effectiveness and impacts of the project are high.

#### Relevance

In Ghana, fishery is regarded as an important industry in terms of job creation, supply for vigorous marine products consumption, which is twice the world average, and provision of animal protein sources and etc. That's why the infrastructure improvement for this industry is in line with the development policy of the Ghana government. The SKFH confronted the problems such as congestion at the time of landing and insufficient capacity of the ice producing machine, so the development needs were also high. This project was also in line with the Japan's ODA policy. Therefore, its relevance is high.

#### Efficiency

Facility improvement (civil engineering work, facility construction / equipment installation, technical assistance for facility operation) was carried out as planned. The project cost was within the amount of the Exchange Note (E/N), although its limit was increased to cover the additional cost attributed to the Ebola hemorrhagic fever that broke out in 2014, at the start of the project. The project period exceeded the plan due to failure of bidding, changes in procurement methods and others. The efficiency is fair.

#### Sustainability

The SKFH management office conducts daily inspections, cleaning, fee collection, and supervision of facility users. Problems beyond that range (problems that require civil engineering work, compensation for operating cost deficits, etc.) are supported by Takoradi Commercial Port, which is the supervisory entity, so no financial or technical problems are seen. The operation and maintenance status is generally good, and the effects of this project are expected to continue. The sustainability is high.

#### Conclusion, Lessons Learned and Recommendations

In light of the above, this project is evaluated to be highly satisfactory.

#### Table Achievement status of operational indicators

Indicator	Baseline (2013, Actual)	At the time of completion (2018)	Target (2021, 3 years after completion)	e
Congestion rate of landing berths and preparation berths(%)	400%	200%	100% or less	
Occupation ration by fishing nets behind preparation berth(%) (except short storage for preparation works)	70%	40%	10% or less	
Sufficiency ratio to ice demand SKFH (annual average) (making ice demand volume at the time of plan as standard)	45.5%	50%	70% or more	

\* Because the basis for calculating 40% in the response from the executing agency was different from that at the time of the ex-ante evaluation, this value was recalculated using the 2021 data. The calculation basis was applied at the time of the ex-ante evaluation.
\*\*The responses from the executing agency of "50%" and "60%" are based on the demand for ice at the time of the ex-post evaluation. Subject to the indicator originally set, this value was recalculated using the data for 2021. The calculation basis was applied at the time of the ex-ante evaluation

#### Appropriate soft component might foster the ownership of the Key Point of Evaluation users and improve the way of facility operation

In this project, while the number of fishing vessels has increased owing to the easy use of the facility and the good access to ice at SKFH, there is no regulation to limit its number to use the facility, which could not stop congestion of the port facility due to the limited suppress of the vessels' use by the executing agency. However, this is a good example that under such situation, the facility is operated efficiently through fostering an ownership by involving users, and by complying usage rules, such as zoning. Participants who attended the MSAC and SH meetings praised that attendance to these meetings allowed them to promote the understanding of usage rules and to have opportunities to express their opinions. From the minutes of the meeting, we can see the deeper discussions held on the specific agenda by each SH, and the concrete discussions held to improve facility operation.

Behind this situation, the soft components, which were planned and implemented reflecting the needs of the executing agency, play a key role. The executing agency understood its usefulness and assigned the appropriate personnel, such as ex-fishing port manager, to the local counterpart for the technical assistance, which allowed to foster the groundwork so that the effect of the technical assistance would continue. Only when the facilities and equipment are properly operated, the effect of the project can be come out. Even for a short period of the implementation, if the soft components is planned appropriate and usefully, it can be very effective.

### **External Evaluation: Highlights**

This project is a good example of effective utilization of the facilities and equipment of fishing port facilities, in a sense that fishing port facility is used by more number of vessels than originally expected by the ingenuity of operation methods. Specifically, (1) formulation of management policies for facilities and equipment involving users and related parties, by such as multi-stakeholder advisory committee (MSAC) and stakeholder (SH) meetings, and its thorough compliance, (2) efficient landing by zoning. As a result, the facility was operated efficiently and the freshness of the catch was improved. This improvement of (1) and (2) is the effect of soft component (technical assistance for facility operation). In order for facilities and equipment of the fishing port project to be effectively utilized, not only physically appropriate construction and procurement are conducted, but also useful soft components should be done to bring a good effect, even if its period is short. It is important to formulate the project so that both physical and soft approach would produce synergistic effects.

Actual								
	nse by g agency	Re- calculation						
019	2020	2021						
100%	40%	257%*						
30%	10%							
50%	60%	85%**						



Fishermen pulling up the fishing net to the designated net stock area align with zoning

### **Kingdom of** Cambodia

Technical Cooperation

Supply System in Cambodia (Phase 2) / (Phase 3) Contributing to Stable Water Supply in Regional Cities of Cambodia by the Improvement of the Capacity for Water Supply Service

The Project on the Capacity Building for Water



Efficiency

Sustainability

External Evaluator: Nobuyuki Kobayashi, OPMAC Corporation

Total cost: (Phase 2) 687 million yen (Phase 3) 504 million yen

Period of cooperation: (Phase 2) May 2007 - March 2012 (Phase 3) November 2012 - June 2018

#### Partner contry's implementing organizations:

(Phase 2) Ministry of Industry, Science, Technology, & Innovation (MISTI), Public Waterworks in 8 cities (TPWs) (Phase 3) Ministry of Industry, Science, Technology, & Innovation (MISTI), Public Waterworks in 8 cities (TPWs)

#### The number of experts dispatced:

(Phase 2) Long term: 4 persons Short term: 20 persons (Phase 3) Long term: 3 persons Short term: 24 persons

#### The number of technical training participants:

(Phase 2) Training in Japan: 22 persons (Phase 3) Training in Japan: 26 persons Training in third countries: 22 persons

#### Main equipment provided:

(Phase 2) Equipment for water quality testing, water treatment equipment, equipment for electrical and mechanical facilities, equipment for a distribution facility and others (Phase 3) Equipment for replacement and others

#### **Overall Goal:**

(Phase 2) Capacity to operate and maintain water supply facilities is improved in the urban areas of 14 cities which participate in "National Conference on Public Water Utilities" in the Kingdom of Cambodia. (Phase 3) Water services provided by TPWs are enhanced.

#### Project Purpose:

(Phase 2) Capacity to operate and maintain water supply facilities is improved in the targeted TPWs utilizing the experiences accumulated during the Phase 1 Project. (Phase 3) All TPWs are able to manage water supply more stable and sustainably.

#### Output: (Phase 2)

- Output 1: Capacity to analyze the water quality is improved in the TPWs.
- Output 2: Capacity to treat water quality is improved in the TPWs. Output 3: Capacity for operation and routine maintenance of
- electrical facilities is improved in the TPWs. Output 4: Capacity for operation and routine maintenance of mechanical facilities is improved in the TPWs.
- Output 5: Capacity to maintain water distribution facilities is improved in the TPWs.

#### (Phase 3)

- Output 1: Capacity of managing the data necessary for Business Plan is enhanced at TPWs.
- Output 2: Capacity of formulating Business Plans is improved at TPWs.
- Output 3: Capacity of monitoring Business Plans is enhanced at TPWs.
- Output 4: Capacity of monitoring, evaluating Business Plan, formulation policies and supporting TPWs for funding is strengthened at Ministry of Industry and Handicrafts
- Output 5: Capacity of analyzing human resources development and improvement measures at TPWs is enhanced.

### Effects of Project Impelementation (Effectiveness, Impact) Through the implementation of this project, the

capacity development of all TPWs (O&M capacity of water facilities, management capacity of water supply services) was mostly achieved. The project installed a Synergistic Utility Management System (SUMS), which enabled TPWs to control costs appropriately based on accurate water supply costs. As a result, the cost of water supply was lower than the unit cost of water supply in all TPWs at the completion of Phase 3.

In parallel with this project, TPWs improved water treatment plants and distribution networks with the financial supports from JICA and other donors. At the time of the ex-post evaluation, it was confirmed that water supply services were improved, and customer satisfaction increased in 7 TPWs, excluding Sihanoukville city where water supply services were commissioned to a private company. At the time of the ex-post evaluation, the treated water satisfied the water quality standards in Cambodia and 24-hour water supply has been achieved. In the beneficiary survey at the time of the ex-post evaluation, most respondents felt that water pressure was sufficient, and all respondents were "very satisfied" or "satisfied" with their water supply services. Therefore, effectiveness and impact of this project is high, as the project effects were shown as planned.

#### **Results of Beneficiary Survey**

For the beneficiary survey, a questionnaire survey was carried out and 47 beneficiaries (23 men and 24 women) in the project area excluding Sihanoukville replied to the survey. The responses to the questionnaire were collected via TPWs (6-8 people per TPW). TPWs divided their water supply areas into three zones (near / medium / far) along the distance from the water treatment plants

#### Table 1 Water Pressure at the Time of the Ex-post Evaluation (2021)

	Sufficient	Sufficient Mostly Mostly Sufficient Insufficient		Insufficient	Total
Respondents	34	9	2	2	47
%	72%	19%	4%	4%	100%

Source: the beneficiary survey at the time of the ex-post evaluation

Table 2 Customer Satisfaction at the Time of Ex-post Evaluation (2021)

	Very Satisfied	Satisfied	Neither of Satisfied nor Unsatisfied	Unsatisfied	Very Unsatisfied	Total			
Respondents	22	25	0	0	0	47			
<b>%</b> 47% 53% 0% 0% 0% 100%									
ource: the beneficiary survey at the time of the ex-post evaluation									

and selected an almost equal number of respondents from each zone. As percentages are rounded, the totals may not equal to 100%.

#### Relevance

From the planning of Phase 2 to the completion of Phase 3, the development policy aimed to improve the access to safe water in urban areas. During this period, the households with the water pipes to dwellings or on premises reached approximately 60% of total in the urban areas except Phnom Penh Capital City, making it important to enhance the capacity of public waterworks. The project is highly consistent with Japan's ODA policy at the time of planning. Therefore, its relevance is high.

#### Efficiency

For both Phase 2 and Phase 3, the scope of training was as planned. As many TPWs had malfunctions in the water treatment facilities in the Phase 2, the procurement of equipment was increased. This countermeasure led to an increase of the project cost and a delay in the project implementation. Both the project cost and project period exceeded the plan. Therefore, efficiency of the project is fair.



