

Manual for PIP Project Management

Section VI Formats for PIP Project Management

Section VI provides sheets and formats that are utilized in PIP project management. The sheets and formats can be divided in 2 objectives; firstly application of PIP projects and its budget, and secondly assessment and evaluation of the PIP projects.

1. Project Proposal / Progress Report / Completion Report Formats

Application of PIP projects and its budget are done by the Project Owner. Details of application are indicated in **Section III**. The application form varies depending on the project type and its current status. There are 15 formats in total;

[PIP Format Numbers for Application]

Project Type	New Projects	Ongoing Projects		Project Completion
		Implementation	Payment Only	
Report Type	Project Proposal	Progress Report	Payment Report	Completion Report
Technical Promotion	I-1	II-1	II-7	III-1
F/S and Design	I-2	II-2		III-2
Construction	I-3	II-3		III-3
F/S & Construction	I-4	-		
Revival	I-5	-	-	-
Kum-ban Devt.	I-6	II-6	II-7	III-6

2. Project Assessment and Evaluation Formats

Absolute assessment through Simplified Project Assessment Sheet (SPAS) formats, and evaluation through Simplified Project Evaluation Sheet (SPES) formats are conducted by MPI/DPI and sector planning departments. Details of assessment and evaluation are indicated in **Section IV**. The sheet varies depending on the project type and its status, and it synchronizes to the abovementioned application forms. There are 15 forms in total.

[SPAS & SPES Format Numbers for Assessment and Evaluation]

[SPAS & SPES Format Numbers for Assessment and Evaluation]				
Project Type	New Projects	Ongoing Projects	Project Completion	Operation Post Eva.
		Implementation		
Format Type	SPAS		SPES	
Technical Promotion	I-1	II-1	III-1	IV
F/S and Design	I-2	II-2	III-2	
Construction	I-3	II-3	III-3	
F/S & Construction	I-4	-		
Revival	I-5	-	-	
Kum-ban Devt.	I-6	II-6	III-6	

As an extra feature, a blank sheet of a comparative assessment chart is attached.

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1. Forms for Application of PIP Projects

- **Flowchart for Application Format Selection (New projects)**
 - I-1 Project Proposal for Technical Promotion
 - I-2 Project Proposal for F/S and Designing
 - I-3 Project Proposal for Construction
 - I-4 Project Proposal for F/S and Construction
 - I-5 Project Proposal for Revival Projects
 - I-6 Project Proposal for Kum-ban Development
- **Flowchart for Application Format Selection (Ongoing projects)**
 - II-1 Progress Report for Technical Promotion
 - II-2 Progress Report for F/S and Designing
 - II-3 Progress Report for Construction
 - II-6 Progress Report for Kum-ban Development
 - II-7 Project Payment Progress Report
 - III-1 Completion Report for Technical Promotion
 - III-2 Completion Report for F/S and Design
 - III-3 Completion Report for Construction
 - III-6 Completion Report for Kum-ban Development

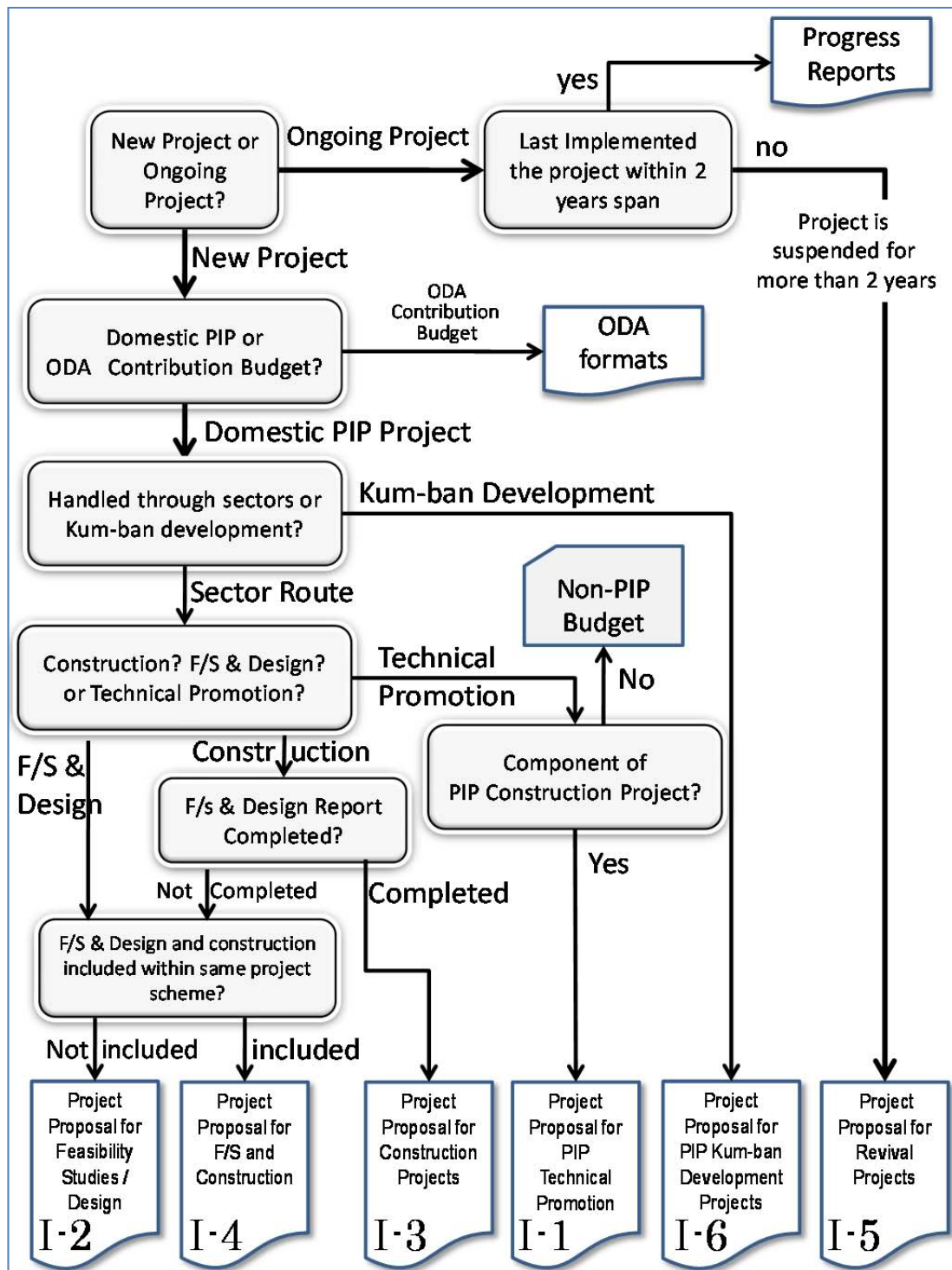
2. Forms for Assessment and Evaluation of PIP Projects

- I-1 SPAS for NEW Technical Promotion
- I-2 SPAS for NEW Feasibility Study and Designing
- I-3 SPAS for NEW Construction
- I-4 SPAS for NEW F/S and Construction
- I-5 SPAS for Revival Projects
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- II-1 SPAS for ONGOING Technical Promotion
- II-2 SPAS for ONGOING F/S and Designing
- II-3 SPAS for ONGOING Construction
- II-6 SPAS for ONGOING Kum-ban Development
- III-1 SPES for Technical Promotion
- III-2 SPES for F/S and Designing
- III-3 SPES for Construction
- III-6 SPES for Kum-ban Development
- IV SPES for ex-post evaluation

3. Simplified Project Information Sheet (SPIS)

4. Comparative Assessment Chart

Flowchart of Application Format Selection (New Projects)





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PIP Format I-1 Project Proposal For Technical Promotion Projects

[Checkpoints]

This Project Proposal Format is structured for projects that require approval for Technical Promotion PIP Projects that are directly related to a certain PIP infrastructure project and follows Article 10 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This Technical Promotion project relates to components of a PIP infrastructure related project
- ☐ The contents of this PIP Technical Promotion project follow the definition indicated in Article 10 of the Public Investment Law.
- ☐ It is purely a domestic funding project. ODA funding is not included in the project cost, or the cost for the related PIP construction project.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the Technical Promotion Project

1-2 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Collaborating / Advisory Organizations (if any)

Write name(s) of governmental or non-governmental organizations that are expected to collaborate, or provide advisory to the project.

