

## APPLICATION FORM FOR JAPAN'S DEVELOPMENT STUDY PROGRAM

Date of entry: month \_\_\_\_\_ year \_\_\_\_\_

Applicant: the Government of \_\_\_\_\_

### 1. Project digest

(1) Project Title: \_\_\_\_\_

\*Enter the project title in English (Spanish or French).

(2) Location (province/county name): \_\_\_\_\_

(city/town/village name): \_\_\_\_\_

from the metropolis : about \_\_\_\_\_ hours' ride/flight

### (3) Implementing Agency

Name of the Agency: \_\_\_\_\_

\*Enter the name of the implementing agency including such details as the name of the bureau or department.

Number of Staff of the Agency: \_\_\_\_\_

(on a category basis)

Budget allocated to the Agency : \_\_\_\_\_

\*Attach an organizational chart, and mark the department responsible for the study.

### (4) Justification of the Project

\*Provide detailed information of the project regarding the items below.

-Present conditions of the sector:

-Sectoral development policy of the national/local government:

-Problems to be solved in the sector:

-Outline of the Project:

-Purpose (short-term objective) of the Project:

-Goal (long-term objective) of the Project:

-Prospective beneficiaries:

(Population for which positive change are intended directly and indirectly by implementing the project, and gender disaggregated data, if available)

-the Project's priority in the National Development Plan / Public Investment Program:

(5) Desirable or Scheduled time of the commencement of the Project:

month \_\_\_\_\_ year \_\_\_\_\_

(6) Expected funding source and/or assistance (including external origin) for the Project:

\*Describe the concrete policies for the realization of the project, and enter the prospects for realization and funding sources.

(7) Other relevant Projects, if any.

(8) Any relevant information of the project from gender perspective.

## 2. Terms of Reference of the proposed Study

\*Please fill in (1) and (2) below, paying particular attention to the following items.

—In the case that a study was conducted in the same field in the past, describe the grounds for requesting this study, the present status of the previous project, and the situation regarding the technology transfer.

—Whether there are existing studies regarding this requested study or not.

—Coordination with other economic and technical cooperation from Japan

(1) Necessity/Justification of the Study:

(2) Necessity/Justification of the Japanese Technical Cooperation:

(3) Objectives of the Study:

\*Describe the objectives of the study in detail. Also, indicate who will benefit from the study in as much detail as possible, including gender disaggregated data and describe the beneficial effect in terms of quantity. Enter in a concise manner the goal expected to be achieved in the future by conducting the study.

\*When the requested study is the only input scheme there is in the cooperation program, enter the same sentences given in the "Objective of the Cooperation Program" in the summary sheet. When more than one scheme is requested including this one, describe clearly the role of the requested study.

(4) Area to be covered by the Study:

\*Enter the name of the target area for the study and attach a rough map to the documents submitted. The attached map should be at a scale that clearly shows the project site. Mark the site in red.

(5) Scope of the Study:

\*Enter in a concise manner using an itemized statement.

(6) Study Schedule:

\*Enter the time/period of the study.

(7) Expected Major Outputs of the Study:

(8) Possibility to be implemented / Expected funding resources:

(9) Environmental and Social Considerations

\*Please fill in the attached screening format.

(10) Request of the Study to other donor agencies, if any:

\*Please pay particular attention to the following items:

- Whether you have requested the same study to other donors or not.
- Whether any other donor has already started a similar study in the target area or not.
- Presence/absence of cooperation results or plans by third-countries or international agencies for similar projects.
- In the case that a study was conducted in the same field in the past, describe the grounds for requesting this study, the present status of the previous project, and the situation regarding the technology transfer.
- Whether there are existing studies regarding this requested study or not. (Enter the time/period, content and concerned agencies of the existing studies.)

(11) Other relevant information

\*Enter relevant information other than that described above, if any.

### 3. Facilities and information for the Study

(1) Assignment of counterpart personnel of the implementing agency for the Study:  
(number, academic background, etc.)

- (2) Available data, information, documents, maps, etc. related to the Study:  
(Please attach the list.)