Target area of the project

Training provided by the project

### Key Point of Evaluation

### Improving the Water Supply Service through the Long-Term Coordination with the City of Kitakyushu and the City's Overseas Expansion

The long-term coordination with the City of Kitakyushu has played a significant role in the improvement of water supply services in Cambodia. The city of Kitakyushu dispatched officials to Phnom Penh Water Supply Authority as JICA experts from 1999 to 2003 and they provided guidance on the operation and maintenance of water supply facilities. In 2001, the city installed a water distribution monitoring system through the JICA Small-scale Development Partnership Project (now the Grassroots Technical Cooperation Project). Moreover, the city of Kitakyushu led the "the Project on Capacity Building for Water Supply System," which was the preceding phase of this project, from 2003 to 2006. As a result of this project, the non-revenue water rate of the Phnom Penh Water Supply Authority was halved from 17% in 2003 to 8% in 2006, and more than two hundred people participated in training on the operation and maintenance of water supply facilities. The human resources developed in the previous phase of the project were utilized in the subsequent projects of Phases 2 and 3, and they became the foundation for the water supply service throughout Cambodia. At the time of the ex-post evaluation, the city continues to cooperate with the Ministry of Industry, Science, Technology, and Innovation on human resource development through the "the Project for Strengthening Administrative Capacity of Urban Water Supply in Cambodia."

With the background of the long-term cooperation, Phnom Penh Capital City and the city of Kitakyushu signed a sister city agreement in 2016 and agreed on the memorandum on technical cooperation and exchanged on sewerage in 2017. This project has helped the city of Kitakyushu to build a cooperative relationship with the Cambodian government and has led to the overseas expansion of municipality.

#### Sustainability

The legislation was developed in the water supply sector, and staffing levels in the Ministry of Industry, Science, Technology, and Innovation and 7 TPWs except Sihanoukville city were adequate. Counterpart staff could maintain their technical level through training opportunities and daily work, and the water supply services of 7 TPWs were financially sound. Therefore, sustainability of the project effects is high.

Conclusion, Lessons Learned and Recommendations

In light of the above, this project is evaluated to be highly satisfactory.

As a recommendation, considering that the Cambodian Water Supply Association was established at the time of the ex-post evaluation, it would be desirable for Ministry of Industry, Science, Technology, and Innovation to promptly formulate a plan to effectively utilize this organization for the disseminating the effects of this project. For the lessons learned, there are three good practices: (1) to take advantage of the experience gained from the support to a preceding group and actively utilize the staff of the preceding group for supporting a subsequent group when a target group can be divided into multiple groups; (2) to utilize the human resources in a subsequent project for the dissemination of the project effects when a preceding project developed human resources; and (3) In the sector where both facility development and capacity building are important, to plan technical cooperation with effective collaboration of financial cooperation when facility development is needed.

#### The Renewable Energy Development Bangladesh Project



Contributing to the improvement of the people's living of local residents by financing the installation of renewable energy facilities in the rural area.

fectiveness and Impact Relevance Efficiency Sustainability

#### External Evaluator: Hisae Takahashi, Ernst & Young ShinNihon LLC

#### Loan amount / Disbursed amount:

11,335 million yen / 10,849 million yen

Loan agreement: March, 2013

#### Terms and conditions:

ODA Loan

Interest Rate: 0.01% Repayment Period: 40 years (Grace Period 10 years) Conditions for Procurement: General untied

Final disbursement date: March 2019

#### Excecuting agency:

Infrastructure Development Company Limited (IDCOL)

#### Overall Goal:

Contributing to the sustainable economic development, improvement of the people's living conditions and mitigation of climate change of Bangladesh.

#### Project Purpose:

To diversify the energy sources and increase the power supply as well as electrification.

#### Output:

Financing the Sub Projects (SPs) to install Renewable Energy (RE) facilities such as Solar Home Systems (SHS), Solar Irrigation Pumps (SIP) and Solar Mini Grids (SMG) in the rural area.

#### Effects of Project Impelementation (Effectiveness, Impact)

The operation and effect indicators of the project, i.e., the yearly power generation volume, the installed generation capacity, and effect of the reduction of CO<sub>2</sub> of the target SPs for funding of this project have all met thee targets. In rural areas where access to electricity is difficult, the installation of SHS, SIP, and SMG facilities has directly led to an increase in electricity supply, and RE power generation has led to a reduction in  $CO_2$ emissions by reducing the use of kerosene oil, which has traditionally been used. In addition, the technical assistance for the implementation of SPs other than SHS led to opportunities for IDCOL staff with limited experience in implementing SIPs and SMGs to enhance their appraisal capacity and gain experience in implementing SIPs and SMGs.

In areas where access to electricity has been made possible by the installation of RE facilities, it was confirmed that the installation has contributed to the increasing children's study time, improving safety at night, improving the quality of life through the use of electrical appliances, reducing costs and improving health through the elimination of the use of kerosene lamps, increasing crop yields through the use of

irrigation pumps, extending business hours in factories and markets, and stimulating local economies including the job creation. Moreover, the experience of SIPs and SMGs implemented in this project have been recognized as a success and have led to the introduction and implementation of large rooftop solar PVs photovoltaics and other advanced initiatives that IDCOL has supported following the implementation of the project. Therefore, effectiveness and impacts of the project are high.

#### Relevance

The implementation of this project is consistent with Bangladesh's development strategy, which emphasizes the roles of the power and energy sectors in contributing to economic development, sector plan which have specified the importance of increasing power generation capacity, diversifying energy sources and furthering RE adoption as well as development needs of developing power generation facilities in rural areas. The project is also consistent with the Japan's ODA policy. Therefore, the relevance of the project is high.

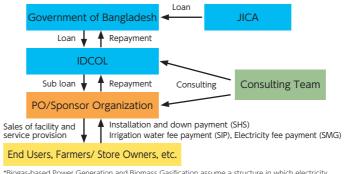
#### Efficiency

Funding for SHSs and consulting services were mostly implemented as planned. The number of SPs for SIPs and SMGs was slightly lower than planned due to the impact of electrification promotion, and biomass gasification and biogas-based power generation were not implemented since they were commercially unfeasible. Although the project cost was within the plan (81%) due to the decrease in the number of SPs, the project period exceeded the plan (159%). Therefore, efficiency of the project is fair.

#### Sustainability

Some of the local offices of the Partner's Organization (PO), which supports the maintenance of the SHS, have been closed, and there are some areas where the repayment of the installation cost from end users and follow-up by POs for the replacement of batteries have been hindered. This is a concern in terms of institutional/organizational aspects. The support can be provided by suppliers and regional offices of IDCOL, thus there are no particular concerns in terms of technical support from POs to end users. While the financial condition of the executing agency is sound, delays in loan repayment of installation costs from SHS end-users to POs have been reported in a few areas. While the operation and maintenance conditions of

SHSs and SIPs is good, the utilization rate of SMGs is about 30% due to high electricity prices. Some minor problems have been observed in terms of the institutional/organizational aspects, financial aspects and current status. Therefore, sustainability of the project effects is fair.



\*Biogas-based Power Generation and Biomass Gasification assume a structure in which electricity is consumed in-house by the sponsoring institution and by-products are sold at local stores, etc. Figure Scheme of This Project

#### Table Target and Actual Data for Indicators

	Target Actual					
Indicators	2021	2017	2018	2019	2020	Achieve- ment rate
	2 Years After Completion			Completion Year	1 Year After Completion	(%)
(1) Yearly power generation volume (MWh)	41,178 Note 1	22,449	23,793	33,230	41,085	99%
(2) Installed generation capacity (MW)	41.4 Note 1	26.0	28.0	36.0	41.0	99%
(3) Effect of the reduction of CO <sub>2</sub> (CO <sub>2</sub> conversion tons/year)	27,782 Note 2	12,987	14,040	21,795	28,001	101%

In addition, during the implementation of this project, not only awareness-raising activities on RE for the beneficiaries, but also events encouraging the related partied including the suppliers to promote market entry were conducted. Subsequently, in addition to the SHSs, SIPs and SMGs have been recognized for their achievements and RE facilities have proven to be effective in areas with limited access to electricity, contributing to the spread of PV technology and Source: Documents provided by JICA, questionnaire responses from the executing agency Note 1: The proportion of biomass gasification and biogas-based power generation in the total industry in the country. When introducing new components at the time of the appraisal was excluded from the target and targets were revised. Note 2: The emissions reduction targets by the SHSs at the time of appraisal were calculated by schemes and technologies that have not been used in the past, it is most effective to carefully prepare emissions reduction related to the replacement of natural gas consumption. However, IDCOL pointed out that the fuel used before project implementation was not natural gas, thus the not only for the users but also the suppliers to ensure nissions reduction factors should be calculated based on kerosene oil and diesel oil, which were actually used. Accordingly, the target was revised. the spread and sustainability of the schemes and technologies after implementation.

### $\mathbf{H}$ Key Point of Evaluation Contribution to the promotion of the growth of solar PV industry

Bangladesh was dependent on domestically produced natural gas for more than 80% of its total power generation capacity, however, diversification of its energy supply sources was required due to sluggish gas production growth. Furthermore, the disparity in electrification rates between urban and rural areas was significant at the time of the appraisal, thus it was necessary to promote rural electrification while diversifying energy sources, including the introduction of RE.

In this project, in addition to the inslltation of SHSs, which have been deployed in the country prior to the implementation of this project, SIPs and SMGs, which could be serve as future case examples, have been introduced. Technical assistance was also provided to IDCOL staff for the implementation of SPs other than SHSs, leading to opportunities to enhance their capacity to evaluate SIPs and SMGs and to gain experience in their implementation. Moreover, IDCOL organized activities to promote the growth of solar PV industry in different parts of the country, including reaching out to sponsor organizations through awarenessraising activities, implementing awareness raising events for farmers to enhance their understanding of RE, and organizing events to encourage suppliers to enter the market to strengthen the supply chain. These experiences have contributed to the spread of solar power in the country.

Furthermore, in addition to generating electricity from solar power, the introduction of SIPs and SMGs has demonstrated the importance and advantages of solar power generation in rural areas, which can provide a stable source of water for irrigation even during the dry season. It has been demonstrated that solar power can be an effective tool for electrification even in remote rural areas, islands and areas which have limited access to power grids, leading to the subsequent introduction and implementation of solar power and other advanced initiatives. According to IDCOL, had these projects not been implemented, the successful demonstration of larger scale solar PV projects would not have been possible.

### Conclusion, Lessons Learned and Recommendations

In light of the above, this project is evaluated to be satisfactory.

