

# Compliance

## Compliance Policy

- (1) JICA shall improve transparency and fairness in its management of both operating and financial activities in order to secure trust from the people of Japan as an incorporated administrative agency.
- (2) JICA shall ensure its credibility in the global society by contributing to sound development of the international community through development assistance.
- (3) JICA shall satisfy the needs of developing countries and provide flexible and high quality services.
- (4) JICA shall respect natural and social environments in the performance of its operations.
- (5) JICA shall communicate widely with society to maintain a transparent organizational climate.

JICA bears a heavy social responsibility and is charged with a public mission as an incorporated administrative body. In order to discharge this social responsibility while responding to the expectations of the general public and the international community, as well as in light of changes in the environment surrounding JICA such as administrative and ODA reform, it is becoming increasingly important to ensure transparency and fairness of its operational management in accordance with laws, internal regulations and social norms. Accordingly, strengthening compliance is necessary to ensure that operations are thoroughly conducted along the lines mentioned above.

Based on this awareness, JICA has identified compliance as the highest priority management issue to be addressed by the organization, and is working to practice compliance based on the behavioral principles outlined in the above compliance policy.

Specifically, in addition to audits conducted by inspectors and accounting auditors based on the Act on General Rules for Incorporated Administrative Agencies, an Office of Audit, independent of other departments, oversees internal auditing directly under the control of the president in carrying out regular audits, thereby working to ensure that JICA operations are conducted in an appropriate and efficient manner.

Furthermore, an incident report system and internal report system have been established for the purpose of preventing and properly responding to violations of laws and internal regulations, as well as contributing to preventing the reoccurrence of violations. Also, in order to deliberate and consider various issues related to compliance, JICA has established a Compliance Committee chaired by the Senior Vice-President. Through these efforts JICA endeavors to position itself to abide by and put into action

its compliance policy and ensure fairness in its management operations.

JICA has also distributed a compliance manual to all of its officers and staff. This manual identifies, organizes, and systematizes those compliance policies, laws, rules, and social demands that must be observed in an easy-to-understand manner in addition to serving as a guide to behavior for staff. Moreover, in fiscal 2010, JICA created national compliance manuals for each country office compiling specific points that need to be taken note of, such as national laws and social customs.

Based on this platform and the compliance program established by the Compliance Committee, JICA carries out a variety of activities, including an annual awareness survey and training implemented for officers, in an effort to improve each individual staff member's compliance awareness.