- _____
- _____
- _____

1-4 Sector of the Project

Select the specific sector of the Project from the following. If “Other”, indicate specific sector.

- | | |
|--|--|
| <input type="checkbox"/> Public Works and Transportation | <input type="checkbox"/> Education |
| <input type="checkbox"/> Energy and Mining | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Agriculture and Forestry | <input type="checkbox"/> Other |
| <input type="checkbox"/> Information and Culture | _____ |

1-5 Location of the project

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

(Please attach a map of the project site)

1-6 Requested Total Budget (in Kip)

Write the total budget required for the project.

Planned Total Budget Amount

_____ Kip

1-7 Expected Duration of the Project Implementation

Write the expected Duration of the project implementation.

_____ Years/Months from ____/____ to ____/____.

2 Background of the Project

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3 Framework of the Project

3-1 Overall Goal

(1) Write the summary and indicator of Overall Goal

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(2) Write the indicators that express achievement of the Overall Goal

Indicators	Data Source / Means of Verification

(3) Write the groups that benefit as the result of achieving the Overall Goal

Name of group/individual	Number of persons

3-2 Project Purpose

(1) Write the summary of the Project Purpose

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(2) Write the indicators that express achievement of the Project Purpose

Indicators	Data Source / Means of Verification

(3) Write the groups or individuals that benefit as the result of achieving the Project Purpose

Name of group/individual	Number of persons

3-3 Outputs

Write the basic components that build up to the completion of the project.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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3-4 Planned Activities in Achieving Outputs

Write the schedule of activities that leads to the achievement of the abovementioned Outputs (If available on different sheet, it can be attached as Annex 1)

Activities for Output 1	
Activities	Period
- - - - - -	
Activities for Output 2	
Activities	Period
- - - - - -	
Activities for Output 3	
Activities	Period
- - - - - -	
Activities for Output 4	
Activities	Period
- - - - - -	

3-5 Planned Inputs in Conducting Planned Activities

Write the input of the project, such as materials, equipment and workforce used to commence activities: If available on different sheet, it can be attached as Annex 2

Name of Input	Cost (Kip)	Purpose of Input, or Activity related to Input

4 Cost Estimation Breakdown (Total Cost)

Write the total cost estimation of the project, and its breakdown including the abovementioned inputs (If available on different sheet, it can be attached as Annex 3).

Items	Cost (Kip)
Total Cost	

5 Cost Estimation Breakdown (by Year)

Write the total cost estimation of the future PIP project, and its breakdown.

Year	Cost (Kip)
Total Cost	

6 PIP Budget Request for First Year

Write the budget request amount for the first year and its breakdown by item.

Items Required for First Year	Cost (Kip)
Total Budget Request for First Year	

7 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

7-1 Operations and Maintenance Plan

Select the written plan expressing operation and maintenance after completion of the project.

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed between the Project Owner, organization responsible of operation, and DPI.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and DPI.
- ☐ Some agreement has been made, by not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

7-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

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- ☐ Not Decided

7-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



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PIP Format I-2 Project Proposal For Feasibility Study and/or Basic/Detailed Design

This Project Proposal Format is structured for requests for Feasibility Study and/or Basic/Detailed Design that are directly related to a future PIP infrastructure project and follows Article 10 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This Study/Design relates to a future PIP infrastructure Project.
- ☐ The contents of this request follow the definition indicated in Article 10 of the Public Investment Law.
- ☐ The Feasibility Study and/or Basic/Detailed Design is purely domestic funded. ODA funding is not included in the cost for this request.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the PIP Request

1-1 Categorization of Request

- ☐ Feasibility Study
- ☐ Basic Design
- ☐ Detailed Design

* If the request contains multiple objectives of the above, check all items included.

1-2 Tentative Name of Future PIP Project

1-3 Project Owner (and organization in charge of the Study / Design)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-4 Collaborating / Advisory Organizations (if any)

Write name(s) of governmental or non-governmental organizations that are expected to collaborate, or provide advisory to the project.

- _____
- _____
- _____

1-5 Sector of the Project

Select the specific sector of the Study/Design from the following. If “Other”, indicate specific sector.

- | | |
|--|--|
| <input type="checkbox"/> Public Works and Transportation | <input type="checkbox"/> Education |
| <input type="checkbox"/> Energy and Mining | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Agriculture and Forestry | <input type="checkbox"/> Other |
| <input type="checkbox"/> Information and Culture | _____ |

1-6 Key Subject of the Project

Select the key subject of the Study/Design from the following. If “Other”, indicate specific subject.

- | | |
|---|---|
| <input type="checkbox"/> Road/Bridge Construction | <input type="checkbox"/> Medical Facilities |
| <input type="checkbox"/> Electricity Substation/Lines | <input type="checkbox"/> Tourism Facilities |
| <input type="checkbox"/> Irrigation | <input type="checkbox"/> Government Organization Buildings* |
| <input type="checkbox"/> Information Technology | <input type="checkbox"/> Other |
| <input type="checkbox"/> Agriculture Facilities | _____ |
| <input type="checkbox"/> Education Facilities | |

*Government Organization Buildings; Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-7 Location of the Study / Design

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

(Please attach a map of the study site)

1-8 Requested Total Budget of the Study/Design (in Kip)

Write the total budget required for the Study/Design.

Planned Total Budget Amount

_____ Kip

1-9 Expected Duration of the Study/Design Implementation

Write the expected Duration of the Study/Design.

_____ Years/Months from ____/____ to ____/____.

2 Contents of the Study / Design

2-1 Background of the Study / Design

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2-2 Framework of the Study/Design

Write the framework of Study/Design by item.

Study Item	Cost Incurred for Study	Period of Study

2-3 Requirements of Environment Impact Assessment

Check whether the study/design will be conducting any of the following Environment Impact Assessment

- ☐ Initial Environmental Examination (IEE)
- ☐ Environment Impact Assessment (EIA)
- ☐ Social Impact Assessment (SIA)
- ☐ Other environmental assessment

☐ None

2-4 Outputs from the Study / Design

Check all reports or outputs that are expected through this Study / design from the following. For “Other”, indicate the name of reports/documents that will be prepared.

- ☐ Feasibility Study Report (Official)
- ☐ Feasibility Study Report (Draft)
- ☐ Basic Design
- ☐ Detailed Design (Official)
- ☐ Other

3 Framework and Cost Estimation of the Future PIP Project

3-1 Expected Positive Effect from the Future PIP Project (Overall Goal)

(1) Summary of Overall Goal

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(2) Write the groups that benefit as the result of achieving the Overall Goal

Name of group/individual	Number of persons

3-2 Project Purpose

Project Purpose is the Objective of the project that is reached at the completion of the project.

(1) Write the summary of Project Purpose

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(2) Write groups or individuals that benefit as the result of achieving the Project Purpose.