As a recommendation to the executing agency, it is desiable that IDCOL works with POs and suppliers to strengthen efforts to reiterate to end-users about collection of expired batteries and correct disposal methods, as SHS batteries have been required to be disposed of properly after use to avoid environmental pollution and health hazards.

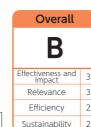
The rapid on-grid expansion in rural areas and a free SHS distribution program during the implementation of the project affected the implementation of the project and the repayment of SHS installation costs.

As lessons learned, it is necessary for the executing agency and other stakeholders involved in the project to keep in close communication with the government and the relevant authorities during the appraisal and implementation of the project, to discuss information on similar projects implemented in the target area and electrification plans, and to cooperate in the implementation of an integrated plan.

### **Republic of** Malawi

Grant Aid

The Project for the Reconstruction and Expansion of Selected Community Day Secondary Schools, The Project for the Reconstruction and Expansion of Selected Community Day Secondary Schools (Phase 2), and The Project for the Reconstruction and Expansion of Selected Community Day Secondary Schools and Conventional Secondary Schools (Phase 3)<sup>\*1</sup> Complex school facilities contribute to quality education, girls' enrollment, and COVID-19 prevention



External Evaluator: Haruo Ito, ICONS Inc.

#### Grant limit/Actual Grant Amount:

1,198 million yen (Phase 1), 1,085 million yen (Phase 2), 1,756 million yen (Phase 3)

#### Exchange of Notes:

August 2010 (Phase 1), March 2012 (Phase 2), March 2014 (Phase 3)

#### Project Completion:

August 2013 (Phase 1), September 2014 (Phase 2), May 2017 (Phase 3)

#### Implementing Agency:

Ministry of Education, Science and Technology (MoEST)\*2

#### Overall Goal:

Contribute to the improvement of the quality and accessibility of secondary education in the target areas.

#### Project Purpose:

Solve the shortage of classrooms and improve the learning environment in the target schools.

#### Output:

Expand and upgrade existing secondary education facilities and procure education-related equipment, including science laboratory equipment.

#### Effects of Project Impelementation (Effectiveness, Impact)

The indicators of the quantitative effects of the project, such as the "number of enrolled students" and the "capacity of new students" at the target schools, are far exceeded by the target values. The "average number of students per classroom" is 56, which did not reach the target value (50 students per classroom) due to the increase in the number of students in the target schools. However, it decreased significantly from the baseline of 85 students per classroom. Students' satisfaction with the learning environment and teachers' satisfaction with the school and classroom management environment were also high, indicating that the project purpose of "solve the shortage of classrooms and improve the learning environment in the target schools" has been achieved.

Concerning the overall goal, the dropout and repetition rates in the target schools were lower than the national average, and the Gender Parity Index (GPI) and the passing rate of the Malawi School Certificate of Education (MSCE) were higher than the national average. In particular, the high pass rate of the MSCE in the target schools can be attributed to the retention of qualified teachers by improving the school environment, the provision of opportunities for

night study with lighted classrooms, the improvement of student performance owing to the procurement of science laboratories and equipment, the availability of textbooks as a result of upgrading the library, and securing learning opportunity by construction of girls' hostels to ensure study time for girls who are normally in charge of household chores. The synergistic effect of in-service teacher training for science and mathematics teachers through JICA technical cooperation has also been identified. In addition, the project's impact on the prevention of the COVID-19 pandemic in the schools and surrounding areas and the contribution to inclusive education for students with disabilities through barrier-free facilities were also confirmed. Therefore, the effectiveness and impact of the Projects are high.

#### Relevance

The Projects are in line with Malawian development policy to improve access, quality and equity in secondary education. The construction of girls' hostels, flush toilets for girls, toilets for the disabled, and application of barrier-free standards, are also in line with the goals of the "National Inclusive Education Strategy" and the "National Girls' Education Strategy". The Projects are also relevant to the Japan's aid policy and the development needs in Malawi, such as the shortage of secondary school facilities due to the growing demands for secondary education. Therefore, the relevance of the Projects is high.

#### Efficiency

The facilities of the Project were generally constructed as planned. Although the project cost was as planned (100%) in all phases, the project period exceeded the plan (Phase 1: 130%, Phase 2: 100%, Phase 3: 120%) due to rebidding in Phase 1 and Phase 3, the disruption of imported materials, the delays in material procurement and construction process caused by heavy rains during the rainy season, and financial and management problems with some contractors. Thus, the efficiency of the Projects is fair.

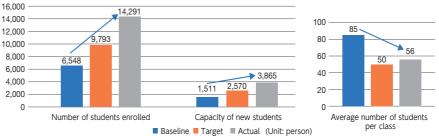
#### Sustainability

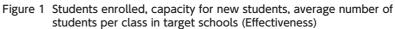
Although problems have not been observed in terms of the institutional/organizational aspect, there are minor issues in the technical aspect regarding the maintenance of the procured science experiment equipment. The financial aspect such as the inability to secure sufficient funds for the renewal of equipment, and the purchase of consumables and reagents are also concerns. Therefore, the sustainability of the Projects' effects is fair.

#### Conclusion, Lessons Learned and Recommendations

The Projects are evaluated to be "satisfactory," considering the above.

Lessons learned for future projects include; (1) An approach to complex facility development, such as teacher housing, girls' hostels, science laboratories, libraries, and flush toilets, in addition to classrooms, will improve the quality of education and girls' enrollment, (2) since walls in schools have a significant impact on the quality of facility operation and maintenance, the inclusion of walls in the project scope or the provision by the recipient government as a prerequisite





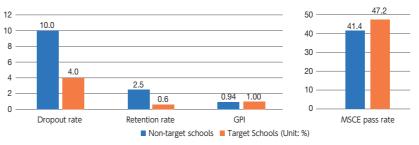
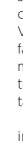


Figure 2 Comparison of dropout, retention, Gender Parity Index, MSCE pass rate between national average and target schools\*3 (Impact)

\*1: Hereinafter referred to as "Phase 1, Phase 2, and Phase 3," respectively. All phases are referred to as "the Projects" in this paper \*2: After the new government came to power in June 2020, MoEST was renamed the Ministry of Education (MoE).
 \*3: Figures are for the 2019/2020 school year. Only the GPI uses the latest figures for the 2020/2021 school year. The 2019/2020 school year was marked by an eight-month school closure across Malawi due to COVID-19, and these indicators of that school year deteriorated due to the closure.

#### Contribution of the Projects to the educational activities under Key Point of Evaluation the COVID-19 pandemic and its prevention measures

The COVID-19 pandemic in 2020 forced secondary schools in Malawi to close a long period of eight months. As a result, students' learning was greatly affected due to a decline in the pass rate of the MSCE and enrollments, as well as especially due to early marriage and pregnancy of girl students staying at home. In this context, the Projects have contributed to minimizing the negative impact of the COVID-19 on educational activities by ensuring quality education by not only improving classrooms but providing science laboratories, equipment, and textbooks, and minimizing the number of girl students dropping out by securing female teachers through teacher housing and providing girl student accommodation. Moreover, it was identified that the Projects have led to the prevention measures for the infection within target schools and their neighboring areas by ensuring social distance within the school, water supply, and sanitary toilets, and by providing a place to hold infection prevention seminars for residents.



### **External Evaluation: Highlights**

should be considered, and (3) support by technical cooperation projects and Japan Overseas Cooperation Volunteers (JOCV) will promote effective use of the facilities of Grant Aid, and at the same time, it may make it easier to obtain the support for activities of technical cooperation and the JOCV in the schools targeted by the Grant Aid.

As for recommendations, the government should install electricity and city water supply to some target schools that have not been serviced, install school walls, and promote the prioritized admission of students with disabilities in the target schools which have been equipped with barrier-free facilities. For JICA, the assignment of JOCVs (science and mathematics teachers) to target schools on a priority basis should be considered for the effective use of facilities and equipment as well as for setting up of their operation and maintenance system.



Non-target school (Classrooms are overcro



Target school (40 students/class recommended under COVID-19 has been realized)

#### Project Evaluation System and Ex-post Evaluation Results of JICA Part I



Grant Aid

"Project for Formulating Water Supply Plan for the Host Communities of Syrian Refugees" and Grant Aid Project "The Programme for Urgent Improvement of Water Sector for the Host Communities of Syrian Refugees in Northern Governorates" Supporting the improvement of water supply services in northern Jordan, where the population has increased due to the influx of Syrian refugees

External Evaluator: Tomoko Tamura, Kaihatsu Management Consulting, Inc.

#### Project for Formulating Water Supply Plan for the Host Communities of Syrian Refugees (Technical Cooperation Project)

Total cost (Japanese side): 649 million yen

Project Period: December 2013 - May 2017

#### Implementing Agency:

Water Authority of Jordan (hereinafter referred to as "WAJ") Supervisory organization: Ministry of Water and Irrigation Organization for operation and maintenance: Yarmouk Water Company (hereinafter referred to as "YWC")

JICA Experts: 31 persons

Training in Japan: None

#### Equipment:

Materials and equipment for the implementation of pilot activities (welding machines for pipe jointing, high-pressure sewer cleaning units, TVs for sewer diagnosis, pipe materials for sewer connections, etc.)

#### Overall Goal:

Water supply and sewerage services in the host communities of Syrian refugees are improved.

#### **Project Purpose:**

- •A grant aid project is implemented immediately according to the outline design for the prioritized projects.
- •The water supply and sewerage service development plans developed by this project are utilized by the WASH Task Force<sup>\*1</sup> functioning under the Host Community Support Platform, formulated by the Jordanian government and development partners as a tool for prioritizing and selecting projects, and are implemented.

#### Output:

- Component A: Formation of short-term priority projects; and development of outline design of the priority projects that are to be implemented through Grant Aid assistance.
- Component B: Formulation of master plans necessary to maintain water supply and sewerage sector services for the host communities.
- Component C: Technology transfer through pilot activities such as leak detection and repair and cleaning of sewage pipes.

#### The Programme for Urgent Improvement of Water Sector for the Host Communities of Syrian Refugees in Northern Governorates (Grant Aid Project)

Grant amount: 2,501 million yen

Exchange of notes: March 2014

Project Completion: May 2017

#### Implementing agency: WAJ

Overall Goal:

The living environment of the local community is improved.

#### Project Purpose:

Water supply services are improved to meet the increasing water demand

#### Output:

Water supply facilities in Irbid City, Bait Ras and Hawaarah areas in Irbid governorate are upgraded and renewed.

