

Environmental Checklist: 17. Forestry (1)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
1 Permits and Explanation	(1) EIA and Environmental Permits	(a) Have EIA reports been already prepared in official process? (b) Have EIA reports been approved by authorities of the host country's government? (c) Have EIA reports been unconditionally approved? If conditions are imposed on the approval of EIA reports, are the conditions satisfied? (d) In addition to the above approvals, have other required environmental permits been obtained from the appropriate regulatory authorities of the host country's government?	(a) (b) (c) (d)	(a) (b) (c) (d)
	(2) Explanation to the Local Stakeholders	(a) Have contents of the project and the potential impacts been adequately explained to the Local stakeholders based on appropriate procedures, including information disclosure? Is understanding obtained from the Local stakeholders? (b) Have the comment from the stakeholders (such as local residents) been reflected to the project design?	(a) (b)	(a) (b)
	(3) Examination of Alternatives	(a) Have alternative plans of the project been examined with social and environmental considerations?	(a)	(a)
2 Pollution Control	(1) Air Quality	(a) Do air pollutants, such as dust, soot and dust, sulfur oxides (SOx), nitrogen oxides (NOx), and organic chemical substances emitted from various sources, such as logging operations, forest products manufacturing processes, and incinerators comply with the country's emission standards and ambient air quality standards? Are any mitigating measures taken?	(a)	(a)
	(2) Water Quality	(a) Is there a possibility that the use of chemicals, such as fertilizers, and agrochemicals will cause water pollution? (b) Where facilities, such as forest products manufacturing facilities are installed, do effluents from the facilities comply with the country's effluent standards and ambient water quality standards?	(a) (b)	(a) (b)
	(3) Wastes	(a) Are wastes properly treated and disposed of in accordance with the country's regulations?	(a)	(a)
	(4) Soil Contamination	(a) Are adequate measures taken to prevent contamination of soil and groundwater by use of chemicals, such as agrochemicals? (b) Are any agrochemicals management plans prepared? Are any usages or any implementation structures organized for proper use of the plans?	(a) (b)	(a) (b)

Environmental Checklist: 17. Forestry (2)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
3 Natural Environment	(1) Protected Areas	(a) Is the project site or discharge area located in protected areas designated by the country's laws or international treaties and conventions? Is there a possibility that the project will affect the protected areas?	(a)	(a)
	(2) Ecosystem	(a) Does the project site encompass primeval forests, tropical rain forests, ecologically valuable habitats (e.g., coral reefs, mangroves, or tidal flats)? (b) Does the project site encompass the protected habitats of endangered species designated by the country's laws or international treaties and conventions? (c) Is there a possibility that changes in localized micro-meteorological conditions, such as solar radiation, temperature, and humidity due to a large-scale timber harvesting will affect the surrounding vegetation? (d) Is there a possibility that a large-scale timber harvesting will result in loss of breeding and feeding grounds for wildlife? (e) In the case of reforestation projects, is there a possibility that mono-species plantations will adversely affect wildlife habitats? Is there a possibility that mono-species plantations will cause outbreaks of pests? (f) If significant ecological impacts are anticipated, are adequate protection measures taken to reduce the impacts on the ecosystem? (g) Isn't an illegal deforestation associated with the project being carried out, or is an acquisition of the forest certification by the project proponent being carried out?	(a) (b) (c) (d) (e) (f) (g)	(a) (b) (c) (d) (e) (f) (g)
	(3) Hydrology	(a) Is there a possibility that alteration of rainwater runoff and runoff characteristics due to a large-scale timber harvesting and access road construction will cause impacts on the hydrology of the surrounding areas? (b) Is there a possibility that decreased water retention capacity due to deforestation will affect the existing drainage patterns of the forest?	(a) (b)	(a) (b)
	(4) Topography and Geology	(a) Is there a possibility that loss of forest stability due to timber harvesting will cause slope failures or landslides?	(a)	(a)
	(5) Management of Abandoned Sites	(a) Are adequate restoration and revegetation plans considered for the harvested areas? In particular, are adequate measures taken to prevent soil runoff from the harvested areas? (b) Is a sustainable management system for the harvested areas established? (c) Are adequate financial provisions secured to manage the harvested areas?	(a) (b) (c)	(a) (b) (c)