Name of group/individual	Number of persons

Items	Cost (Kip)
Total Cost	

Total cost estimation of the Studies and/or Design, and its breakdown by year.

Year	Cost (Kip)
Total Cost	



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PIP Format I-3 Project Proposal For Infrastructure Projects

This Project Proposal Format is structured for projects that require approval for PIP infrastructure Projects that follows Article 9 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This is a request for a new PIP Infrastructure Project.
- ☐ Documents specified in Article 17 of the Public Investment Law are prepared and attached.
- ☐ Financial/Economic Analysis of the project is conducted, and either one among Net Present Value (NPV), Cost and Benefic Ratio (B/C), and Internal Rate of Return (IRR) is calculated and attached.
- ☐ The proposed project is purely domestic funded. ODA funding is not included in the cost for this request.
- ☐ This is not a request for revival of an unfinished PIP project after its suspension.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of PIP Infrastructure Project

1-2 Project Type

Select the Type of the PIP project according to Article 15 of the Public Investment Law.

- ☐ Type 1 (Large scale project with total cost exceeding 50 billion Kip, with construction period of less than 7 years.)
- ☐ Type 2 (Medium scale project with total cost in the range of 5 billion Kip to 50 billion Kip, with construction period of less than 5 years.)
- ☐ Type 3 (Small scale project with total cost under 5 billion Kip, with construction period of less than 3 years.)

1-3 Project Owner (and organization in charge of the project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-4 Collaborating / Advisory Organizations (if any)

Write the name(s) of governmental or non-governmental organizations that are expected to collaborate, or provide advisory to the project.

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- _____
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1-5 Sector / Key Subject of the Project

Select the specific sector of the Project from the following. If “Other”, indicate specific sector.

- | | |
|--|--|
| <input type="checkbox"/> Public Works and Transportation | <input type="checkbox"/> Education |
| <input type="checkbox"/> Energy and Mining | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Agriculture and Forestry | <input type="checkbox"/> Other |
| <input type="checkbox"/> Information and Culture | _____ |

1-6 Key Subject of the Project

Select the key subject of the Project from the following. If ”Other”, indicate specific subject.

- | | |
|---|---|
| <input type="checkbox"/> Road/Bridge Construction | <input type="checkbox"/> Education Facilities |
| <input type="checkbox"/> Electricity Substation/Lines | <input type="checkbox"/> Medical Facilities |
| <input type="checkbox"/> Irrigation | <input type="checkbox"/> Tourism Facilities |
| <input type="checkbox"/> Information Technology | <input type="checkbox"/> Government Organization Buildings* |
| <input type="checkbox"/> Agriculture Facilities | <input type="checkbox"/> Other |

*Government Organization Buildings; Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-7 Location(s) of the Project

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

- If the project has multiple locations, please indicate the main location above, and attach the chart of other locations.
- Please attach a map indicating the project site.

1-8 Total Cost of the Project

Write the total cost required for the project.

Total Cost Amount

Kip

1-9 Expected Duration of the Project Implementation

Write the expected Duration of project implementation

Years/Months from ____/____ to ____/____.

2 Background of the Project

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3 Project Framework

3-1 Overall Goal

(1) Write the summary of Overall Goal

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(2) Write indicators that express the achievement of the Overall Goal, and their data source or means of verification.

Indicators	Data Source / Means of Verification

(3) Write groups that benefit as the result of achieving the Overall Goal

Name of group/individual	Number of persons

3-2 Project Purpose

(1) Write summary of the Project Purpose

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- (2) Write indicators that express the achievement of the Project Purpose, and their data source or means of verification.

Indicators	Data Source / Means of Verification

- (3) Write groups or individuals that benefit as the result of achieving the Project Purpose

Name of group/individual	Number of persons

3-3 Outputs

Write basic components that build up to the completion of the project.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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3-4 Planned Activities in Achieving Outputs

Write the schedule of activities that leads to the achievement of the abovementioned Outputs (If available on different sheet, it can be attached as Annex 1)

Activities for Output 1	
Activities	Period
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Activities for Output 2	
Activities	Period
-	
-	
-	
-	
-	
-	

Activities for Output 3	
Activities	Period
-	
-	
-	
-	
-	
-	
Activities for Output 4	
Activities	Period
-	
-	
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3-5 Planned Inputs in Conducting Planned Activities

Write the input of the project such as materials, equipment and workforce used to commence activities: If available on different sheet, it can be attached as Annex 2)

Name of Input	Cost (Kip)	Purpose of Input, or Activity related to Input

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9 Environmental impact and its countermeasures

9-1 Environment Impact Assessment

Check the environmental certificates obtained in the planning stages of the project.

- ☐ Initial Environmental Examination (IEE)
- ☐ Environment Impact Assessment (EIA)
- ☐ Social Impact Assessment (SIA)
- ☐ Other Environmental Assessment Certificates

☐ None

9-2 Environmental Impact and its Countermeasures

Write environmental negative impacts liable to occur during and after project implementation. Also note the countermeasures to overcome or lessen these impacts.

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10 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

10-1 Operations and Maintenance Plan

Is there a written plan expressing the plans on operation and maintenance after the completion of the project?

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed between the Project Owner, organization responsible of operation, and DPI.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and DPI.
- ☐ Some agreement has been made, by not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

10-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

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- ☐ Not Decided

10-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format I-4 Project Proposal For Feasibility Study and Construction Projects

This Project Proposal Format is structured for projects that require approval for PIP Construction Projects, and needs to conduct Feasibility Study and Designing within the same year. The following conditions should be cleared before filling up this format.

- ☐ This is a request for a PIP Construction Project that has needs to conduct Feasibility Study before implementation of the project, in order to complement further details of the project before actual implementation.
- ☐ The Feasibility Study/Design and the actual PIP Construction Project are concluded in one year.
- ☐ The proposed project is purely domestic funded. ODA funding is not included in the cost for this request.
- ☐ It is categorized under Type 3 project, not exceeding the total budget of 5 billion Kip.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the PIP Request

1-1 Name of PIP Construction Project

1-2 Project Owner (and organization in charge of the Study / Design)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Collaborating / Advisory Organizations (if any)

Name(s) of governmental or non-governmental organizations that are expected to collaborate, or provide advisory to the project.

- _____
- _____
- _____

1-4 Sector of the Project

Select the specific sector of the Project from the following. If “Other”, indicate specific sector.

- | | |
|--|--|
| <input type="checkbox"/> Public Works and Transportation | <input type="checkbox"/> Education |
| <input type="checkbox"/> Energy and Mining | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Agriculture and Forestry | <input type="checkbox"/> Other |
| <input type="checkbox"/> Information and Culture | _____ |

1-5 Key Subject of the Project

Select the key subject of the Project from the following. If “Other”, indicate specific subject.

- | | |
|---|---|
| <input type="checkbox"/> Road/Bridge Construction | <input type="checkbox"/> Medical Facilities |
| <input type="checkbox"/> Electricity Substation/Lines | <input type="checkbox"/> Tourism Facilities |
| <input type="checkbox"/> Irrigation | <input type="checkbox"/> Government Organization Buildings* |
| <input type="checkbox"/> Information Technology | <input type="checkbox"/> Other |
| <input type="checkbox"/> Agriculture Facilities | _____ |
| <input type="checkbox"/> Education Facilities | |

*Government Organization Buildings; Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-6 Location(s) of the Project

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

- If the project has multiple locations, please indicate the main location above, and attach the chart of other locations.
- Please attach a map indicating the project site.

1-7 Total Cost of the Project including Feasibility Study / Design

Write the total cost required for the project and studies.

