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## To realize the unknowns about COVID-19 vaccines

 Infection mechanisms of SARS-CoV-2
 New nucleotide-based vaccines: characteristics
 Efficacy and adverse events

> JICA Web seminar 2021.8.04 Kitasato University Tetsuo Nakayama



## **Self introduction**

Tetsuo Nakayama MD (Birthday: Nov. 7, 1950) Project Professor, Laboratory of Viral Infection I, Kitasato Institute for Life Sciences (Profile) I graduated from School of Medicine, Keio University in 1976. Thereafter took the clinical pediatric training course in Department of Pediatrics, Keio University for two years. I worked as a general pediatrician in Saiseikai-Central Hospital, Tokyo, until 1992. I moved to Department of Virology, Kitasato Institute for studying virology and vaccinology in 1992. I worked as Director of Kitasato Institute for Life Science from 2009 to 2015 and retired in 2015. Now, I am working as Project Professor. My primary scientific interests are pediatric infectious diseases and vaccine immunology, and recently works on RSV, measles, mumps, and SARS-CoV-2 viruses.



https://www.mhlw.go.jp/stf/covid-19/kokunainohasseijoukyou.html



## SARS-CoV-2 ?

SARS-CoV-



Corona viruses were detected in various animals. BC 8000 : origin of CoV BC 3000 : α, β, γ, δ CoV 1964: identification of human CoV as pathogen of common cold Feline infectious peritonitis First place of cause of death for

First place of cause of death for kitten (ADE) Transmissible gastroenteritis of swine diarrhea

Spike antigens look like a crown or sun corona.





Vaccine induces IgG antibodies in blood stream not in respiratory tracts. Recently, it was reported that IgA antibodies were detected in respiratory tracts after vaccination with mRNA vaccine.



## **Platform for vaccine development**

#### **\* Live vaccines**

- 1) Conventional vaccine; <u>measles, rubella, mumps vaccines</u> propagated through animal cells, establishing *ts* phenotype
- 2) Usage of animal pathogens other than human pathogen; BCG, Rota virus
- 3) Viral vectored vaccine
  - **3-1) non-replicating vector** (single round infectious vector) Sendai virus, adenovirus, Lentivirus
  - 3-2) replicating viral vector conventional viral vector: vaccinia virus (MVA, LC16m8), measles vaccine, parainfluenza virus

**\*Inactivated vaccines:** with or without adjuvant

- 1) whole virus inactivated vaccine: JEV, Hepatitis A
- 2) subunits: influenza
- 3) VLP, purified protein: <u>Hepatitis B, HPV</u>
- 4) new platform: <u>DNA, mRNA vaccine (Lipid nano-particle)</u>



Trovato M, et al. Frontiers Immunol 11: article 2130, 2020

## **Characteristics of vaccine platforms**



	Live attenuated	Whole virus Inactivated	Purified protein	Genome- based
Merits	Long h	al usage		
	Cell-mediated immunity High antibody			Cell-mediated immunity High antibody
	Long duration of immunity	High antibody	High antibody response with adjuvants	Rapid development and production
Demerits	Adverse events	Short duration of immunity	Short duration of immunity	No experience of large-scale clinical usage
	Long time to develop	Multiple dosages	Multiple dosages	

## **Characteristics of gene-based vaccines**



	mRNA	Virus vector	DNA
Characteristics	Rapid development Disappear within several days. Not enter into nucleus	Rapid development Once vector system is established. Large scale production	Rapid development Large scale production
Demerits	Fragile Thermostability Lower production scale	Integration into genome (lentivirus). Pre-exist antibody reduces immune response	Device to deliver DNA into cell nucleus
	Pfizer	AstraZeneca	Inovio
	Moderna	ChanSino	
		Janssen	
		Gamaleya	
Domestic COVID-19 Vaccines in Japan	Daiichi-Sankyo		Anges

Under investigation

KM Biologics (Whole virion inactivated), Shionogi (Purified protein)

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#### Viral genome RNA virus: has ribonucleic acid (A, C, G, U) DNA virus: has deoxy ribonucleic acid (A, C, G, T)