#### Effects of Project Impelementation (Effectiveness, Impact)

Overall

B

fectiveness and Impact

Relevance

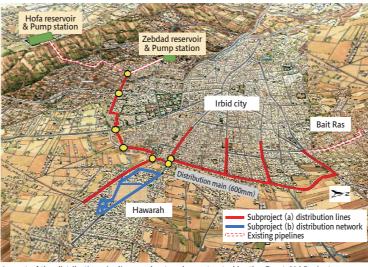
Efficiency

Sustainability

In this ex-post evaluation, the above-mentioned two projects are evaluated in an integrated manner. The projects formulated and proposed under Component A of the technical cooperation project was implemented as the "The Programme for Urgent Improvement of Water Sector for the Host Communities of Syrian Refugees in Northern Governorates" (this Grant project) and is implemented as Phase II of the project (hereinafter referred to as the Grant Aid Project Phase II). In addition, two projects proposed in the master plans for water supply and sewerage sectors developed under Component B are being implemented with support from other donor agencies. In this manner, the proposed and developed plans have been utilized and the purposes of the technical cooperation project have been achieved. In the Grant Aid Project, the construction of a new distribution main and distribution pipelines between the southern part of Irbid Governorate and Bait Ras area, and the rehabilitation and renewal of the distribution pipe network in Hawaarah area of the same Governorate, were implemented. The flow rate in the distribution main, which is the operation indicator of the Grant Aid Project, is lower than the target due to changes in the water transmission plan. However, there was a certain degree of improvement in water supply service in the target areas, and there was also an impact in terms of improving the living environment and reducing a disparity in conditions of water supply. Therefore, the effectiveness and impacts of the projects are fair.

#### Relevance

Improving water supply and sewerage services was a priority for Jordan at the time of both the planning and ex-post evaluation of the projects. The purpose of the projects, improving water supply and sewerage services, was consistent with development policies and plans, and sector strategies of Jordan throughout from the time of the planning to the ex-post evaluation of the projects. The northern part of the country was experiencing population growth due to the influx of Syrian refugees, and there was an urgent need to improve the services. The projects were consistent with Japan's aid policy. Therefore, relevance of the projects is high.



Layout of the distribution pipelines and network constructed by the Grant Aid Project he distribution main and a pressure reducing valve installed by the Grant Aid Projec They no longer have a shortage of water for washing hands as amount of water supply was increased by the Grant Aid Project (A primary school in Irbid city)

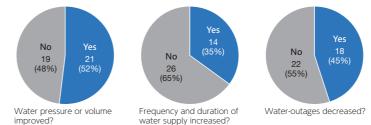
Layout of the distribution pipelines and network constructed by the Grant Aid Project

#### Table Target and actual values of the operation indicator "Flow rate of the distribution main" of the Grant Aid Project

At the time	Target value	Actual values					
of planning In 2014		Target year: 2018	2019	2020	2021*		
0	30,000	11,125	11,601	10,924	10,754		
0	11.00	4.06	4.23	3.99	3.93		
		37	39	36	36		
	of planning	of planning In 2014 (One year after project completion) 30,000	At the time of planning in 2014     (One year after project completion)     Target year: 2018       0     30,000     11,125       0     11.00     4.06	One year of planning In 2014         One year after project completion)         Target year: 2018         2019           0         30,000         11,125         11,601           0         11,000         4.06         4.23	Active time of planning In 2014         (One year after project completion)         Target year: 2018         2019         2020           0         30,000         11,125         11,601         10,924           0         0         11.00         4.06         4.23         3.99		

Source: Planned and target values are from the preparatory survey for the Grant Aid Project (P.4 3), actual values are the responses from the WAJ to the questionnaire. Note: The flow rate at the time of planning was zero because this distribution main was newly

constructed by the Project. The daily averages and annual total of the figure for 2021 were calculated from data for the period from January to May 2021.



Source: Questionnaire survey of beneficiaries conducted at the time of the ex-post evaluation (n=40)

### $\mathbf{H}$ Key Point of Evaluation Effective delivery of assistance in coordination with other development partners

In view of the urgency of the assistance, JICA applied the fast-track system<sup>\*2</sup> to launch the technical cooperation project at an early stage and dispatched a Japanese consultant team with extensive experience of working in the water sector of Jordan to the country. The team shared information on projects under consideration with other development partners in the WASH Task Force and learned that the EU, AFD (French Development Agency) and KfW (German Finance Corporation for Reconstruction) were planning to provide financial support for part of the master plan developed by the team. In response, JICA additionally carried out the basic design, detailed design and preparation of reference materials for bidding documents in this technical cooperation project, in order to facilitate the implementation of those activities. During the ex-post evaluation, an interview with an official from the AFD Jordan office indicated that JICA's preparation of the aforementioned design and materials had led to steady and early preparation of their financial support.

As described above, in this technical cooperation project, emergency assistance was provided in effective coordination with other development partners through the early dispatch of a Japanese consultant team to the country, the sharing of information on projects under consideration with other development partners through a task force and the flexible operation of the technical cooperation project.

#### Efficiency

In the Technical Cooperation Project, in addition to the planned activities, the outline design of the Grant Aid Project II, and the design and cost estimation of the project proposed in one of the master plans, were carried out. In the Grant Aid Project, the planned construction and the rehabilitation of the facilities were implemented almost as planned. The cost of both projects was within the plan, but the duration of the projects was longer than planned. Therefore, the efficiency of the projects is fair.

#### Sustainability

No major problems have been observed in the institutional/organizational, technical, financial aspects and current status of the operation and maintenance system. Therefore, sustainability of the project effects is high.

#### Conclusion, Lessons Learned and Recommendations

In light of the above, these projects are evaluated to be satisfactory.

The implementing agency is recommended to conduct measures to improve water supply services in the project areas, including the Grant Aid Project Phase II, without delay and make effective use of the distribution main developed by this Grant Aid Project; and that JICA should monitor the progress of these measures and ensure that the facilities developed by the Grant Aid Project are used effectively.

Regarding lessons learned from the projects, the application of appropriate support schemes based on the urgency of the assistance as well as the flexible management of the project to meet needs, facilitated the prompt implementation of effective assistance. These projects can also be refered to as a good example of how JICA has coordinated with other development partners in providing effective support in emergency assistance.

<sup>\*1:</sup> The WASH Task Force is an internationally coordinated working group formed by the Jordanian government and UN agencies to provide humanitarian assistance in the field of water, sanitation and hygiene against the backdrop of the influx of Syrian refugees into Jordan. "WASH" stands for Water, Sanitation and Hygiene.
\*2: JICA identifies projects that require urgent action, such as disaster reconstruction assistance, as projects eligible for the fast-track system, to speed up the decision-making process for project planning and implementation, and to simplify the process of selecting and contracting experts necessary for project implementation.

# Republic of<br/>GuatemalaZONAPAZ Road Improvement<br/>Project



ODA Loan

Contributing to peace and the socioeconomic development of the area through transportation



#### External Evaluator: Hajime Sonoda, Global Group 21 Japan, Inc.

#### Loan amount / Disbursed amount:

7,357 million yen / 7,349 million yen

Loan agreement: February 2006

#### Terms and conditions:

Interest Rate: 0.75% Repayment Period: 40 years (Grace Period: 10 years) Conditions for Procurement: General untied

Final disbursement date: February 2006

#### Excecuting agencies:

Direction General of Road (DGC) of Ministry of Communication, Infrastructure and Housing, Institute of Municipal Development (INFOM)

#### Overall Goal:

Improvement of the standard of living of local residents as well as the establishment of peace and reduction of poverty through revitalization of the local economy

#### Project Purpose:

To ensure a means of transportation in the ZONAPAZ (Peace Area)

#### Output:

Improvement of National Road No.7 East (RN-7E): Concrete pavement 161km (partially uncompleted) Improvement of an access road to Senahú: Concrete pavement

22km

Rehabilitation of rural roads: gravel pavement, 24 sections, 112km



National Highway No.7 East (Section 4)

#### Effects of Project Impelementation (Effectiveness, Impact)

The National Road improved by the Project, while some of the pavement is incomplete, it is possible for a vehicle to pass at a certain speed, and its expected outcomes such as an increased traffic volume, shorter travelling time, reduction in the number of road closures due to natural disasters have been achieved. The access road to Senahú and the rural roads are also being utilized, and an increase in traffic volume and reduction in travel time were reported. Thus, the objective of ensuring means of transportation for the

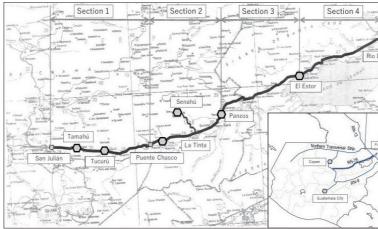
target area was achieved. According to interviews with the city governments and residents along the route, the Project has stimulated the economy by attracting new stores along the route, expanding sales channels and markets for agricultural product and reducing transportation costs, and expanding the commuting area. Social impacts such as easier access to government, health care, and education services were also reported. In the target areas, the crime rate is lower than the national rate and the return of displaced persons is progressing, and peace is considered to be well established. This project is considered to indirectly contribute to the strengthening or maintenance of peace through the promotion of socio-economic exchanges and regional integration between the areas along the National Highway No. 7 East Route and other areas. Therefore, effectiveness and impacts of the Project are high.

#### Relevance

The Project is highly relevant with Guatemala's development policy and development needs, which call for the development of ZONAPAZ based on post-civil war peace cooperation and the promotion of poverty alleviation through economic growth and regional integration. The Project is in line with Japan's aid policy in Guatemala, which focuses on "assistance for poverty reduction," "infrastructure development for sustainable growth," and "assistance for peace building." Therefore, the revelvance of the Project is high.

#### Efficiency

Three of the four construction sections of the National Highway No. 7 East have been completed. However, in the Section 3, construction was temporarily suspended due to the delay in payment by DGC against the background of elevated project costs. Even after payment was made, the contractor did not resume construction and abandoned the contract, leaving some unpaved sections. On the other hand, the access road was completed as planned and the rural roads were completed with some changes in the targeted section. The project cost was much higher than planned, and the project period was much longer than planned. Therefore, the efficiency of the Project is low.



Sections of RN-7E and the Relationships with Other Arterial Roads

#### Sustainability

There are no technical problems in the operation and maintenance of the Project. However, as for the institutional / organizational aspect, the transfer of the access road to the Road Maintenance Executing Unit needs to be arranged. From the financial point of view, there are restrictions on the financial resources for the maintenance of rural roads. The condition of road maintenance is not necessarily good. Therefore, the sustainability of the Project is fair.