Phase	Cost
Feasibility Study	
Basic Design	
Detailed Design	
PIP Project Implementation	
Grand Total	

2 Background of the Project and Study

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3 Information on Feasibility Study / Design

3-1 Framework of the Study / Design

Indicate the framework of the feasibility studies and/or design incurred in the proposal

Study Item	Cost Incurred for Study	Period of Study

3-2 Outputs from the Studies / Design

Check all reports or outputs that are expected through this studies / design from the following. For “Other”, indicate the name of reports/documents that will be prepared.

- ☐ Feasibility Study Report (Official)
 - ☐ Feasibility Study Report (Draft)
 - ☐ Basic Design
 - ☐ Detailed Design (Official)
 - ☐ Other
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4 Project Framework

4-1 Overall Goal

(1) Write the summary of Overall Goal

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(2) Write indicators that express the achievement of the Overall Goal, and their data source or means of verification.

Indicators	Data Source / Means of Verification

(3) Write groups that benefit as the result of achieving the Overall Goal

Name of group/individual	Number of persons

4-2 Project Purpose

(1) Write summary of the Project Purpose

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(2) Write indicators that express the achievement of the Project Purpose, and their data source or means of verification.

Indicators	Data Source / Means of Verification

(3) Write groups or individuals that benefit as the result of achieving the Project Purpose

Name of group/individual	Number of persons

4-3 Outputs

Write basic components that build up to the completion of the project.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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4-4 Planned Activities in Achieving Outputs

Write the schedule of activities that leads to the achievement of the abovementioned Outputs (If available on different sheet, it can be attached as Annex 1)

Activities for Output 1	
Activities	Period
-	
-	
-	
-	
-	
-	

Activities for Output 2	
Activities	Period
-	
-	
-	
-	
-	
-	

Activities for Output 3	
Activities	Period
-	
-	
-	
-	
-	
-	

Activities for Output 4	
Activities	Period
-	
-	
-	

-	
-	
-	

4-5 Planned Inputs in Conducting Planned Activities

Write the input of the project such as materials, equipment and workforce used to commence activities: If available on different sheet, it can be attached as Annex 2

Name of Input	Cost (Kip)	Purpose of Input, or Activity related to Input

5 Cost Estimation Breakdown (Total Cost)

Write the total cost estimation of the study and project, and its breakdown by item.

Items	Cost (Kip)
Total Cost	

6 Social Impact and its Countermeasures

Write social negative impacts liable to occur during and after project implementation. Also note the countermeasures to overcome or lessen these impacts.

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7 Environmental impact and its countermeasures

7-1 Environment Impact Assessment

Check the environmental certificates obtained in the planning stages of the project.

- ☐ Initial Environmental Examination (IEE)
- ☐ Environment Impact Assessment (EIA)
- ☐ Social Impact Assessment (SIA)
- ☐ Other Environmental Assessment Certificates

☐ None

7-2 Environmental Impact and its Countermeasures

Write environmental negative impacts liable to occur during and after project implementation. Also note the countermeasures to overcome or lessen these impacts.

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8 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

8-1 Operations and Maintenance Plan

Is there a written plan expressing the plans on operation and maintenance after the completion of the project?

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed between the Project Owner, organization responsible of operation, and DPI.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and DPI.
- ☐ Some agreement has been made, by not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

8-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

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- ☐ Not Decided

8-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format I-5 Project Proposal For Infrastructure Revival Projects

This Project Proposal Format is structured for PIP Infrastructure Projects that plan to resume its implementation after it has been suspended for more than 2 years. The following conditions should be cleared before filling up this format.

- ☐ This is a request for an unfinished PIP Infrastructure Project that has once been approved in the past.
- ☐ Two (2) financial years has passed since the project was last in implementation.
- ☐ The proposed project is purely domestic funded. ODA funding is not included in the cost for this request.
- ☐ Documents specified in Article 17 of the Public Investment Law, with the conditions after the suspension into consideration, are prepared and attached.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the PIP Request

1-1 Name of PIP Infrastructure Project

Write the original name of the PIP Infrastructure Project to be resumed.

Write the Project Code of the original PIP Infrastructure Project

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1-2 Project Type

Select the Type of the PIP project that was originally categorized.

☐ Type 1

☐ Type 2

☐ Type 3

1-3 Project Owner (and organization in charge of the revival construction)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-4 Sector of the Project

Select the specific sector of the Project from the following. If “Other”, indicate specific sector.

☐ Public Works and Transportation

☐ Education

☐ Energy and Mining

☐ Public Health

☐ Agriculture and Forestry

☐ Other

☐ Information and Culture

1-5 Key Subject of the Project

Select the key subject of the Project from the following. If “Other”, indicate specific subject.

☐ Road/Bridge Construction

☐ Medical Facilities

☐ Electricity Substation/Lines

☐ Tourism Facilities

☐ Irrigation

☐ Government Organization Buildings*

☐ Information Technology

☐ Other

☐ Agriculture Facilities

☐ Education Facilities

*Government Organization Buildings; Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-6 Location(s) of the Project

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

- If the project has multiple locations, please indicate the main location above, and attach the chart of other locations.
- Please attach a map indicating the project site.

1-7 Collaborating / Advisory Organizations (if any)

Name(s) of governmental or non-governmental organizations that are expected to collaborate, or provide advisory to the project.

- _____
- _____
- _____

1-8 Total Cost of the Project

Write the total cost required for the project, including the new total cost after revival.

Total Cost Requested at Revival	Kip
Total Cost Requested at the Initial Stage	Kip
Difference	Kip

If there is a difference between the “Total Cost Requested at Revival” and “Total Cost Requested at the Initial Stage”, state its reasons.

1-9 Duration of the Original Project Implementation

_____ Years/Months from ____/____ to ____/____.

1-10 Period of Suspension

_____ Years/Months from ____/____

1-11 Expected Duration of the Remaining Project Implementation

Expected Duration of project implementation

_____ Years/Months from ____/____ to ____/____.

2 Background of the Project

2-1 Background of the Original Project Plan

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2-2 Progress of Project at Suspension, and its Current Conditions

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(Current condition of the project site, including necessity of re-working some completed items)

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2-3 Reasons of Suspension

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3 Project Framework

3-1 Overall Goal

(1) Summary of new Overall Goal

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- (2) New indicators that express the achievement of the Overall Goal, and their data source or means of verification.

Indicators	Data Source / Means of Verification

- (3) Groups that benefit as the result of achieving the Overall Goal

Name of group/individual	Number of persons

3-2 Project Purpose

- (1) Summary of new Project Purpose

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- (2) New indicators that express the achievement of the Project Purpose, and their data source or means of verification.

Indicators	Data Source / Means of Verification

- (3) Groups or individuals that benefit as the result of achieving the Project Purpose

Name of group/individual	Number of persons

3-3 Outputs

Basic components that build up to the completion of the project.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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3-4 Further Planned Activities for Completion by Outputs

Schedule of further activities that leads to the achievement of the abovementioned Outputs (If available on different sheet, it can be attached as Annex 1)

Activities for Output 1	
Activities	Period
-	
-	
-	
-	
-	
-	
Activities for Output 2	
Activities	Period
-	
-	
-	
-	
-	
-	
Activities for Output 3	
Activities	Period
-	
-	

- - - -	
Activities for Output 4	
Activities	Period
- - - - - -	

3-5 Further Planned Inputs in Conducting Revised Plan Activities

Input such as materials, equipment and workforce used to commence activities: If available on different sheet, it can be attached as Annex 2)

Name of Input	Cost (Kip)	Purpose of Input, or Activity related to Input

4 Cost Estimation Breakdown (Total Cost)

Total cost estimation of the revived PIP project and its breakdown.