-む- 水素結合

P: リン酸、dR: デオキシリボース、A,T,G,C: 核酸塩基

図2 DNAの二重らせん構造 の相補的結合-A(アデニン)とT(チミン)、C(シトシン)とG(グアニン)間でのみ起こり、

基配列が決まれば、相手の塩基配列が決まる。

▼ 二重らせん構造

Hydrogen-bond: A-T, G-C nucleic acid bonds Phosphate bond: elongation of nucleic acids

Triplet of A, C, G, T determines 20 essential amino acids and protein is synthesized along with the extension of amino acids. ATG: start codon (initiation signal) TAG, TAA: stop codon (termination signal)

DNA

点線…水素結合

#### Messenger RNA (mRNA) Protein synthesis

**Modified from Textbook of Biocgemistry** 

## **Schematic image of COVID-19 vaccines**





#### Immune response by new vaccines





## What is the difference among three vaccines?





## **Pathogenesis of serious pneumonia**





## **Antibody dependent enhancement (ADE)**



ADE : Binding antibodies without neutralizing activity binds with virus particle, internalizes into cells, and enhances virus growth, inducing inflammatory cytokines



## **Detection of antibodies:**

## EIA antibody: binding antibody against SARS-Co-V2

(Only binding, not reflecting protective neutralizing activity)

### S, RBD antibodies: post infection and vaccination. N antibody: post infection

#### Neutralizing antibody: protective antibody,

### but protective level is not known.

## **Detection of antigen:**

becomes positive when >10 <sup>3-4</sup> virus (infectious level). Negative does not mean free of virus particles.

## PCR:

becomes positive when 10-100 copies are present, even dead virus debris.









## **Unknowns of COVID-19 vaccine**

KITA SATO UN IV.

- 1. Persistence of immunity
- 2. Vaccine prevents serious diseases, not infection.
- 3. Application for pregnant women and school-aged children
- 4. Mutated strains

Are vaccines effective?

- 5. How did the clinical trial perform?
- 6. Vaccine adverse events

Anaphylaxis, Thrombosis, Cardiomyopathy, ADE, Death

#### 7. Groundless rumors

mRNA integrates into human genome.

Unknown serious diseases will develop several years later. mRNA moves to gonadal tissues, causing infertility.

COVID-19 is not serious among young adults, and no serious complication even if infected.

Vaccines increase the number of death.



## **COVID-19** is serious in pregnant women, but

#### no influence on fetus.

- Among 58 cases of COVID-19 in pregnant women, 40 were infected before the 2nd trimester.
  - **3 (7.5%) had oxygen therapy.**
- **Remaining 18 were infected during the 3<sup>rd</sup> trimester.** 
  - 7 (38.9%) had oxygen therapy,
- and 1 assisted with respirator passed away.
- There was a tendency of increased number of
  - premature birth but no influence on fetus.

## Immunization for pregnant women



Immunization is not recommended because of the paucity of clinical data. Medical Association of **Obstetrics and Gynecology announces.** <sup>7</sup>Pregnant women are not excluded from vaccination. When immunized, please explain the long-term safety issue because of paucity of evidence and obtain the consent. And refrain from immunization until 12 weeks of pregnancy. Please check fetal heartbeat. In 827 immunization in the US, no increase in the incidence of abortion, low birth weight, congenital malformation, and fetus death was noted.

## Immunological feature during pregnancy

- Protect pregnant women themselves and fetus from infectious diseases.
- During pregnancy, decreased level of cell-mediated immunity and neutrophil functions during the 1st trimester. No influence on the antibody response.
- Rare case of serious adverse events are observed, but not increasing compared with healthy subjects.
- Live vaccines are prohibited but not inactivated vaccines.