Rural roads rehabilitated by the Project

### Key Point of Evaluation Lessons Learned: Proper estimation of the project cost

The substantial increase in the project cost over the planned cost led to the significant delay of the work implementation. Although the accuracy of the survey on which the project cost estimate was based was questionable at the time of planning, it had been decided that a study, including topographical survey to improve accuracy, would be conducted during the detailed design after the loan agreement. The road specifications and work volume were revised in the detailed design to reflect the actual ground bearing capacity and topographical features. The project cost was increased because of such revision as well as the rehabilitation and improvement of bridges damaged by a hurricane. After the commencement of the works, project cost further increased by the change of the pavement from asphalt to concrete based on a judgement made by the executing agency, increased work volume due to the existence of an unexpected bedrock formation, suspension of the works due to natural disasters and works to restore and improve damaged infrastructure. On the other hand, some construction contracts were cancelled because there was a cap on the increase in the amount of public works contracts under the regulations of the recipient country.

In view of the above, it is important to properly plan the project cost prior to the loan agreement at the time of planning. Necessary additional surveys should be conducted based on the accuracy of the information used as the basis for calculating the project cost. In those areas prone to natural disasters, the possibility of an increase due to damage should be considered. In addition, if there are institutional restrictions on the increase in the contract amount of public works projects, it is necessary to further improve the accuracy of project cost estimates at the time of contracting to ensure that no increase over the limit occurs.



Table Planned and Actual Average Annual Daily Traffic

			(Onit:	venicies / uay)
Section	Baseline (2004)	Planned (2021)	Actual (2021)	Planned / Actual Ratio
Section 1	802	2,208	2,598	118%
Section 2	637	1,723	2,030	118%
Section 3	309	1,121	1,528	136%
Section 4	402	1,145	821	72%
Average	562	1,614	1,846	114%

### Conclusion, Lessons Learned and Recommendations

The Project is evaluated as partially satisfactory. DGC needs to re-contract the construction of the Section 3 of the National Highway No. 7 East, the construction of which has been suspended, and complete it as soon as possible to accomplish the transfer to the Road Maintenance Executing Unit. In addition, it is necessary to coordinate with the Road Maintenance Executing Unit to complete the transfer of the Section 1 of National Highway No. 7 East and the access road to Senahú to the Road Maintenance Executing Unit as soon as possible. INFOM should secure the budget for the Rural Road Rehabilitation Program and make the necessary repairs on the rural roads covered by the Project. The experience of the Project, in which the project cost increased far beyond what was planned, leading to delays in implementation, has provided an important lesson on Points to keep in mind for proper planning of project costs (see below).

# **Measures for Projects Evaluated as Having Issues**

JICA has utilized recommendations and lessons learned from ex-post evaluations to improve ongoing projects and follow up on those already completed as required. In this section, we outline evaluation results and the countermeasures taken in the case of four projects with unsatisfactory overall ratings out of a total of 73 externally evaluated.

#### Cajamarca Water Supply and Sewerage Improvement Peru and Expansion Project (ODA Loan)

### **1** Overview of evaluation results and issues observed

This project was implemented to improve the water supply and sewerage services in the Cajamarca Region by rehabilitating and expanding the water supply and sewerage facilities in eleven local cities in the Region. The project was highly relevant as it was highly consistent with the development policies, plans and development needs of the Republic of Peru (hereinafter referred to as "Peru"). Thanks to this project, in the target region, the number of water and sewer connections soared, and the duration time of water supply also increased. Many residents with newly connected to the water supply and sewerage services reported a decline in malodors, flies and contamination, and they become able to use water to clean thoroughly and washed hands more frequently in response to the COVID-19 pandemic. Accordingly, it was confirmed through this ex-post evaluation that the project helped improve the water supply and sewerage services in the target regions.

Meanwhile, at the time of ex-post evaluation, the project did not complete some of the facilities in target cities and went far over budget. Construction of sewage treatment plants (STPs) in some cities had to be suspended due to opposition from residents while

some STPs constructed lacked sufficient treatment capacity, rising concerns over the risk of direct discharge of untreated sewage around residences or into rivers, as occurred before STPs were constructed. Moreover, despite the initial plan to operate and maintain the water supply and sewerage facilities under the project by the Water Supply and Sewerage Public Corporation, which was technically and financially considered to have higher operational capacity, more residents were opposed to transferring the service to the Corporation, amid concerns that its service would affect the service fee as the project implementation period was extended. This has caused the transferring process in some cities to be delayed. Under such circumstances, ongoing issues still remained, including the maintenance and management of STPs given the lack of proper maintenance and operation under the municipal government with limited technical capacity and the fact that some facilities have already become inactive.

Based on this evaluation result, the project efficiency is low, and some sustainability issues remained. Therefore, the overall rating of the project was unsatisfactory.

### 2 Recommendations and lessons learned

The Cajamarca Region Program Implementation Unit, the executing agency, was recommended to complete the project as early as possible while the need to proceed with transferring the operation of the completed facilities under the cooperation with each of the relevant organizations. It is also requested to look into reasons why some sewerage facilities among those constructed

have not achieved the functional capacity and to take measures against it. As for lessons learned, in case the project sustainability is directly related with municipal authorities, such as transferring the right of facility operation, it is important to assess and take measures to ensure arrangements remain feasible, even if the political conditions change.

### 3 Measures to be taken by the JICA department overseeing the project

JICA continues to encourage the executing agencies to complete construction earlier as well as following up on the efforts of the Peruvian government to improve policies and systems in the water supply and sewerage sector and striving to develop sustainable systems.



Water Treatment Plant in Jaé

#### Mini-Hydropower Development Project in the The Philippines Province of Ifugao

### 1 Overview of evaluation results and issues observed

This project aimed to promote the use of domestically issued 11 Hydropower Service Contracts in the province, with construction prepared. In addition, some positive secondary effects were confirmed among the target population including: the rehabilitation of the irrigation facilities which made it possible for rice to be cropped in two seasons and for additional water intake from the As a consequence of the project, the Likud Mini-Hydro plant to be used in vegetable fields. The water turbines and generators used in the project were made using the products of an SME in Fukushima Prefecture, Japan, which has been highly evaluated by the DOE. Moreover, the power generation company in Hyogo Prefecture, employing an engineer who was tasked with managing the project, jointly invested to the construction of the new mini-hydro power plant in Ifugao Province with Ifugao Electric Cooperative, Inc. and a local construction company, and the project was launched in 2021.

produced renewable energy by developing a minihydroelectric power plant in Ifugao Province in northern Luzon Island, thereby contributing to the conservation of rice terraces as a regional tourism resource and the reduction of greenhouse gas emissions. Power Plant was constructed with power generation capacity as planned. However, the plant has not yet become sufficiently operational due to the unapproved power supply agreement and damage to civil engineering facilities like the headrace due to heavy rain. As a result, the amount of generated electrical energy at the generating end, which indicates the quantitative effects, was far below the target value during the period from the target year to that when the ex-post evaluation was conducted. Meanwhile, the plant was stably operated for However, revenue from electricity sales has not yet a certain period, during which the amount of generated been collected, since the power supply agreement electrical energy at the generating end exceeded the has yet to be approved. Accordingly, no rice terrace target value and helped realizing the project impacts conservation activities got underway using electricity sales (i.e. boosting the stable supply of electricity to Ifugao income as expected. Accordingly, despite some positive Province and reducing greenhouse gas emissions) to impacts observed, the effectiveness of the project was a certain extent. The project has also helped raise deemed limited compared to the project plan, and its awareness of and demand for small-scale hydropower in efficiency and impact were deemed low. the province and the Department of Energy (DOE) has

### 2 Recommendations and lessons learned

The executing agency was recommended to optimally Regarding the power supply agreement, although leverage follow-up cooperation currently implemented the report focused on the process up to the point at by JICA to obtain approval for the power supply and which the agreement was concluded between the other agreements as early as possible, promptly repair Ifugao provincial government and the Ifugao Electric Cooperative, Inc., subsequent approval by the Energy the damaged headrace and get the plant back into operation as soon as possible. Regulatory Commission, which is a biggest issue in this The circumstances explaining why the power supply project, was not clearly anticipated.

agreement was not approved by the Energy Regulatory Since local governments are deemed to take the Commission, which is the largest issue in this project, initiative to obtain plant approvals, the following lessons were also analyzed as lessons to be utilized for similar were learned: it is important to estimate the realistic time projects in the future. Although requirements for and risks required for each procedure considering the approval were listed along with the period required in capability of implementing agencies during the planning a preliminary survey report, it could not be confirmed stage while the recipient government and JICA should monitor and advise accordingly, based on risk factors during the ex-post evaluation whether or not risks were analyzed in case there is delay to each procedure. during the implementation stage.

### 3 Measures to be taken by the JICA department overseeing the project

Under follow-up cooperation, JICA is currently now will be collected formulating a facility repair plan to ensure the mini-hydro and allocated to the rice power plant operates steadily and will consider specific terrace conservation restoration assistance soon after the plan is formulated. fund. Accordingly, JICA As for the power supply agreement, JICA has also continues to support supported approval procedures by the Energy Regulatory efforts to achieve the Commission. As soon as the Commission approves the originally intended power supply agreement, electricity sales revenue until impacts.