Items	Cost (Kip)
Total Cost	

5 Cost Estimation Breakdown (by Year)

Total cost estimation of the future PIP project, and its breakdown.

Year	Cost (Kip)
Total Cost	

9 Environmental Impact and its Countermeasures

9-1 Environment Impact Assessment

Check the current environmental certificates obtained in the planning stages of the original project.

- ☐ Initial Environmental Examination (IEE)
- ☐ Environment Impact Assessment (EIA)
- ☐ Social Impact Assessment (SIA)
- ☐ Other Environmental Assessment Certificates

☐ None

9-2 Environmental Impact and its Countermeasures

Write environmental negative impacts liable to occur during and after project implementation. Also note the countermeasures to overcome or lessen these impacts.

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10 Measures for Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

10-1 Operations and Maintenance Plan

Is there a written plan expressing the plans on operation and maintenance after the completion of the project?

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed between the Project Owner, organization responsible of operation, and DPI.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and DPI.
- ☐ Some agreement has been made, by not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

10-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

-
- ☐ Not Decided

10-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



ສາທາລະນະລັດ ປະຊາທິປະໄຕ ປະຊາຊົນລາວ

ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format I-6 Project Proposal For Kum-ban Development Projects

This Project Proposal Format is structured specifically for Kum-ban development PIP projects. The following conditions should be cleared before filling up this format.

- ☐ This is a request for a Kum-ban Development PIP Project.
- ☐ The project concludes in one Kum-ban area.
- ☐ It is categorized under Type 3 project, not exceeding the total budget of 5 billion Kip, and completes within 3 financial years.
- ☐ The Project Owner of the project is either from village, Kum-ban or district authorities.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the Kum-ban Development PIP Project

1-2 Project Owner (and organization in charge)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Collaborating / Advisory Organizations (if any)

Name(s) of governmental or non-governmental organizations that are expected to collaborate, or provide advisory to the project.

- _____
- _____
- _____

1-4 Sector to the Project

Select the specific sector that relates to the Project from the following. If “Other”, indicate specific sector.

- | | |
|--|--|
| <input type="checkbox"/> Public Works and Transportation | <input type="checkbox"/> Education |
| <input type="checkbox"/> Energy and Mining | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Agriculture and Forestry | <input type="checkbox"/> Other |
| <input type="checkbox"/> Information and Culture | _____ |

1-5 Key Subject of the Project

Select the key subject that relates to the Project from the following. If “Other”, indicate specific subject.

- | | |
|---|---|
| <input type="checkbox"/> Road/Bridge Construction | <input type="checkbox"/> Medical Facilities |
| <input type="checkbox"/> Electricity Substation/Lines | <input type="checkbox"/> Tourism Facilities |
| <input type="checkbox"/> Irrigation | <input type="checkbox"/> Government Organization Buildings* |
| <input type="checkbox"/> Information Technology | <input type="checkbox"/> Other |
| <input type="checkbox"/> Agriculture Facilities | _____ |
| <input type="checkbox"/> Education Facilities | |

*Government Organization Buildings: Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-6 Location(s) of the Project

Province _____

District _____ Kum-Ban _____

Village _____

Address _____

Longitude _____ Latitude _____

- If the project has multiple locations, please indicate the main location above, and attach the chart of other locations.
- Please attach a map indicating the project site.

1-7 Requested Total Budget (in Kip)

Write the total budget required for the project.

Planned Total Budget Amount

_____ Kip

1-8 Expected Duration of the Project Implementation

Write the expected Duration of the project implementation.

_____ Years/Months from ____/____ to ____/____.

2 Background of the Project

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3 Project Framework

3-1 Overall Goal

(1) Write the summary of Overall Goal

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- (2) Write indicators that express the achievement of the Overall Goal, and their data source or means of verification.

Indicators	Data Source / Means of Verification

- (3) Write groups that benefit as the result of achieving the Overall Goal

Name of group/individual	Number of persons

3-2 Project Purpose

Project Purpose is the Objective of the project that is reached at the completion of the project.

- (1) Write summary of the Project Purpose

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- (2) Write indicators that express the achievement of the Project Purpose, and their data source or means of verification.

Indicators	Data Source / Means of Verification

- (3) Write groups or individuals that benefit as the result of achieving the Project Purpose

Name of group/individual	Number of persons

3-3 Outputs

Write basic components that build up to the completion of the project.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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3-4 Planned Activities in Achieving Outputs

Write the schedule of activities that leads to the achievement of the abovementioned Outputs (If available on different sheet, it can be attached as Annex 1)

Activities for Output 1	
Activities	Period
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-	
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-	
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Activities for Output 2	
Activities	Period
-	
-	
-	
-	
-	
-	

Activities for Output 3	
Activities	Period
-	
-	
-	
-	
-	
-	

Activities for Output 4	
Activities	Period
- - - - - -	

3-5 Planned Inputs in Conducting Planned Activities

Write the input of the project such as materials, equipment and workforce used to commence activities: If available on different sheet, it can be attached as Annex 2

Name of Input	Cost (Kip)	Purpose of Input, or Activity related to Input

9 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

9-1 Operations and Maintenance Plan

Is there a written plan expressing the plans on operation and maintenance after the completion of the project?

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed among the Project Owner, organization responsible of operation, and District authorities.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and District authorities.
- ☐ Some agreement has been made, by not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

9-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

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- ☐ Not Decided

9-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



ສາທາລະນະລັດ ປະຊາທິປະໄຕ ປະຊາຊົນລາວ

ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format II-1 Progress Report For Technical Promotion Projects

[Checkpoints]

This Progress Report format is structured for projects that require approval for ongoing Technical Promotion PIP Projects that are directly related to a certain PIP infrastructure project and follows Article 10 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This is a request for an unfinished ongoing PIP Technical Promotion Project that has already been approved as a PIP project.
- ☐ Project activities have been conducted, or is planned to be conducted within this financial year.
- ☐ Less than 2 financial years as passed since the project was last in implementation.
- ☐ It is purely a domestic funding project. ODA funding is not included in the project cost, or the cost for the related PIP construction project.
- ☐ At time of the original request of this project, the PIP Format I-1 "Project Proposal for Technical Promotion Projects" was completed, and attached to this format.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the Technical Promotion PIP Project

Write the original name of the ongoing Technical Promotion PIP Project.

Write the Project Code of the ongoing Technical Promotion PIP Project.

--

1-2 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Requested Total Budget (in Kip)

Write the total budget for the project ***of original plan, and changes if needed.***

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of This Request

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project has changed compared to the original plan.

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1-4 Expected Duration of the Project Implementation

Write the expected duration of the project implementation.

_____ Years/Months from ____/____ to ____/____.

2 Progress of the Project

Write the summary on the progress of the project.

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3 Framework of the Project

3-1 Overall Goal

- (1) Write any changes from the original Overall Goal summary/indicators/beneficiary groups if any.

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- (2) Indicate the reasons of the abovementioned change.

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3-2 Project Purpose

- (1) Write any changes from the original Project Purpose summary/indicators/beneficiary groups if any.

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(2) Indicate the reasons of the abovementioned change.

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3-3 Outputs

Write the basic components that build up to the completion of the project. If there are no changes from the original Project Proposal, write the original Outputs. If there are any changes from the original, highlight the changes and write the reasons of change.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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3-4 Activities in Achieving Outputs

Write the schedule of activities that leads to the achievement of the abovementioned Outputs. If there are no changes from the original Project Proposal, write the original activities. If there are any changes from the original, highlight the changes and write the reasons of change. (If available on different sheet, it can be attached).