Environmental Checklist: 17. Forestry (3)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
4 Social Environment	(1) Resettlement	(a) Is involuntary resettlement caused by project implementation? If involuntary resettlement is caused, are efforts made to minimize the impacts caused by the resettlement? (b) Is adequate explanation on compensation and resettlement assistance given to affected people prior to resettlement? (c) Is the resettlement plan, including compensation with full replacement costs, restoration of livelihoods and living standards developed based on socioeconomic studies on resettlement? (d) Is the compensations going to be paid prior to the resettlement? (e) Is the compensation policies prepared in document? (f) Does the resettlement plan pay particular attention to vulnerable groups or people, including women, children, the elderly, people below the poverty line, ethnic minorities, and indigenous peoples? (g) Are agreements with the affected people obtained prior to resettlement? (h) Is the organizational framework established to properly implement resettlement? Are the capacity and budget secured to implement the plan? (i) Are any plans developed to monitor the impacts of resettlement? (j) Is the grievance redress mechanism established?	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j)	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j)
	(2) Living and Livelihood	(a) Is there a possibility that the project will adversely affect the living conditions of inhabitants? Are adequate measures considered to reduce the impacts, if necessary? Is particular attention paid to the inhabitants whose livelihoods are based on primary industries, such as farming, raising livestock, or hunting and gathering in the forests? (b) Are adequate measures taken to prevent illegal entry into the forestry resource areas from the outside through newly constructed access roads? (c) Is there a possibility that the forest right of common is obstructed? (d) Are considerations given to life of residents before implementation of project?	(a) (b) (c) (d)	(a) (b) (c) (d)
	(3) Heritage	(a) Is there a possibility that the project will damage the local archeological, historical, cultural, and religious heritage? Are adequate measures considered to protect these sites in accordance with the country's laws?	(a)	(a)
	(4) Landscape	(a) Is there a possibility that the project will adversely affect the local landscape? Are necessary measures taken?	(a)	(a)

Environmental Checklist: 17. Forestry (4)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
4 Social Environment	(5) Ethnic Minorities and Indigenous Peoples	(a) Are considerations given to reduce impacts on the culture and lifestyle of ethnic minorities and indigenous peoples? (b) Are all of the rights of ethnic minorities and indigenous peoples in relation to land and resources respected?	(a) (b)	(a) (b)
	(6) Working Conditions	(a) Is the project proponent not violating any laws and ordinances associated with the working conditions of the country which the project proponent should observe in the project? (b) Are tangible safety considerations in place for individuals involved in the project, such as the installation of safety equipment which prevents industrial accidents, and management of hazardous materials? (c) Are intangible measures being planned and implemented for individuals involved in the project, such as the establishment of a safety and health program, and safety training (including traffic safety and public health) for workers etc.? (d) Are appropriate measures taken to ensure that security guards involved in the project not to violate safety of other individuals involved, or local residents?	(a) (b) (c) (d)	(a) (b) (c) (d)
5 Others	(1) Impacts during Construction	(a) Are adequate measures considered to reduce impacts during construction (e.g., noise, vibrations, turbid water, dust, exhaust gases, and wastes)? (b) If construction activities adversely affect the natural environment (ecosystem), are adequate measures considered to reduce impacts? (c) If construction activities adversely affect the social environment, are adequate measures considered to reduce impacts?	(a) (b) (c)	(a) (b) (c)
	(2) Monitoring	(a) Does the proponent develop and implement monitoring program for the environmental items that are considered to have potential impacts? (b) What are the items, methods and frequencies of the monitoring program? (c) Does the proponent establish an adequate monitoring framework (organization, personnel, equipment, and adequate budget to sustain the monitoring framework)? (d) Are any regulatory requirements pertaining to the monitoring report system identified, such as the format and frequency of reports from the proponent to the regulatory authorities?	(a) (b) (c) (d)	(a) (b) (c) (d)

Environmental Checklist: 17. Forestry (5)

Category	Environmental Item	Main Check Items	Yes: Y No: N	Confirmation of Environmental Considerations (Reasons, Mitigation Measures)
6 Note	Reference to Checklist of Other Sectors	(a) Where necessary, pertinent items described in the Agriculture checklist should also be checked.	(a)	(a)
	Note on Using Environmental Checklist	(a) If necessary, the impacts to transboundary or global issues should be confirmed (e.g., the project includes factors that may cause problems, such as transboundary waste treatment, acid rain, destruction of the ozone layer, or global warming).	(a)	(a)

1) Regarding the term "Country's Standards" mentioned in the above table, in the event that environmental standards in the country where the project is located diverge significantly from international standards, appropriate environmental considerations are required to be made.

In cases where local environmental regulations are yet to be established in some areas, considerations should be made based on comparisons with appropriate standards of other countries (including Japan's experience).

2) Environmental checklist provides general environmental items to be checked. It may be necessary to add or delete an item taking into account the characteristics of the project and the particular circumstances of the country and locality in which the project is located.