Constructed Likud Mini-Hydro Power Plan

### The Project for Improvement of Basic Skills and Vocational Training in South Sudan (Phase II) (Technical Cooperation) South Sudan

### **1** Overview of evaluation results and issues observed

In South Sudan, where reconstruction has proceeded apace since its separation and independence from Sudan in 2011, In response to the need to train a skilled workforce capable of supporting national reconstruction, economic development and efforts to improve livelihoods, implemented capacity-development activities to increase training opportunities and boost training quality, the project involved work to develop the training capacity of public vocational training centres (VTCs), develop the organizational capacity of the Ministry of Labour, Public Service and Human Resource Development (hereinafter referred to as 'MLPSHRD'), the higher authorities, and develop the training capacity of private vocational training centres, thereby improving the chance and the quality of the vocational training. The project approach features support for upskilling candidates via VTCs as part of efforts to train skilled workers from mid- and long-term perspectives and support of NGOs which provides a short-term livelihood improvement training program that has an immediate effect on creating employment opportunities for youth and the socially vulnerable in the capital and local cities as well as concurrent efforts to establish vocational training systems. This approach was suitable, given the circumstances in South Sudan, which was facing an unstable governmental system immediately after

independence and had various needs during the initial reconstruction phase. Thanks to the project, the Multi-Service Training Centre (MTC) in Juba and NGOs have continued operating in a self-reliant manner; leveraging income-generation activities introduced in the project. Given a growing number of trainees and a high level of satisfaction, it was confirmed that the opportunity and level of the vocational training had improved to a certain extent. The project impact was also confirmed in terms of scope for graduates, including females, to improve their livelihoods. However, the quantitative impact was limited because VTCs in two local cities did not function due to external factors, such as two domestic conflicts that occurred after the project completion. Operational issues were also observed in the Juba MTC in the form of a lack of instructor motivation due to delayed salary by the government, which could affect training quality. Moreover, any improvement in the MLPSHRD's VTC assistance capacity was limited and sufficient staff could not be appointed due to financial constraints. Accordingly, the overall rate of the project was deemed low. Training suspension in two VTCs and a budgetary shortage for training activities are attributable to external factors affecting the project, such as the domestic conflict in South Sudan, adversely affecting the impact and sustainability of the project.

### 2 Recommendations and lessons learned

In South Sudan, work from international organizations and assistance from other donors is planned to repair the Juba MTC and VTCs in Malakal and other local cities to promote vocational training services for youth, female and veterans and assistance from the MLPSHRD for VTC is expected. Given the overall unstable financial situation of the government and the budgetary limitations for vocational training expected going forward, it was recommended that each VCT plan and implement income-generation activities which would be capable of allowing the training center to subsist

after the project completion while determining the status of candidates receiving capacity development support during the project to prepare for resuming training courses. As for lessons learned, in conflictaffected countries, where both emergency support and mid- to long-term institutional support are needed, it was suggested that responding to different needs by not only developing skilled candidates for national reconstruction, but also including activities that would directly benefit citizens at a local level would be effective.

### 3 Measures to be taken by the JICA department overseeing the project

In South Sudan, since the MLPSHRD and the Ministry of General Education and Instruction have worked to develop the South Sudan vocational training policy, the need to develop the capacity of the Juba MTC, which presumably underpins the MLPSHRD and vocational training services, has become increasingly urgent. Leveraging experience from the project, the South Sudanese government has high expectations of JICA's

follow-up cooperation. Accordingly, JICA will seek out new areas of potential cooperation based on lessons learned and recommendations from the ex-post evaluation. In other JICA projects relevant to livelihood improvement, activities implemented by Juba MTC to help organize resources are being considered. The project outcome will be effectively utilized in collaboration with other donors.

#### Strengthening Mathematics and Science Education in South Sudan South Sudan (SMASESS) (Technical Cooperation)

### 1 Overview of evaluation results and issues observed

In South Sudan, lack of the teacher's knowledge and evaluated as high. After the project completion, however, the capability was tangible, the project aimed to establish SMASESS training sessions at national and state levels a system for Strengthening Mathematics and Science had to be suspended due to the external factors of the Education in South Sudan (hereinafter referred to as two domestic conflicts. Eventually, at the time of ex-post SMASESS), by establishing a training implementation evaluation, despite reported cases having helped elicit impacts, such as improving "teaching skills of primary structure at national and state levels, enhancing the capacity of state trainers, strengthening the training teachers in mathematics and science" and "competence implementation system in model states and consolidating of primary school pupils in mathematics and science", support systems for teacher training policy and training. since the sustainability of the project effect on in-service teacher training was limited, the effectiveness and impact The project achieved the stated purpose of "Teaching skills of Model Teachers in mathematics and science are of the project were judged as fair. While institutional and improved" by meeting standards for training methods for organizational structures are in place from policy/political, model teachers having participated in training. Meanwhile, institutional/organizational and financial aspects, it has difficulties to function due to the external factors such as despite delays in disbursing the South Sudanese budget and other difficulties, training sessions were implemented domestic conflicts and the state reorganization, there was nationwide and the project outputs were integrated into a need to work on maintaining and improving technical educational sector planning and policy. Accordingly, the levels. Accordingly, the sustainability of project effects was project effect up to the point of project completion was deemed low.

### 2 Recommendations and lessons learned

Although contacts with the trainers and model as external factors (impact caused by teacher turnover, teachers trained by the project were lost because personnel shuffles in government, budgetary shortfalls evacuating from the conflicts and personnel shuffles, due to decreased government revenue and elections and they remain valuable assets. The implementing referendums) may occur at a level or scale exceeding agency is expected to reconstruct their network after that normally expected. Accordingly, lessons were conflicts, personnel shuffles and state reorganization. learned that if external factors were to emerge at a level As for recommendations, as well as JICA utilizing such unexpected enough to constitute an unstable project network for disseminating maths and science education factor, it is more important than for other ordinary as targeted by subsequent projects, there is a need projects at the project planning stage to consider how to provide information to further consolidate such best to minimize their impact and boost sustainability, candidates. In conflict-affected countries, events identified despite various constraints.

### 3 Views from the Department overseeing the project

Although the project outputs did not emerge as initially implementing agencies of the project strove to maintain planned, conflicts occurred after the project completion and enhance the project outputs to succeed and leverage and subsequent refugee issues and humanitarian crises technology and candidates as optimally as possible. Based had not even been anticipated by the international on such efforts, the Department overseeing the project community. Accordingly, it was largely attributable to has a different perspective on its sustainability and effects after the project completion. (The difference of opinion serious external factors like these, which had not been envisaged. between the evaluator and the department overseeing the Despite the exceptional external factors, however, the project is described in the [+ Individual Report])

### 4 Measures to be taken by the JICA department overseeing the project

Although the project purpose was achieved, trainers having occurred after the project completion into account were trained and a training system had been established and any associated external factors which were not by the time of project completion, unexpected security expected or planned for, developing clearer standards is deterioration caused by subsequent conflicts hindered an issue requiring improvement. As the project indicated, continuous activities. Currently, a human recourse network for ex-post evaluations conducted in conflict-affected has been reestablished in readiness for resuming training countries, external factors may go beyond expectations, courses, particularly with the remaining core candidates such as unexpected national independence during the in mind. While monitoring local political and security project or a conflict arising after the project. Since this situations, JICA will confirm the progress of activities to may occur in other countries, there is a need to consider resume the training courses. reviewing the evaluation system.

Moreover, in taking impacts such as those of conflicts



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# List of Internal Ex-post Evaluations

In principle, internal ex-post evaluation covers those projects costing 200 million yen or more and less than one billion yen. Click on a project name to jump to see its ex-post evaluation report.

Country	*Evaluation No.	<sup></sup> <sup>2</sup> Project No.	#Scheme	Project name
	1	1	Т	Capacity Development for Trade-Related Administration
Indonesia	2	2	Т	Project on Small and Medium Industry Development based on Improved Service Delivery in Indonesia
	3	3	Т	Project on Enhancement of Metalworking Capacity for Supporting Industries of Construction Machinery
		4		Science Teacher Education Project (Phase 2) (STEPSAM2)
Cambodia	4	5	T	Project for Educational Resource Development in Science and Mathematics at the Lower Secondary Level (STEPSAM3)
Thailand	5	6	Т	Project for the Development of Basic Schemes for PRTR System
Thailanu	6	7	Т	Innovation on Production and Automotive Utilization of Biofuels from Non-Food Biomass
	7	8	Т	Enhancing the Competitiveness of Fresh and Semi Processed Agricultural Product Through the Application on Appropriate and Sustainable Packaging Technology
Philippines	8	9	Т	Strengthening Maternal and Child Health Services in Eastern Visayas
	9	10	Т	Project for Comprehensive Etiological and Epidemiological Study on Acute Respiratory Infections in Children: Providing Evidence for the Prevention and Control of Childhood Pneumonia in the Philippines
Viet Nam	10	11	Т	The Project for Strengthening Medical Services in Northwest Provinces
	11	12	Т	Enhancing Corporate Finance Management Capacity to Implement SOE Restructuring
Myanmar	12	13	G	The Project for Mangrove Rehabilitation Plan for Enhancement of Disaster Prevention in Ayeyawady Delta
	13	14	Т	Supporting Community Initiatives for Primary Education Development in the Southern Provinces (CIED)
Laos	15	15		Project for Supporting Community Initiative for Education Development (Phase 2)
	14	16	Т	Strengthening Integrated Maternal, Neonatal and Child Health Services in Lao PDR
	14	17	(G)	Project for Strengthening Health Service Network in Southern Provinces
Papua New Guinea	15	18	Т	The Project for Formulation of Ramu System Power Development Master Plan and Lae Area Distribution Network Improvement Plan
	16	19	T	The Project for Capacity Development of Department of Transport in Port Policy and Administration
Fiji	17	20	Т	The Project for the Planning of the Nadi River Flood Control Structures
Mongolia	18	21	Т	Capacity Development Project for Internal Audit Phase 2
China	19	22	Т	The Project for Development of the Capacity in rural waste water treatment
Armenia	20	23	T	Landslide Disaster Management Project
Uzbekistan	21	24	G	The Project for Improvement of Equipment of Navoi Regional Multidisciplinary Medical Center
	22	25	Т	Reproductive Health Project
Afghanistan		26		Reproductive Health Project Phase 2
	23	27	Т	The Project for Capacity Development and Establishment of Road Maintenance and Management System
	24	28	Т	Project for Socio-economic Activation of Rural Afghanistan
India	25	29	Т	Project for Information Network for Natural Disaster Mitigation and Recovery
	26	30	Т	UASB-DHS Integrated System - A Sustainable Sewerage Treatment Technology
Sri Lanka	27	31	Т	The project for development of pollution control and environmental restoration technologies of waste landfill sites taking into account geographical characteristics in Sri Lanka
	28	32	T	The Project for Capacity Development on Bridge Management
	29	33	Т	The Project for Monitoring of the Water Quality of Major Water Bodies
	30	34	T	Capacity Development of Technical and Vocational Centers in Khyber Pakhtunkhwa
Pakistan	31	35	G	The Project for Upgrading of Mechanical System for Sewerage and Drainage Services in Gujranwala
	32	36	T	The Project for Capacity Development of Agriculture Extension Services in Khyber Pakhtunkhwa Province
Bangladesh	33	37	T	Project on Revision and Updating of Strategic Transportation Plan for Dhaka
	34	38	T	Project for Development of Economic Zones and Capacity Enhancement of Economic Zones Authority
Bhutan	35	39	G	The Project for Improvement of Machinery and Equipment for Construction of Rural Agricultural Road (Phase 3)
	36	40	T	Project for Capacity Development of GLOF and Rainstorm Flood Forecasting and Early Warning
Maldives	37	41	Т	Project for the Formulation of Master Plan for Sustainable Fisheries (MASPLAN)
	38	42	Т	Project for reinforcement of certified seed production and extension system for popular rice
Cuba		43		Project for extension and diffusion of technologies for certified rice seed production in the central zone of Cuba
	39	44	T	Project for Fish Culture in the Republic of Cuba
Costa Rica	40	45	T	Project for Promoting Participatory Biodiversity Conservation
Colombia	41	46	Т	Project on Capacity Development on Information Security Management of Land Information System for Land Restitution Policy Promotion

\*1 Evaluation No.: the number of evaluations conducted

\*2 Project No.: the number of projects evaluated.