Activities for Output 1	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	
- - - - - -	
Activities for Output 2	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	
- - - - - -	

Activities for Output 3	
Activities Completed	Period
- - - -- -	
Activities in progress/planned	
- - - - - -	
Activities for Output 4	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	
- - - - - -	

4 Cost Estimation Breakdown (Total Cost)

Write the total cost estimation of the project, and its breakdown, based on the updated total budget estimation. The total cost here should match the total of the two costs in chapters 6 & 7.

Items	Cost (Kip)
Total Cost	

5 PIP Budget Results/Estimation for Past Years (including this year)

Write the cost results and/or estimation for the past years of the project, including the budget amount approved for this year.

Items of cost results/estimation for first year	Cost (Kip)
Total Cost	

Percentage of budget vs. total cost_____ %

(total divided by present estimation)

Items Required for Next Year	Cost (Kip)
Total Budget Request for Next Year	

7 Expected Completion of Payment

Year

Signature of Project Owner _____



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format II-2 Progress Report For Feasibility Studies and/or Basic/Detailed Design

[Checkpoints]

This Progress Report format is structured for projects that require approval for ongoing Feasibility Studies and Basic/Detailed Design that are directly related to a future PIP infrastructure project and follows Article 10 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This is a request for an unfinished ongoing Feasibility Studies and/or Basic/Detailed Design that has already been approved as a PIP project.
- ☐ Project activities have been conducted, or is planned to be conducted within this financial year.
- ☐ Less than 2 financial years as passed since the project was last in implementation.
- ☐ It is purely a domestic funding project. ODA funding is not included in the project cost, or the cost for the related PIP construction project.
- ☐ At time of the original request of this study/design, the PIP Format I-2"Project Proposal for Feasibility Study and/or Basic/Detailed Design" was completed, and attached to this format.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Categorization of Request

Check the category of request. If the request involves multiple tasks, check all categories to be conducted.

- ☐ Feasibility Study
- ☐ Basic Design
- ☐ Detailed Design

* If the request contains multiple objectives of the above, check all items included.

1-2 Name of the Feasibility Study / Design

Write the original name of the ongoing Feasibility Study / Design.

Write the Project Code of the ongoing Feasibility Studies / Design.

1-3 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-4 Requested Total Budget (in Kip)

Write the total budget for the study/design *of original plan, and changes if needed.*

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of This Request

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the study/design has changed compared to the original plan.

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1-5 Expected Duration of the Study/Design Implementation

Write the expected duration of the study/design implementation.

_____ Years/Months from ____/____ to ____/____.

2 Progress of the Study/Design

2-1 Write the summary on the progress of the study/design.

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2-2 Framework Progress of Study/Design

Write the progress of study/design by item as indicated in chapter 2-2 of original study plan format I-2.

Study Item	Progress (% of completion)	Expected completion month

2-3 Progress of Outputs

Check the names of reports to be completed within this study/design. If the contents of reports have changed in the process, write its reasons.

- | | |
|--|---|
| <input type="checkbox"/> Initial Environmental Examination (IEE) | <input type="checkbox"/> Feasibility Study Report (Draft) |
| <input type="checkbox"/> Environment Impact Assessment (EIA) | <input type="checkbox"/> Basic Design |
| <input type="checkbox"/> Social Impact Assessment (SIA) | <input type="checkbox"/> Detailed Design |
| <input type="checkbox"/> Feasibility Study Report (Official) | <input type="checkbox"/> Other Reports |

Reasons of change in reports from the original plan (as indicated in format I-2), if any.

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3 Cost Estimation Breakdown (Total Cost)

Write the total cost estimation of the study/design, and its breakdown, based on the updated total budget estimation. The total cost here should match the total of the two costs in chapters 4 & 5.

Items	Cost (Kip)
Total Cost	

4 PIP Budget Results/Estimation for First Year

Write the cost results and/or estimation for the first year of the study/design.

Items of cost results/estimation for first year	Cost (Kip)
Total Cost	

Percentage of budget vs. total cost _____ %

(total divided by present estimation)

5 PIP Budget Request for Next Year

Write the budget request amount for the coming second year and its breakdown by item.

Items Required for Second Year	Cost (Kip)
Total Budget Request for First Year	

6 Expected Completion of Payment

Indicate the expected year of payment completion.

Year _____

Signature of Project Owner _____



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format II-3 Progress Report For Construction Projects

[Checkpoints]

This Progress Report format is structured for projects that require approval for ongoing PIP Infrastructure Projects that follow Article 9 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This is a request for an unfinished ongoing PIP Infrastructure Project that has already been approved as a PIP project.
- ☐ Less than 2 financial years as passed since the project was last in implementation.
- ☐ It is purely a domestic funding project. ODA funding is not included in the project cost, or the cost for the related PIP construction project.
- ☐ At time of the original request of this project, the PIP Format I-3 "Project Proposal for Construction Projects" was completed, and attached to this format.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the PIP Infrastructure Project

Write the Project Code of the ongoing PIP Infrastructure Project.

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1-2 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Requested Total Budget (in Kip)

Write the total budget for the project ***of original plan, and changes if needed.***

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of This Request

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project has changed compared to the original plan.

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1-4 Current Status and Expected Completion of the Project

Indicate the approximate physical completion status of project completion by percentage. a

Completed _____ %

Write the expected completion date of the project implementation.

Month _____ Year _____

2 Progress of the Project

Write the summary on the progress of the project.

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3 Project Framework

3-1 Overall Goal

(1) Write any changes from the original Overall Goal summary/indicators/beneficiary groups if any.

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(2) Indicate the reasons of the abovementioned change.

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3-2 Project Purpose

(1) Write any changes from the original Project Purpose summary/indicators/beneficiary groups if any.

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.....

(2) Indicate the reasons of the abovementioned change.

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3-3 Outputs

Write the basic components that build up to the completion of the project. If there are no changes from the original Project Proposal, write the original Outputs. If there are any changes from the original, highlight the changes and write the reasons of change.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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3-4 Activities in Achieving Outputs

Write the schedule of activities that leads to the achievement of the abovementioned Outputs. If there are no changes from the original Project Proposal, write the original activities. If there are any changes from the original, highlight the changes and write the reasons of change. (If available on different sheet, it can be attached).

Activities for Output 1	
Activities Completed	Period
-	
-	
-	
-	
-	
Activities in progress/planned	
-	
-	
-	
-	
-	
-	

Activities for Output 2	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	
- - - - - -	
Activities for Output 3	
Activities Completed	Period
- - - -- -	
Activities in progress/planned	
- - - - -	
Activities for Output 4	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	

Write the total cost estimation of the project, and its

Write the cost results and/or estimation of the project by year until present

6 PIP Budget Request for Next Year

Items Required for Next Year	Cost (Kip)
Total Budget Request for Next Year	

7 Expected Completion of Payment

Year

8 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

Operations and Maintenance Plan

Is there an UPDATED written plan expressing the plans on operation and maintenance after the completion of the project?

- ☐ There are no Operation and Maintenance Plans at this stage.
- ☐ There is an Operation and Maintenance Plan, but has not been studied since it was developed in the planning stages.
- ☐ There is an official Operation and Maintenance Plan, but needs further studies, clarification and update before the project completion.
- ☐ An Operation and Maintenance Plan is made and updated, and enough updated information is ready for project operation after the project completion.