## Number of COVID-19 infection and death



Number of infection





Most of the infection is 20-40 60-70 Y years of age. There are few 50-60 Y cases of serious diseases, but proportionally, the number of 40-50 Y serious cases increases. 30-40 Y **Several cases with sensory loss** of smell and taste, prolonged cough, respiratory distress, 20-30 Y fatigue, and alopecia are noted as late complication. 10-20 Y To cope with immunization <10 Y for children 27



## Number of COVID-19 infection and death in different age groups



Age	Population	COVID	Infection	COVID	Death	Death after
	(thousands)	Infection	/million	Death	/million	vaccination/million
<10	9859	26904	2729	0	-	
<mark>10-19</mark>	11171	59816	5355	0	-	
20-29	12628	176122	<b>13947</b>	8	0.63	
30-39	14303	118071	8255	27	1.68	Overall incidence is
40-49	18520	115534	6238	106	5.72	17.3/million
50-59	16278	103576	6363	301	18.80	27.07
60-69	16227	66730	4112	939	57.87	
70-79	15927	58365	3665	2976	186.85	
>80	11249	57535	5115	8094	719.45	

Infection in Vaccine recipients 5,186/157 million 33/million

## Clinical trial of Pfizer mRNA vaccine in 12-15 years, compared with 16-25 years



Pfizer	1st	dose	2nd dose		
	12~15Y	16∼25Y	12~15Y	16~25Y	
	(n=1131)	(n=537)	(n=1131)	(n=537)	
Local pain	86%	83%	79%	78%	
	(cont:23%)	(cont: 16%)	(cont: 18%)	(cont: 12%)	
Fever	10%	7%	20%	17%	
	(cont: 1%)	(cont: 1%)	(cont: 1%)	(cont: 0%)	
Fatigue	60%	60%	66%	66%	
	(cont: 41%)	(cont: 39%)	(cont: 25%)	(cont:23%)	
Headache	55%	54%	65%	61%	
	(cont: 35%)	(cont: 37%)	(cont: 24%)	(cont:24%)	
Muscle pain	24%	27%	32%	41%	
	(cont: 13%)	(cont: 14%)	(cont: 8%)	(cont:10%)	

Vaccine seems to be safe and effective. But, pediatric generation is less infectious with very mild illness. We have limited data on immunization in young teenagers and have more cautious attitude.