\*3 T: Technical Cooperation or G: Grant Aid. Project implemented under several schemes and integrally evaluated is counted as the scheme of non-parentheses.

Country	Fevaluation No.	<sup></sup> <sup>2</sup> Project No.	#Scheme	
Saint Lucia	42	47	G	The Project for Improvement of Fi
Dominican Republic	43	48	Т	Project for Strengthening Primary H
Nicaragua	44	49	Т	Project for Urban Development M
Paraguay	45	50	Т	Rural Development Project for Stre
	46	51	Т	Project for Strengthening Primary
	47	52	Т	Project for Strengthening Integrate
Bolivia	48	53	Т	Project of Capacity Development
Iran	49	54	Т	Participatory Forest and Rangelan
Palestine	50	55	Т	Technical Assistance and Capacity
Albania	51	56	Т	Project for the Support of Waste I
Ukraine	52	57	T	Project for Creation of a National
Kosovo	53	58	T	The Project for Enhancement of the
Serbia	54	59	T	The Project for Assistance of Enhan
Turkey	55	60	T	Capacity Development toward Eff
Algeria	56 57	61 62	T	Sahara Solar Energy Research Cen
Uganda	57	63	T	National Wetlands Management F The Project for Strengthening of A
Ethiopia		05	1	Project for Rural Water Supply, Sa
	59	64	Т	Pumps (RPs) for Drinking Water
Ghana	60	65	Т	Financial Management Improveme
	61	66	Т	The Project for Supporting Institut and Management Policy
Kenya	62	67	Т	The Project for Strengthening of Cap
Cote d'Ivoire	63	68	Т	The Project for Supporting Formul and Dissemination
Zambia	64	69	Т	The Project for Support in National R
20	65	70	Т	The Food Crop Diversification Sup
Sudan	66	71	Т	Project for Human resources Deve
Senegal	67	72 73	т	Project for Human resources Deve Capacity-building Project for the c
				degraded soil areas The Project for Improvement of N
Solomon Islands	68	74	Т	Authority (SIWA)
Tanzania	69	75	Т	Project for Formulation of Power Sy Master Plan 2012
	70	76	Т	T in Supporting Service Delivery Syste
Tunisia	71	77	Т	Project for Sustainable Manageme
	70	78	-	The Project for Co-Management of
Niger	72	79	T	Project on Effective Utilization of F
	73 74	80 81	T G	School for All: The project on sup Project for Improvement of Teaching
Burkina Faso	74	82	T	Improving Sustainable Water and
Burundi	76	83	Т	Project for Community Developme
Malawi	77	84	G	the Gitega Province The Project for Selected Market C
	78	85	T	The Project for Capacity Enhancer
		86		Project for Strengthening of Mathe
	79	87	Т	Strengthening of Mathematics and
Mali	80	88	Т	Digital Topographic Mapping Proj
Mozambique	81	89	T	Project on Promoting Sustainabilit
		-		
Morocco	82	90	T	The Project for Improvement of Iri
· · ·	82 83	90 91	T	The Project for Improvement of Irr Project of Strengthening School-ba

#### Project name

ishery Equipment and Machinery Health Care for Pregnant Women and Newborns in Health Region III Waster Plan for Managua City rengthening of Territorial Management System in Itapúa and Caazapá Health Care System in the Republic of Paraguay ted Management of Yguazú Lake Watershed for Agriculture with Irrigation nd Management Project in Chaharmahal-va-Bakhtiari Province ty Building Project for the Jericho Sanitation Project Minimization and 3R Promotion Geospatial Data Infrastructure of Ukraine e Capacity for Waste Management toward Sound Material-cycle Society ncement of Energy Management System in Energy Consumption Sectors ffective Disaster Risk Management nter Project Project Agricultural Pesticide Residue Analysis System anitation and Livelihood Improvement through Dissemination of Rope nent Project of the Ministry of Food and Agriculture utionalization of the Pre-Tertiary Teacher Professional Development apacity on Road Maintenance Management through Contracting (Phase 2) ulation of Industrial Sector Policy Focused on Technology Innovation Roll-out of Sustainable Operation and maintenance Programme (SOMAP3) upport Project Focusing on Rice Production velopment for Water Supply velopment for Water Supply Phase 2 control of land degradation and the promotion of land recovery in Non Revenue Water Reduction Capacity for Solomon Islands Water System Master Plan in Dar es Salaam and Review of the Power System tems of Irrigated Agriculture in The United Republic of Tanzania (TC-SDIA) nent of Coastal Fisheries Resources in the Republic of Tunisia of Coastal Fisheries in the Gulf of Gabes Reservoirs and Auto-Promotion of Local Communities in the Sahel pport to educational development through community participation ng and Training Capacities of l' École Nationale des Eaux et Forêts (ENEF) Sanitation Systems in Sahel Region in Africa: Case of Burkina Faso nent for Improvement of Livelihood in the Conflict-Affected Areas in Centres and Rural Water Supply in Mchinji and Kasungu District ement in Public Sector Investment Programming Phase II ematics and Science in Secondary Education (SMASSE) in Malawi nd Science in Secondary Education (SMASSE) INSET Malawi Phase II iect for the Bamako Metropolitan Area ity in Rural Water supply, Hygiene and Sanitation in Niassa Province rrigation System at the Abda Doukkala Irrigated Area based Collaborative Teacher Training (SBCT)

84 92 T The Project for Studies of Seismic Hazard Mitigation in Deep Level South African Mine

#### Project Evaluation System and Ex-post Evaluation Results of JICA Part I

Internal Evaluation: Highlights

This section introduces three internally evaluated projects; selected from a total of 85 and with the balance of their regions, sectors and ratings in mind.

### Morocco The Project for Improvement of Irrigation System at the Abda Doukkala Irrigated Area

The Government of Morocco addressed the "National Programme of Water Saving Irrigation" (2007-2020) as part of efforts to shift away from the current surface irrigation and sprinkler system to drip irrigation for an area covering 550,000 ha by 2020. For the dry or semidry Abda-Doukkala region which has suffered frequent drought, regional policy was set out in with a goal of reducing the 96,000 ha requiring drip irrigation to 50.000 ha.

In support of this goal, JICA implemented the "Project for Improvement of the Irrigation System at the Abda Doukkala Irrigated Area" (Technical Cooperation) within the Abda Doukkala region as a pilot site from July 2011 to July 2016. Aiming to extend the project outcomes elsewhere, the project initially focused on ensuring water-saving irrigation over an area of 180 ha and consolidating maintenance, management and other intangible components.

In order to provide farmers profitable farming advises regarding how best to manage drip-irrigation systems and water facilities, promote high-valued agricultural crops and deploy fertilizer, the project boosted the capacity of the government staff and farmers' associations. It also bolstered farmers' income by adding further value to their agricultural products through support of promoting high-valued agriculture. In fact, at the time of completion, the project was highly acclaimed by the Moroccan government as having achieved a great result as a benchmark drip-irrigation project for the region.

The evaluation at the project completion confirmed that the project had achieved all indicators, including improving the ratio for the dry season cropping intensity, meaning it excelled quantitatively. JICA also confirmed the success of this project in terms of agricultural resilience in a drip-irrigation context. Since 2019, however, after a terrible drought blighted the region, on a scale thought only to occur once every

thirty years, the farm ponds in the pilot site dried up and even the drip irrigation, which can save the water, was unable to offset the resulting scarcity of water. Accordingly, during the ex-post evaluation, given that indicators of the overall goal ("expanding the areas equipped with drip irrigation" and "increase cultivation areas of highly profitable agricultural products") were not achieved, the overall project effectiveness and

impact were judged as fair.

The project sustainability was also judged as fair given that the Agricultural Water Users' Association (AWUA) faced certain financial issues. This, in turn, was attributable to the difficulty of collecting water charges from farmers after the drought impacted agriculture. Given the severe drought which affected agriculture, and due to the limited information available since the field survey could not be conducted because of the COVID-19 pandemic, the evaluation judgement was not as good as expected. The ex-post evaluation was conducted remotely, but the national staff member overseeing this project evaluation patiently interviewed the implementing agency and stakeholders and collected quantitative and qualitative data. Accordingly, although the target value was not achieved, it was confirmed that agricultural product sales did not decline as expected. The percentage area covered by drip irrigation was 19.2%, and the area of highly profitable agricultural products increased by 21.3%.

As a finding from this ex-post evaluation, since farmers found that the relatively expensive electricity rate when pumping water for drip irrigation is particularly burdensome, the lessons learnt had been withdrew "as one of the solutions to secure sustainability in anticipation of the system utilization after project completion, prior to project implementation, it is recommended that JICA should hold in-depth discussions regarding the financial aspect of the planned system, then seek a possibility of financial support of the state government to fund users of the system (e.g. AWUA) in order to facilitate effective management of drip irrigation in a self-sustaining way."

Amid growing expectations of the Moroccan government with regard to JICA's irrigation projects under this project, JICA has explored the feasibility of extending this successful irrigation project nationwide with the Government of Morocco.