Signature of Project Owner _____



ສາທາລະນະລັດ ປະຊາທິປະໄຕ ປະຊາຊົນລາວ

ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format II-6 Progress Report For Kum-Ban Development Projects

[Checkpoints]

This Progress Report format is structured for projects that require approval for ongoing Kum-ban Development Projects. The following conditions should be cleared before filling up this format.

- ☐ This is a request for an unfinished ongoing Kum-ban Development Project that has already been approved as a PIP project.
- ☐ Project activities have been conducted, or is planned to be conducted within this financial year.
- ☐ Less than 2 financial years has passed since the project was last in implementation.
- ☐ At time of the original request of this project, the PIP Format I-6 "Project Proposal for Kum-ban Development Projects" was completed, and attached to this format.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the Kum-ban Development PIP Project

Write the original name of the ongoing Kum-ban Development PIP Project.

Write the Project Code of the ongoing Kum-ban Development PIP Project.

--

1-2 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Requested Total Budget (in Kip)

Write the total budget for the project ***of original plan, and changes if needed.***

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of This Request

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project has changed compared to the original plan.

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1-4 Expected Duration of the Project Implementation

Write the expected duration of the project implementation.

_____ Years/Months from ____/____ to ____/____.

2 Progress of the Project

Write the summary on the progress of the project.

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3 Framework of the Project

3-1 Overall Goal

- (1) Write any changes from the original Overall Goal summary/indicators/beneficiary groups if any.

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- (2) Indicate the reasons of the abovementioned change.

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3-2 Project Purpose

- (1) Write any changes from the original Project Purpose summary/indicators/beneficiary groups if any.

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.....

.....
(2) Indicate the reasons of the abovementioned change.

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3-3 Outputs

Write the basic components that build up to the completion of the project. If there are no changes from the original Project Proposal, write the original Outputs. If there are any changes from the original, highlight the changes and write the reasons of change.

[Output 1]

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[Output 2]

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[Output 3]

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[Output 4]

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3-4 Activities in Achieving Outputs

Write the schedule of activities that leads to the achievement of the abovementioned Outputs. If there are no changes from the original Project Proposal, write the original activities. If there are any changes from the original, highlight the changes and write the reasons of change. (If available on different sheet, it can be attached).

Activities for Output 1	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	
- - - - - -	
Activities for Output 2	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	
- - - - - -	
Activities for Output 3	
Activities Completed	Period

- - - -- -	
Activities in progress/planned	
- - - - - -	
Activities for Output 4	
Activities Completed	Period
- - - - - -	
Activities in progress/planned	
- - - - - -	

4 Cost Estimation Breakdown (Total Cost)

Write the total cost estimation of the project, and its breakdown, based on the updated total budget estimation. The total cost here should match the total of the two costs in chapters 6 & 7.

Items	Cost (Kip)
Total Cost	

5 PIP Budget Results/Estimation for First Year

Write the cost results and/or estimation for the first year of the project.

Items of cost results/estimation for first year	Cost (Kip)
Total Cost	

Percentage of budget vs. total cost_____ %

(total divided by present estimation)



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format II-7 Project Payment Process Report

[Checkpoints]

This format is structured for projects that require approval for payment after the project has been physically completed, but still has payment to be settled through PIP budget. The following conditions should be cleared before filling up this format.

- ✧ This is a request for payment of remaining costs unpaid for a completed PIP Project of any type, as stated in Article 58 of the Public Investment Law.
- ✧ Either of the 2 following conditions match (check the appropriate box).
 - ☐ The PIP project has been completed, with Project Completion Report and Project Evaluation Report (SPES) attached.
 - ☐ The project is expected to complete within this financial year.
- ✧ It is purely a domestic funding project. ODA funding is not included in the project cost, or the cost for the related PIP construction project.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the PIP Project

Write the original name of the PIP Project.

Write the Project Code of the PIP Project.

--

1-2 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Requested Total Budget (in Kip)

Write the total budget for the project ***of original plan, and changes if needed.***

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of present

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project has changed compared to the original plan.

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1-4 Completion of the Project

Indicate the completion month and year of the project.

Completed in _____Month _____Year

Check the attached project completion and evaluation documents.

- ☐ Project Completion Report
- ☐ Project Evaluation Document (SPES format)

If the abovementioned documents are not attached, write the month and year these documents can be prepared.

Reported in _____Month _____Year

2 Summary of Project Completion

Write the summary on the project completion.

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3 Cost Breakdown (Total Cost)

Write the total cost (estimation) of the project, and its breakdown, based on the updated total cost. The total cost here should match the total of the two costs in chapters 6 & 7.

Items	Cost (Kip)
Total Cost	

4 PIP Budget Results/Estimation until Present (by Year)

Write the cost results and/or estimation of the project by year until present.

Cost by Year				
Year 1 year(/)	Year 2 year(/)	Year 3 year(/)	Year 4 year(/)	Total

Percentage of budget vs. total cost _____ %
(total divided by present estimation)

5 PIP Budget Request for Next Year

Write the budget request amount for the coming next year.

_____ Kip

Expected Percentage of budget vs. total cost _____ %
(total divided by present + request)

6 Expected Completion of Payment

Indicate the expected year of payment completion.

Year _____

Signature of Project Owner _____



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format III-1 Project Completion Report For Technical Promotion Projects

[Checkpoints]

This Project Completion Report format is structured for PIP technical promotion projects that have completed its physical implementation indicated in its proposal, and followed Article 10 in the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This is a completion report of a PIP Technical Promotion Project which relates to components of a PIP infrastructure related project.
- ☐ The contents of the PIP Technical Promotion Project follow the definition indicated in Article 10 of the Public Investment Law.
- ☐ It is purely a domestic funding project. ODA funding is not included in the project cost, or the cost for the related PIP construction project.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the Technical Promotion PIP Project

Write the original name of the completed Technical Promotion PIP Project.

Write the Project Code of the completed Technical Promotion PIP Project.

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1-2 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Location of the project

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

(Please attach a map of the project site)

1-4 Collaborating / Advisory Organizations (if any)

Write name(s) of governmental or non-governmental organizations that are expected to collaborate, or provide advisory to the project.

- _____
- _____
- _____

1-5 Sector of the Project

Select the specific sector of the Project from the following. If “Other”, indicate specific sector.

☐ Public Works and Transportation

☐ Education

☐ Energy and Mining

☐ Public Health

☐ Agriculture and Forestry

☐ Other

☐ Information and Culture

1-6 Requested Total Budget (in Kip)

Write the total budget for the project ***of original plan, and changes if needed.***

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of Completion

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project as of completion has changed compared to the original plan.

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1-7 Duration of the Project Implementation

Write the duration of the project implementation.

Started _____ Month _____ Year

Completed _____ Month _____ Year

2 Summary of Project Completion

Write the summary on the project completion.

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3 Framework of the Project

3-1 Overall Goal

Write the original Overall Goal that was indicated in the Project Proposal.

(1) The summary and indicator of the original Overall Goal

.....

.....

.....

(2) The indicators that express achievement of the original Overall Goal, and their status as of project completion

Indicators	Data Source / Means of Verification	Current Status

(3) Groups that benefit as the result of achieving the original Overall Goal

Name of group/individual	Number of persons	Current Status

(4) Write any changes from the original Overall Goal summary/indicators/beneficiary groups if any.

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(5) Indicate the reasons of the abovementioned change.

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3-2 Project Purpose

(1) Write the narrative summary of the Project Purpose.

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(2) Write the achievement level of the Project Purpose in summary.

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(3) Write the indicators for the Project Purpose, and its achievement levels.

Indicators	Data Source / Means of Verification	Achievement Level

(4) Write the groups or individuals that benefitted as a result of the project.

