

Compliance

Compliance Policy

- (1) JICA shall improve transparency and fairness in its management of both operating and financial activities in order to secure trust from the people of Japan as an incorporated administrative agency.
- (2) JICA shall ensure its credibility in the global society by contributing to sound development of the international community through development assistance.
- (3) JICA shall satisfy the needs of developing countries and provide flexible and high quality services.
- (4) JICA shall respect natural and social environments in the performance of its operations.
- (5) JICA shall communicate widely with society to maintain a transparent organizational climate.

JICA bears heavy social responsibilities and is charged with a public mission as an incorporated administrative agency. In order to discharge this social responsibility while responding to the expectations of the general public and the international community, as well as in light of changes in the environment surrounding JICA such as administrative and ODA reform, it is becoming increasingly important to ensure transparency and fairness of its operational management in accordance with laws, internal regulations and social norms. Accordingly, strengthening compliance is necessary to ensure that operations are thoroughly conducted along the lines mentioned above.

Based on this awareness, JICA has identified compliance as the highest priority management issue to be addressed by the organization, and is working to practice compliance based on the behavioral principles that are prescribed in the above compliance policy.

Specifically, in addition to audits conducted by inspectors and accounting auditors based on the Act on General Rules for Incorporated Administrative Agencies, an Office of Audit, independent of other departments, oversees internal auditing directly under the control of the President in carrying out regular audits, thereby working to ensure that JICA operations are conducted in an appropriate and efficient manner.

Furthermore, an accident report system and whistleblower report system have been established for the purpose of preventing violations of laws and internal regulations and properly responding as the entire organization to violations, as well as contributing to preventing the reoccurrence of violations. Also, in order to deliberate and consider various issues related to compliance, the Compliance Committee, which is chaired by the Senior Vice-President, is held regularly. Through these

efforts JICA endeavors to position itself to abide by and put into action its compliance policy and ensure fairness in its management operations.

JICA has also distributed a compliance manual to all of its officers and staff. This manual identifies, organizes, and systematizes those compliance policies, laws, rules, and social demands that must be observed in an easy-to-understand manner in addition to serving as a guide to behavior for staff.

Based on these platforms and compliance programs determined by the Compliance Committee, JICA conducts activities in each fiscal year for the purpose of firmly establishing an organizational framework for compliance at JICA.

In fiscal 2011, significant risks in the entire organization were identified and assessed. In addition, all departments at the head office and branch offices in locations throughout Japan include items for improving compliance in their annual plans and take actions that reflect compliance issues at each location. Furthermore, all overseas offices prepare country-specific compliance manuals that cover laws, regulations, social customers and other items that must be observed. These manuals are used as the basis for compliance training at overseas offices for all staff, including local staff members.

Furthermore, responding any new issues involving compliance, through a variety of activities such as training programs based on the duties and roles of all executives and officers, JICA improves each individual staff member's compliance awareness.