Irrigation reservoir (Original: irrigation water storage basin) during the project (20011-2016) Irrigation reservoir (Original: irrigation water storage basin) of today (2021)



The population in Managua City, the Nicaraguan needs commensurate with the soaring population, capital, grew at an annual average rate of 3.87% however, there is a need to further promote the from 2005. This prompted concerns that the disorder remaining projects proposed in the plan. expansion of urban areas would end up hampering The ex-post evaluation also confirmed that gender progress of urban functions due to an increasing mainstreaming has been promoted by the project. financial burden on developmental and operational Most of the members of the technical team for needs and maintenance of urban infrastructure, developing the master plan of the Municipality were meaning transport and travel in the area take longer. female participants, and many female members comprised the project Steering Committee. This gender In response, JICA implemented a technical cooperation project for development planning\* "the structure allowed them to incorporate various views Project for the Urban Development Master Plan for into projects proposed in the master plan and proceed Managua City" from January 2016 until May 2017. It with the project execution rapidly and unhindered.

aims at formulating a basic policy and plans for urban development in the Municipal Council by strengthening the institutional capacity of Managua Municipality through activities that include analyzing the situation of Managua City, setting out a vision, formulating action plans and issuing recommendations for the investment plan and others.

Thanks to the project, the basic policy and plans in the area of urban development for Managua City high-density land, based on the disaster risks proposed in the master plan and for the improvement of the were formulated, whereupon the municipal staff could develop their capacity for urban and transportation environment for introducing public transportation going forward. planning via a training program in Japan and OJT. The basic policy and plans were then approved by the \*: Technical Cooperation projects for Development Planning transfer technologies including Municipal Council in 2018 as the "Urban Development how to conduct survey/analysis and formulate plans to the recipient government by supporting planning policy and formulating public project plans in developing countries. Master Plan for Managua City for 2040". Moreover, the ex-post evaluation this time revealed that two

out of thirty short-term priority projects proposed in the master plan were completed and seven projects, plus four mid- and long-term priority projects were all being implemented. These projects have helped improve and strengthen urban functions, such as developing and extending new roads and expanding the sewerage coverage in the City. To meet substantial urban infrastructure



Nicaraguan participants

### Comment from local staff conducting the evaluation

Due to COVID-19, we could not visit the implementing agency; however, we established frequent online communication throughout the evaluation process. At some point, they probably felt we were digging requesting a lot of information. Nevertheless, it was important to remind them that the ex-post evaluation is about grasping as much information as possible in order to provide valuable recommendations and lessons learned via evaluation.



The then Foreign Minister and other Nicaraguan ministers, and the Mayor of Managua City, expressed their appreciation to the Japanese Government for formulating the master plan. As a follow-up project, an advisor on enhancing capacity and revising the land use regulation was dispatched since FY 2021 to pass on expertise in the area of revising land use regulations and zoning to municipal staff for use in

The master plan was unanimously approved by the

A traffic circle and elevated roads developed by an elevated roads/intersection development project

#### Ghana Project for Supporting Institutionalization of the Pre-Tertiary Teacher Professional Development and Management Policy

In Ghana, despite steady progress in the quantitative expansion of education, improving the quality of education remained a major challenge. The Government of Ghana has emphasized the crucial need to improve the abilities of teachers, as reflected in its "Education Strategic Plan (ESP) 2010-2020" formulated by Ghana's Ministry of Education in 2011 and the "Primary and Secondary Teacher Professional Development and Management (PTPDM)" policy it established. This aims to ensure appropriate personnel management according to their careers or capacities, rather than simply in line with qualifications and years of experience.

JICA launched a project to contribute to the realization of the PTPDM policy. Aiming to expand the policy nationwide in the future, the project initially established a system of appraising and promoting teachers in line with the PTPDM policy through trial introducing in five pilot districts. Specifically, the project activities included: (1) Developing teacher appraisal and promotion mechanism based on competencies (capability and behavioral characteristics), (2) developing a mechanism for data collection and

management of teacher training record to reflect the training participation to the evaluation, and (3) Revising the policy documents and developing policy framework guidelines to expand the PTPDM policy nationwide.

It was found that many pilot districts continued to use a system of appraising and promoting teachers based on PTPDM policy rolled out, and that the evaluation of teachers has been carried out when teachers renew their licenses or promote in non-pilot districts. Training sessions have also been conducted regularly and reference has been made to the Teacher Training Logbook. Overall, it was confirmed that the project effects have been steadily integrated into the system. Meanwhile, even though the project aimed to expand the policy nationwide, teacher appraisals were

conducted only when renewing licenses and promoting, particularly in non-pilot districts, suggesting the need for strict instruction and supervision at central and district levels.



A field survey (in the Shai-Osudoku District)

#### Learning from the internal ex-post evaluation during overseas OJT

Normally, internal ex-post evaluations are conducted by overseas office staff members. However, the internal ex-post evaluation of this project was conducted by new JICA staff from the Evaluation Department utilizing oversea OJT.

Before conducting this evaluation, I was mainly engaged in managing the quality of external and internal ex-post evaluations as a staff of the Evaluation Department and considered how best to collect evaluation information from the implementing agency and evaluate such projects objectively. However, through the internal ex-post evaluation of this project as an evaluator, I noticed that the field survey is not only a means of collecting data but also a valuable opportunity to discuss with the implementing agency how best to expand and sustain project outcomes and what project(s) should be implemented in the future.

As well as conducting an ex-post evaluation of the project, I organized an internal ex-post evaluation seminar for staffs mainly responsible for ex-post evaluations at the overseas office while the OJT. The purpose of this seminar was to deepen the understanding of the process and purpose of internal expost evaluations by sharing experiences of overseas office staff members who have overseen many such evaluations with those who will do the same task in the future. Through the seminar, I recognized that conducting internal ex-post evaluations would accumulate lessons unique to the country and sectors, and help forge relations of trust with the implementing agency. Moreover, I realized again that the subsequent success of the project and relations of trust between the partner countries and JICA are underpinned by overseas office staffs who have mission to improve the country and engage in implementation and evaluation of projects by persistently communicating with the implementing agency.

Throughout my time as an evaluator, I learned how challenging it is to accumulate information from a range of perspectives to conduct objective evaluations, and understood from experience what it is like and how important it is to "extract useful lessons and utilize them for projects", which I prioritized in the Evaluation Department. Going forward at the Evaluation Department, I would like to strive to conduct evaluation and design evaluation systems imagining the actual project scenes at all times. When I formulate or implement projects in the future, I would also like to forge relations of trust with implementing agencies, like the officers I met in Ghana did, and create better projects by having a bird's-eye view of projects I have cultivated in the Evaluation Department and by utilizing lessons learned from past projects.

\*: The Overseas OJT refers to a training program for new JICA staff which entails On-the-Job Training (OJT) for one to three months overseas, aiming to "form values as a springboard for working as a development assistance professional in future.

### **Examples of Applying Lessons Learned** Application of Lessons Learned from Past Similar Projects to Ongoing Projects

In order to address increasingly complicated development issues, JICA needs to utilize lessons learned from its past projects to improve the effectiveness and efficiency of project implementation. With this recognition, JICA attaches great importance to the application of lessons learned from past project experiences and evaluation results to ongoing and future similar projects to improve the quality of actions in the PDCA cycle.

Below are representative examples of applying experiences and lessons learned from past projects to project implementation.

#### Project for Strengthening National Strategy of Integrated Natural Disaster Risk Management in Brazil (external evaluation)

This project was implemented to support capacity in order to take a consistent approach across three stages building for the risk assessment on sediment disasters, of pre-disaster, during disaster, and post-disaster as follows. formulating and implementing, based on such risk 1) Understanding (disaster-related) phenomena, taking assessment, urban expansion plans and disaster non-structural measures (e.g. observations, forecasts, prevention/rehabilitation/reconstruction plans, issuance of and warnings), and taking structural preventive measures early warning and dissemination of risk information, and (e.g. sand control dams and retarding basins): Ministry of research and development on disaster monitoring as well Science, Technology, and Innovation as forecast and early warnings. Through these capacity 2) Understanding and analyzing how preventive measures work during a disaster: Ministry of National Integration building activities, the project aims to improve Brazil's preparedness for disaster response and thereby contributes 3) Reflecting the results of the activities mentioned in 1) and to strengthening its integrated national strategy for natural 2) above to make the country and communities resilient to disaster risk management. The lesson\* learned from the past natural disasters: Ministry of Cities, Federal Government similar project pointed out the importance of "developing a The support structure of the Japanese side was long-term roadmap to function as a social system, adopting established involving experts and experienced coordinators a strategic support approach to take the fullest advantage with technical knowledge and skills required to meet the of Japan's knowledge/expertise, and making project design needs of these different implementing agencies. As a result, (inputs, project period and phasing) for capacity building the project for strengthening the national strategy for in a manner that ensures the achievement of project disaster risk management completed successfully. outcomes. The above mentioned lesson learned of the \* This lesson was also included in the knowledge lesson sheet of the disaster management sector. JICA Report "Thematic Evaluation- Cross-sectional analysis of evaluation results: Extraction of practical knowledge lessons in the field of disaster prevention" (2014) past similar project were reflected to this capacity building project and an activity plan was developed to provide https://www.jica.go.jp/activities/evaluation/tech\_ga/after/ku57pq00001cdfnb-att/201412\_01.pdf (As of September, 2021). Only Japanese version is available. comprehensive support to different implementing agencies

# Project for Capacity Development on Bridge Management in Sri Lanka (internal evaluation)

JICA has combined on-the-job training through ODA Capacity Building of Road Maintenance in Kyrgyz (2011)). loan projects for bridge construction and reconstruction Therefore, in this project, model provinces were selected, and technical cooperation such as training to improve and practical technologies were transferred to provincial maintenance and management skill, to provide field engineers. Moreover, the project was designed to comprehensive support to Sri Lanka. Among them, this match with the technical level of RDA by giving RDA staff project was aimed to improve institutional capacity of examinations to measure their competencies and holding Road Development Authority (RDA) on bridge management discussions to identify problems in the existing manuals. through revision and development of bridge management As a result, this project was found to have successfully manual and guidelines, preparation of bridge management strengthened the bridge management capacity of RDA and strategy/plan, enhancement of basic engineering knowledge improved bridge management around Sri Lanka. by seminars and on-the-job training, thereby improving The concept of a bridge management cycle adopted in operation and maintenance of bridge around the country. this project was also applied to other project but not put into full practice due to limited financial resources and According to lesson learned from the past similar

project, pilot projects should be implemented to provide impractical planning. The fact opportunities for field engineers to experience inspections indicates the importance of and repair works (Project on Improvement of Quality learning lessons from past Management for Highway and Bridge Construction and projects. This project is a good Maintenance in the Philippines (2007)). Another lesson example of drawing on lessons learned was that document for institutional development learned from past projects to and engineer suited to the needs and technical level of increase the effectiveness of the implementing agency would be effective in improving project implementation. operational processes and daily tasks (Project for the





One of the bridges inspected using a bridge inspection vehicle