- (3) Information on the security conditions in the Study Area:

#### 4. Global Issues (Gender, Poverty, etc.)

- (1) Women as main beneficiaries or not.
- (2) Project components which require special considerations for women (such as gender difference, women specific role, women's participation), if any.
- (3) Anticipated impacts on women caused by the Project, if any.
- (4) Poverty alleviation components of the Project, if any.
- (5) Any constraints against the low-income people caused by the Project.

#### 5. Undertaking of (the recipient country)

- (1) To facilitate the smooth conduct of the Study; the Government of (the recipient country) shall take necessary measures:
- 1) To permit the members of the Team to enter, leave and sojourn in (the recipient country) for the duration of their assignments therein and exempt them from foreign registration requirements and consular fees;
  - 2) To exempt the members of the Team from taxes, duties and any other charges on equipment, machinery and other material brought into (the recipient country ) for the implementation of the Study;
  - 3) To exempt the members of the Team from income tax and charges of any kind imposed on or in connection with any emoluments or allowances paid to the members of the

team for their services in connection with the implementation of the Study;

- 4) To provide necessary facilities to the Team for the remittance as well as utilization of the funds introduced into (the recipient country) from Japan in connection with the implementation of the Study;
- (2) The Government of (the recipient country) shall bear claims, if any arises, against the members of the Team resulting from, occurring in the course of, or otherwise connected with, the discharge of their duties in the implementation of the Study, except when such claims arise from gross negligence or willful misconduct on the part of the team.
- (3) (The implementing Agency) shall act as counterpart agency to the Japanese Study Team and also as coordinating body in relation with other governmental and non-governmental organizations concerned for the smooth implementation of the Study.
- (4) (The implementing agency) shall, at its own expense, provide the Team with the following, in cooperation with other organizations concerned:
  - 1) Security-related information on as well as measures to ensure the safety of the Team;
  - 2) Information on as well as support in obtaining medical service;
  - 3) Available data and information related to the Study;
  - 4) Counterpart personnel;
  - 5) Suitable office space with necessary office equipment and furniture;
  - 6) Credentials or identification cards; and
  - 7) Vehicles with drivers.
- (5) (The implementing Agency) will, as the executing agency of the project, take responsibilities that may arise from the products of the Study.

\*In the case that Detail Design Study is requested.

The Government of (the recipient country) assures that the matters referred to in this form will be ensured for the smooth conduct of the Development Study by the Japanese Study Team.

Signed: \_\_\_\_\_

Title: \_\_\_\_\_

On behalf of the Government of \_\_\_\_\_

Date: \_\_\_\_\_

## Screening Format

### Question 1 Outline of the project

1-1 Does the project come under following sectors?

☐ Yes      ☐ No

If yes, please mark corresponding items.

- ☐ Mining development
- ☐ Industrial development
- ☐ Thermal power (including geothermal power)
- ☐ Hydropower, dams and reservoirs
- ☐ River/erosion control
- ☐ Power transmission and distribution lines
- ☐ Roads, railways and bridges
- ☐ Airports
- ☐ Ports and harbors
- ☐ Water supply, sewage and waste treatment
- ☐ Waste management and disposal
- ☐ Agriculture involving large-scale land-clearing or irrigation
- ☐ Forestry
- ☐ Fishery
- ☐ Tourism

1-2 Does the project include the following items?

☐ Yes      ☐ No

If yes, please mark following items.

- ☐ Involuntary resettlement      (scale:      households      persons)
- ☐ Groundwater pumping      (scale:      m<sup>3</sup>/year)
- ☐ Land reclamation, land development and land-clearing (scale:      hectars)
- ☐ Logging      (scale:      hectars)

1-3 Did the proponent consider alternatives before request?

☐ Yes: Please describe outline of the alternatives

(      )

☐ No

1-4 Did the proponent have meetings with the related stakeholders before request?

☐Yes ☐No

If yes, please mark the corresponding stakeholders.

☐Administrative body

☐Local residents

☐NGO

☐Others ( )

#### Question 2

Is the project a new one or an on-going one? In the case of an on-going one, have you received strong complaints etc. from local residents?

