

Environmental Checklist: 1. Mining Industry (1)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
1 Permits and Explanation	(1) EIA and Environmental Permits	(a) Have EIA reports been already prepared in official process? (b) Have EIA reports been approved by authorities of the host country's government? (c) Have EIA reports been unconditionally approved? If conditions are imposed on the approval of EIA reports, are the conditions satisfied? (d) In addition to the above approvals, have other required environmental permits been obtained from the appropriate regulatory authorities of the host country's government?	(a) (b) (c) (d)	(a) (b) (c) (d)
	(2) Explanation to the Local Stakeholders	(a) Have contents of the project and the potential impacts been adequately explained to the Local stakeholders based on appropriate procedures, including information disclosure? Is understanding obtained from the Local stakeholders? (b) Have the comment from the stakeholders (such as local residents) been reflected to the project design?	(a) (b)	(a) (b)
	(3) Examination of Alternatives	(a) Have alternative plans of the project been examined with social and environmental considerations?	(a)	(a)
2 Pollution Control	(1) Air Quality	(a) Do air pollutants, such as sulfur oxides (SOx), nitrogen oxides (NOx), soot and dust emitted from the ships, vehicles and the facilities like docs comply with the country's emission standards? Are any mitigating measures taken?	(a)	(a)
	(2) Water Quality	(a) Do pollutants, such as Suspended Solids (SS), oils/fats and other toxicants contained in effluents comply with the country's effluent standards (BOD, COD, ph, etc)? Is there a possibility that the effluents from the project will cause areas not to comply with the country's ambient water quality standards? (b) Are adequate measures taken to prevent contamination of surface water, groundwater and soil by the effluents from storage areas, including raw materials, chemicals, and wastes?	(a) (b)	(a) (b)
	(3) Wastes	(a) Are wastes (including hazardous wastes and other industrial wastes) generated from the project facilities properly treated and disposed of in accordance with the country's regulations? (b) Are adequate measures taken to prevent contamination of soil and groundwater by leachates from the waste storage/disposal sites?	(a) (b)	(a) (b)
	(4) Noise and Vibration	(a) Do noise and vibrations comply with the country's standards?	(a)	(a)
	(5) Subsidence	(a) In the case of extraction of a large volume of groundwater, is there a possibility that the extraction of groundwater will cause subsidence?	(a)	(a)
	(6) Odor	(a) Are there any odor sources? Are adequate odor control measures taken?	(a)	(a)

Environmental Checklist: 1. Mining Industry (2)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
3 Natural Environment	(1) Protected Areas	(a) Is the project site located in protected areas designated by the country's laws or international treaties and conventions? Is there a possibility that the project will affect the protected areas?	(a)	(a)
	(2) Ecosystem	(a) Does the project site encompass primeval forests, tropical rain forests, ecologically valuable habitats (e.g., coral reefs, mangroves, or tidal flats)? (b) Does the project site encompass the protected habitats of endangered species designated by the country's laws or international treaties and conventions? (c) If significant ecological impacts are anticipated, are adequate protection measures taken to reduce the impacts on the ecosystem? (d) Is there a possibility that the amount of water (e.g., surface water, groundwater) used by the project will adversely affect aquatic environments, such as rivers? Are adequate measures taken to reduce the impacts on aquatic environments, such as aquatic organisms?	(a) (b) (c) (d)	(a) (b) (c) (d)
	(3) Topography and Geology	(a) Is the stability of the existing topographic conditions adequately considered for alteration of topographic features, such as cut and fill operations? (b) Is there a possibility that soil runoffs will result from cut and fill areas, waste soil disposal sites, and borrow sites? Are adequate mitigation measures taken to prevent soil runoffs? (c) In the case of offshore projects, is there any possibility that the project will erode natural beaches?	(a) (b) (c)	(a) (b) (c)