#### Phase III clinical trial design of Pfizer mRNA vaccine?





Infection rate in vaccine group: 8/17,411=0.00046

Infection rate in control group : 162/17,511=0.00925

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Risk ratio = 0.00925/0.00046=20.11
Efficacy:1-1/risk ratio=1-1/20.11=1-0.0497=0.95(95%)
```

Among 162 COVID-19 patients who did not have immunization, 95% of them (154 patients) would escape from infection if they were immunized.

# How does vaccine induce specific immune response?

## Immune response: host defense mechanisms against pathogens

Humoral immunity Antibody Cellular immunity Cytotoxic T cells, helper T cells

- > Anti-toxin
- Antibodies against pathogen components
- Protection from infection

- Damage infected cells
- Helper function to produce antibodies
- Prevention of further expansion of infection

Vaccine : prevent infection, onset of illness, and serious illness through host immune responses

## Innate immunity recognizes molecular pattern

PAMPs: pathogen-associated molecular pattern DAMPs: damage-associated molecular pattern

TLRs, RIG-I, C-lectin

Inflammasome

Induce cytokines and chemokines





Nakayama T, Vaccine 34: 5815–5818, 2016.

## Vaccine effect (main reaction)



Vaccine induces acquired immune responses against pathogen to prevent infection, onset of illness, and serious illness.

## Vaccine adverse reaction

Undesirable events following vaccination, being scientifically related to the vaccination.

## Vaccine adverse events

Undesirable events following vaccination, their causal relation to the vaccination is scientifically uncertain. They are reported as questionable adverse reaction

## Safety profile on common adverse reactions in clinical trial in Japan

Pfizer	1x %	<b>2x</b> %	Moderna	1x %	<b>2x</b> %
Local	86.6%	79.3%	Local	82.7%	85.0%
pain	(2.4%)	(-)	pain	(8.0%)	(2.0%)
Eatique	40.3%	60.3%	Fatique	18.7%	63.3%
ratiyue	(9.8%)	(2.4%)	i aligue	(10.0%)	(8.0%)
Muscle	14.3%	16.4%	Muscle	37.3%	49.7%
pain	(2.4%)	(-)	pain	(4.0%)	(10.0%)
	32.8%	44.0%		13.3%	47.6%
Headache	(14.6%)	(12.2%)	Headache	(-)	(10.0%)
Chill	25.2%	45.7%	Chill	5.3%	50.3%
Ciiii	(4.9%)	(2.4%)	Ciiii	(2.0%)	(-)
loint nain	14.3%	25.0%	loint nain	8.0%	32.0%
Joint pain	(4.9%)	(-)	Joint pain	(-)	(-)
Fever	14.3%	32.8%	Fever	2%	40.1%
>37.5°C	(-)	(-)	>38°C	(2%)	(-)

Vaccine group 120 subjects Control group 40 subjects Vaccine group 150 (147) subjects Control group 50 subjects

#### Diagnosis of anaphylaxis should be based on Brighton Criterion



Anaphylaxis:

1) abrupt onset,

2) rapid progress of symptoms,

3) including two or more symptoms related to multi-organs

hives, cardio-vascular illness, wheezing, difficulty in breath, etc.

**Differential diagnosis** 

Immunization stress-related responses: ISRR

Acute reaction:

activation of sympathetic nerve

tachycardia, palpitation, shortness of breath, hyper ventilation different sensation of heat and cold, sweating

activation of parasympathetic nerve Vagal reflex

bradycardia, hypotension, vertigo, dimmed vision,

Delayed reaction: fatigue, and different sensation

PEG is suspected as causative agent Pdizer and Modera: PEG 2000 (MW:2000) AstraZeneca: Polysorbate 80 (emulsifiers)

#### **Incidence of anaphylaxis reported in VEARS**



	Pfizer n=50	Moderna n=21
Median age (range)	38.5 (26-63)	39 (24- 63)
Number of female	47 (94%)	21 (100%)
Onset of symptom	10 (<1 - 120 )	10 (<1 -45)
within 15 min	37 (74%)	18 (86%)
within 30 min	45 (90%)	19 (90%)
Past history of allergy	40 (80%)	18 (86%)
Past history of anaphylaxis	12 (24%)	5 (24%)
Shot (1x, 2x, unknown)	(42、3、5)	(19、1、1)
	9,943,247 Dos 5.0/million	ses 7,581,429 2.8/million

COVID-19 vaccine safety update J Allergy Clin Immunol Pract 17; \$2213-2198, 2020

#### **Reported case 289/ 39,218,786 doses**

**Incidence of anaphylaxis in Japan 7/million** 

#### **Cardiomyopathy is discussed on mRNA vaccines**



	Pfize	r	Moderna		
	Reported case /dose	/million	Reported case /dose	/million	
Japan	20/39,218,786	0.5/million	1/959,165	1.0/million	