☐New ☐On-going(there are complaints) ☐On-going (there are no complaints)

☐Others ( )

#### Question 3 Name of the law or guidelines:

Is Environmental Impact Assessment (EIA) including Initial Environmental Examination (IEE) required for the project according to a law or guidelines in the host country?

☐Yes ☐No

If yes, please mark the corresponding items.

☐Required only IEE (☐Implemented, ☐on going, ☐planning)

☐Required both IEE and EIA (☐Implemented, ☐on going, ☐planning)

☐Required only EIA (☐Implemented, ☐on going, ☐planning)

☐Others: ( )

#### Question 4

In case of that EIA was taken steps, was EIA approved by relevant laws in the host country?

If yes, please mark date of approval and the competent authority.

<input type="checkbox"/> Approved: without a supplementary condition	<input type="checkbox"/> Approved: with a supplementary condition	<input type="checkbox"/> Under appraisal
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(Date of approval: Competent authority: )

☐Not yet started an appraisal process

☐Others:( )

#### Question 5

If a certificate regarding the environment and society other than EIA is required, please indicate the title of certificate.

☐Already certified ☐Required a certificate but not yet done

Title of the certificate :( )

☐Not required

☐Others ( )

#### Question 6

Are following areas located inside or around the project site?

☐Yes ☐No ☐Not identified

If yes, please mark corresponding items.

- ☐National parks, protected areas designated by the government (coast line, wetlands, reserved area for ethnic or indigenous people, cultural heritage) and areas being considered for national parks or protected areas
- ☐Virgin forests, tropical forests
- ☐Ecological important habitat areas (coral reef, mangrove wetland, tidal flats)
- ☐Habitat of valuable species protected by domestic laws or international treaties
- ☐Likely salt cumulus or soil erosion areas on a massive scale
- ☐Remarkable desertification trend areas
- ☐Archaeological, historical or cultural valuable areas
- ☐Living areas of ethnic, indigenous people or nomads who have a traditional lifestyle, or special socially valuable area

#### Question 7

Does the project have adverse impacts on the environment and local communities?

☐Yes ☐No ☐Not identified

Reason: ( )

#### Question 8

Please mark related environmental and social impacts, and describe their outlines.

☐Air pollution

☐Water pollution

- |   |   |
|---|---|
| <input type="checkbox"/> Soil pollution                                       | <input type="checkbox"/> Social institutions such as social infrastructure and local decision-making institutions |
| <input type="checkbox"/> Waste  | <input type="checkbox"/> Existing social infrastructures and services   |
| <input type="checkbox"/> Noise and vibration                                  | <input type="checkbox"/> The poor, indigenous or ethnic people  |
| <input type="checkbox"/> Ground subsidence                                    | <input type="checkbox"/> Maldistribution of benefit and damage  |
| <input type="checkbox"/> Offensive odors                                      | <input type="checkbox"/> Local conflict of interests  |
| <input type="checkbox"/> Geographical features                                | <input type="checkbox"/> Gender   |
| <input type="checkbox"/> Bottom sediment                                      | <input type="checkbox"/> Children's rights  |
| <input type="checkbox"/> Biota and ecosystem                                  | <input type="checkbox"/> Cultural heritage  |
| <input type="checkbox"/> Water usage  | <input type="checkbox"/> Infectious diseases such as HIV/AIDS etc.  |
| <input type="checkbox"/> Accidents  | <input type="checkbox"/> Others ( )   |
| <input type="checkbox"/> Global warming                                       |   |
| <input type="checkbox"/> Involuntary resettlement                             |   |
| <input type="checkbox"/> Local economy such as employment and livelihood etc. |   |
| <input type="checkbox"/> Land use and utilization of local resources          |   |

Outline of related impacts:

Question 9

Information disclosure and meetings with stakeholders

9-1 If the environmental and social considerations are required, does the proponent agree on information disclosure and meetings with stakeholders in accordance with JICA Guidelines for Environmental and Social Considerations?

☐ Yes

☐ No

9-2 If no, please describe reasons below.

[ ]