Environmental Checklist: 1. Mining Industry (3)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
4 Social Environment	(1) Resettlement	<p>(a) Is involuntary resettlement caused by project implementation? If involuntary resettlement is caused, are efforts made to minimize the impacts caused by the resettlement?</p> <p>(b) Is adequate explanation on compensation and resettlement assistance given to affected people prior to resettlement?</p> <p>(c) Is the resettlement plan, including compensation with full replacement costs, restoration of livelihoods and living standards developed based on socioeconomic studies on resettlement?</p> <p>(d) Are the compensations going to be paid prior to the resettlement?</p> <p>(e) Are the compensation policies prepared in document?</p> <p>(f) Does the resettlement plan pay particular attention to vulnerable groups or people, including women, children, the elderly, people below the poverty line, ethnic minorities, and indigenous peoples?</p> <p>(g) Are agreements with the affected people obtained prior to resettlement?</p> <p>(h) Is the organizational framework established to properly implement resettlement? Are the capacity and budget secured to implement the plan?</p> <p>(i) Are any plans developed to monitor the impacts of resettlement?</p> <p>(j) Is the grievance redress mechanism established?</p>	<p>(a)</p> <p>(b)</p> <p>(c)</p> <p>(d)</p> <p>(e)</p> <p>(f)</p> <p>(g)</p> <p>(h)</p> <p>(i)</p> <p>(j)</p>	<p>(a)</p> <p>(b)</p> <p>(c)</p> <p>(d)</p> <p>(e)</p> <p>(f)</p> <p>(g)</p> <p>(h)</p> <p>(i)</p> <p>(j)</p>
	(2) Living and Livelihood	<p>(a) Is there a possibility that the project will adversely affect the living conditions of inhabitants? Are adequate measures considered to reduce the impacts, if necessary?</p> <p>(b) Is sufficient infrastructure (e.g., hospitals, schools, and roads) available for the project implementation? If the existing infrastructure is insufficient, are any plans developed to construct new infrastructure or improve the existing infrastructure?</p> <p>(c) Is there a possibility that large vehicles traffic for transportation of materials, such as raw materials and products will have impacts on traffic in the surrounding areas, impede the movement of inhabitants, and cause any risks to pedestrians?</p> <p>(d) Is there a possibility that diseases, including infectious diseases, such as HIV, will be brought due to the immigration of workers associated with the project? Are adequate considerations given to public health, if necessary?</p>	<p>(a)</p> <p>(b)</p> <p>(c)</p> <p>(d)</p>	<p>(a)</p> <p>(b)</p> <p>(c)</p> <p>(d)</p>
	(3) Heritage	<p>(a) Is there a possibility that the project will damage the local archeological, historical, cultural, and religious heritage sites? Are adequate measures considered to protect these sites in accordance with the country's laws?</p>	<p>(a)</p>	<p>(a)</p>
	(4) Landscape	<p>(a) Is there a possibility that the project will adversely affect the local landscape? Are necessary measures taken?</p>	<p>(a)</p>	<p>(a)</p>

Environmental Checklist: 1. Mining Industry (4)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
4 Social Environment	(5) Ethnic Minorities and Indigenous Peoples	(a) Are considerations given to reduce impacts on the culture and lifestyle of ethnic minorities and indigenous peoples? (b) Are all of the rights of ethnic minorities and indigenous peoples in relation to land and resources respected?	(a) (b)	(a) (b)
	(6) Working Conditions	(a) Is the project proponent not violating any laws and ordinances associated with the working conditions of the country which the project proponent should observe in the project? (b) Are tangible safety considerations in place for individuals involved in the project, such as the installation of safety equipment which prevents industrial accidents, and management of hazardous materials? (c) Are intangible measures being planned and implemented for individuals involved in the project, such as the establishment of a safety and health program, and safety training (including traffic safety and public sanitation) for workers etc.? (d) Are appropriate measures being taken to ensure that security guards involved in the project do not violate safety of other individuals involved, or local residents?	(a) (b) (c) (d)	(a) (b) (c) (d)
5 Others	(1) Impacts during Construction	(a) Are adequate measures considered to reduce impacts during construction (e.g., noise, vibrations, turbid water, dust, exhaust gases, and wastes)? (b) If construction activities adversely affect the natural environment (ecosystem), are adequate measures considered to reduce the impacts?	(a) (b)	(a) (b)
	(2) Accident Prevention Measures	(a) Does the project have any accident prevention equipments and scheme to store, emit and transport toxic and hazardous materials?	(a)	(a)
	(3) Monitoring	(a) Does the proponent develop and implement monitoring program for the environmental items that are considered to have potential impacts? (b) What are the items, methods and frequencies of the monitoring program? (c) Does the proponent establish an adequate monitoring framework (organization, personnel, equipment, and adequate budget to sustain the monitoring framework)? (d) Are any regulatory requirements pertaining to the monitoring report system identified, such as the format and frequency of reports from the proponent to the regulatory authorities?	(a) (b) (c) (d)	(a) (b) (c) (d)

Environmental Checklist: 1. Mining Industry (5)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
6 Note	Reference to Checklist of Other Sectors	(a) Where necessary, pertinent items described in the Road checklist and Railway checklist should also be checked. (b) Regarding Industrial Park Infrastructure, pertinent items described in the Road checklist and Other Infrastructure Development should be checked.	(a) (b)	(a) (b)
	Note on Using Environmental Checklist	(a) If necessary, the impacts to transboundary or global issues should be confirmed (e.g., the project includes factors that may cause problems, such as transboundary waste treatment, acid rain, destruction of the ozone layer, or global warming).	(a)	(a)

1) Regarding the term "Country's Standards" mentioned in the above table, in the event that environmental standards in the country where the project is located diverge significantly from international standards, appropriate environmental considerations are required to be made.

In cases where local environmental regulations are yet to be established in some areas, considerations should be made based on comparisons with appropriate standards of other countries (including Japan's experience

2) Environmental checklist provides general environmental items to be checked. It may be necessary to add or delete an item taking into account the characteristics of the project and the particular circumstances of the country and locality in which the project is located.