USA *	1,226/300 million	4.1/million	1,226/300 million	4.1/million	
England	Carditis 60Pericarditis 42 /29 million	Carditis2.1/ Pericarditis 1.5/	Carditis 5、 pericarditis 2/ 0.88 million	Carditis 5.7/ Pericarditis 2.3/	
EU	Carditis 122, Pericarditis 126/ 160 million	Carditis 0.8/ Pericarditis 0.8/	Carditis 16、 Pericarditis 18/ 19 million	Carditis 0.8/ pericarditis 0.9/	

\*In USA, cases were reported without discrimination.

Cardiomyopathy was reported higher in male at the second shot.

#### **Thrombosis is discussed on AstraZeneca vaccine**





Thrombosis was reported after immunization with AstraZeneca vaccine with 6.5 cases/million doses. Otherwise, 35 cases with thrombosis was reported following in 54 million subjects immunized with Pfizer vaccine.

#### Vaccine adverse events (death after vaccination)



**Pfizer vaccine** (2021.2.27 – 6.27) 1st dose: 26,238,793, 2nd dose: 12,979,993 Suspected adverse reaction: 15,991 cases serious reactions: 2,262 cases Death: 453 (>65 years 420 cases, <65 years 31 cases, unknown 2 cases)

Pfizer	Death case/doses	Incidence/million	
Japan	453/39,218,786	17.3/million	Ministry
USA	510/28,374,410	18.0/million	CDC
England	439/29,000,000	15.1/million	MHRA
Moderna			
Japan	1		Ministry
USA	456/26,738,383	17.0/million	CDC
England	5/880,000	5.7/million	MHRA

Ministry of Health, Labour and Welfare, CDC, and MHRA

For reference PPSV23 Influenza vaccine 0.2 cases/million

3.2 cases/million

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>80	11249	57535	5115	8094	719.45	

Infection in Vaccine recipients (breakthrough infection) 5,186/157 million 33/million

Vaccine efficacy; 96.2% (- 2 M) decreased 6% per 2 months 90.1% (2 months after 2<sup>nd</sup> dose) 83.7 % (4-6 month) Among 42,000 participants

## **Mutated strains emerged**



## **Increasing number of mutated strains**



So called	No. of countries	Strain Name	Mutations
England type	172	a	<mark>N501Y</mark> , A570D, D614G, P681H
South Africa type	120	β	K417N, E484K, N501Y, D614G
Brazil type	72	Y	K417N/T, E484K, N501Y, D614G
India type	29	δ	<mark>L452R</mark> , E484Q, D614G, P681R,

#### N501Y: Increased infectivity E484K/Q :Escaped from neutralizing antibody L452R: Escaped from CTL activity

CDC : SARS-CoV-2 Variants. Updated Jan.31. 21021 Risk related to the spread of new SARS-CoV-2 Variants of concern in the EU/EEA-first update. Jan. 21, 2021, ECDC

## **Different antigenicity of mutated strains**



	Wuhan	EU	a	β	Y	California
TN 2X1W	32	32	16	4	4	ND
TN 2X 1M	4	4	< 4	< 4	4	< 4
1 2X 1M	32	32	16	8	16	16
2 2X 1M	64	128	32	64	64	32
3 2X 1M	16	64	32	32	64	64
4 2X 1M	32	32	16	8	16	16
5 2X 1M	32	32	16	8	16	4
6 2X 1M	128	128	64	64	64	128
7 2X 1M	64	64	32	32	64	64
8 2X 1M	64	128	128	32	64	64
9 2X 1M	128	256	64	64	64	64
10 2X 1M	64	32	32	32	64	64
11 2X 1M	64	32	32	32	64	32
Natural ainfect 1X 1M	≥512	≥512	≥512	≥512	≥512	≥512

They had no adverse reactions after vaccination.

Low titer NT antibody against Wuhan is less cross reactivity against mutated strains.

## **Cell-mediated Immunity**





As for TN, NT antibody1400decreased to 4 31200months after the 2nd1000dose, but positive for800IFN-γ, IL-2.600Poor response of Th2400cytokines compared200with Case 9.0



## Cellular immune response stimulated with spike protein

	IFN-γ	IL-2	IL-4	IL-5	IL-10	TNF-α
No.6. 2X 3M	8.78	10.16	<	1.87	2.77	136.54
No.8. 2X 3M	4.88	4.38	<	1.38	<	86.88
No.7.2X 3M	7.44	8.55	<	2.36	1.49	83.79
No.1.2X 3M	2.33	3.79	<	<	<	50.54
No.9. 2X 1M	2.65	453.98	11.04	49.42	154.14	4054.32
No.9. 2X 3M	5.68	144.78	4.94	15.7	83.58	1123.24
TN 2X 1M	4.37	108.22	1.01	18.86	55.1	437.9
TN 2X 3M	<	<	<	0.34	4.51	25.77

## In Jenner'time, why did mothers take their kids to smallpox vaccination?

## Science is to properly understand.

ジェンナーの種痘所

#### COVID-19

Morbidity rate: 0.8%, Mortality rate: 1.8% difficulty in breath, fatigue, disorder sense of smell and taste as complications
 Vaccine adverse events are extremely rare.

Vaccination effects >90%

## Kanji (Japanese letter) of vaccine is White God (白神).

サータリさんより