Name of group/individual	Number of persons

3-3 Outputs and Activities

Write the Outputs and their achievement levels, along with activities that were conducted for its achievement. If any of the Outputs was not achieved, indicate its reasons;

[Output 1]

(a) Summary of Output 1

.....

.....

(b) Achievement level of Output 1

.....

.....

(c) Activities conducted for Output 1

Activities for Output 1	
Activities	Period
-	
-	
-	
-	
-	
-	

[Output 2]

(d) Summary of Output 2

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(e) Achievement level of Output 2

.....

.....

(f) Activities conducted for Output 2

Activities for Output 2	
Activities	Period
-	
-	
-	
-	
-	
-	

[Output 3]

(g) Summary of Output 3

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(h) Achievement level of Output 3

.....

.....

(i) Activities conducted for Output 3

Activities for Output 3	
Activities	Period
-	
-	
-	
-	
-	
-	

[Output 4]

(j) Summary of Output 4

.....

.....

(k) Achievement level of Output 4

.....

.....

(l) Activities conducted for Output 4

Activities for Output 1	
Activities	Period
-	
-	
-	
-	
-	
-	

4 Cost Breakdown (Total Cost)

Write the total cost of the completed project, and its breakdown based on the updated total budget. The total cost here should match the updated total indicated in 1-3.

Items	Cost (Kip)
Total Cost	

5 PIP Budget Results/Estimation

Write the cost results and/or estimation of the project by year until this year. If the payment is not completed, indicate the percentage of total cost born compared to the total budget cost still needed to be paid.

Items	Cost by Year				
	Year 1		Year 2		Total

Percentage of budget vs. total cost_____%

(total divided by present estimation)

6 Expected Completion of Payment

Indicate the expected year of payment completion.

Year_____

7 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

7-1 Operations and Maintenance Plan

Select the written plan expressing operation and maintenance after completion of the project.

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed between the Project Owner, organization responsible of operation, and DPI.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and DPI.
- ☐ Some agreement has been made, but not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

7-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

-
- ☐ Not Decided

7-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format III-2 Completion Report For Feasibility Study and/or Basic/Detailed Design

This Completion Report Format is structured for Feasibility Study and/or Basic/Detailed Design that have completed its physical implementation indicated in the proposal and follows Article 10 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ This is a completion report for Feasibility Study and/or Basic/Detailed Design.
- ☐ This Study/Design relates to a future PIP infrastructure Project. All reports that were originally planned to be prepared as a result of the studies (Feasibility Study Report/Design/Certificates etc) are attached with this report.
- ☐ The Feasibility Study and/or Basic/Detailed Design is purely domestic funded. ODA funding is not included in the cost for this request.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Study

1-1 Categorization of Study

- ☐ Feasibility Study
- ☐ Basic Design
- ☐ Detailed Design

* If the study contains multiple objectives of the above, check all items included.

1-2 Name of the Study/Design

Write the original name of the completed Feasibility Study/Design.

Write the Project Code of the completed Feasibility Study/Design.

--

1-3 Tentative Name of Future PIP Project

1-4 Project Owner (and organization in charge of the Study / Design)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-5 Collaborating / Advisory Organizations (if any)

Write name(s) of governmental or non-governmental organizations that have collaborated, or provided advisory to the project.

- _____
- _____
- _____

1-6 Sector of the Study

Select the specific sector of the Study/Design from the following. If "Other", indicate specific sector.

- ☐ Public Works and Transportation
- ☐ Energy and Mining
- ☐ Agriculture and Forestry
- ☐ Information and Culture

- ☐ Education
- ☐ Public Health
- ☐ Other

1-7 Key Subject of the Study

Select the key subject of the Study/Design from the following. If "Other", indicate specific subject.

- ☐ Road/Bridge Construction
- ☐ Electricity Substation/Lines
- ☐ Irrigation
- ☐ Information Technology
- ☐ Agriculture Facilities
- ☐ Education Facilities

- ☐ Medical Facilities
- ☐ Tourism Facilities
- ☐ Government Organization Buildings*
- ☐ Other

*Government Organization Buildings; Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-8 Location of the Study / Design

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

(Please attach a map of the study site)

1-9 Requested Total Budget of the Study/Design (in Kip)

Write the total budget for the project ***of original plan, and changes if made.***

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of Completion

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project as of completion has changed compared to the original plan.

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2 Summary of the Study / Design

2-1 Summary of the Study / Design Results

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2-2 Framework of the Study/Design

Write the framework of Study/Design by item.

Study Item	Cost Incurred for Study	Period of Study

2-3 Requirements of Environment Impact Assessment

Check the environmental certificates that were prepared during this study/design.

☐ Initial Environmental Examination (IEE)

- ☐
- None

Check all reports or outputs that are prepared and attached to this report as a result of this Study / design from the following. For “Other”, indicate the name of reports/documents that will be prepared.

- ### 3 Cost Estimation Breakdown of the Studies/Design (Total Cost)

Write the total cost estimation of the *Feasibility Studies and/or Design*, and its breakdown by item.

Items	Cost (Kip)
Total Cost	

Write the total cost of the completed project, and its breakdown based on the updated total budget. The total cost here should match the updated total indicated in 1-3.

Items	Cost (Kip)
Total Cost	

5 PIP Budget Results/Estimation

Write the cost results and/or estimation of the project by year until this year. If the payment is not completed, indicate the percentage of total cost born compared to the total budget cost still needed to be paid.

Items	Cost by Year				
	Year 1		Year 2		Total
Total Cost					

Percentage of budget vs. total cost_____ %

(total divided by present estimation)

6 Expected Completion of Payment

Indicate the expected year of payment completion.

Year _____

Signature of Project Owner _____



ສາທາລະນະລັດ ປະຊາທິປະໄຕ ປະຊາຊົນລາວ

ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format III-3

Project Completion Report

For Construction Projects

[Checkpoints]

This Project Completion Report Format is structured for PIP construction projects that have completed its physical implementation indicated in the project proposal and follows Article 9 of the Public Investment Law. The following conditions should be cleared before filling up this format.

- ☐ Document indicating summary of all related activities, along with an Operation and Maintenance Plan are prepared and attached.
- ☐ It is purely domestic funded. ODA funding is not included in the cost for this project.

If any of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the PIP Infrastructure Project

Write the original name of the completed PIP Infrastructure Project.

Write the Project Code of the completed PIP Infrastructure Project.

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1-2 Project Owner (and organization in charge of the Project)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Collaborating / Advisory Organizations (if any)

Write the name(s) of governmental or non-governmental organizations that have collaborated, or provided advisory to the project.

- _____
- _____
- _____

1-4 Sector / Key Subject of the Project

Select the specific sector of the Project from the following. If “Other”, indicate specific sector.

- | | |
|--|--|
| <input type="checkbox"/> Public Works and Transportation | <input type="checkbox"/> Education |
| <input type="checkbox"/> Energy and Mining | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Agriculture and Forestry | <input type="checkbox"/> Other |
| <input type="checkbox"/> Information and Culture | _____ |

1-5 Key Subject of the Project

Select the key subject of the Project from the following. If “Other”, indicate specific subject.

- | | |
|---|---|
| <input type="checkbox"/> Road/Bridge Construction | <input type="checkbox"/> Medical Facilities |
| <input type="checkbox"/> Electricity Substation/Lines | <input type="checkbox"/> Tourism Facilities |
| <input type="checkbox"/> Irrigation | <input type="checkbox"/> Government Organization Buildings* |
| <input type="checkbox"/> Information Technology | <input type="checkbox"/> Other |
| <input type="checkbox"/> Agriculture Facilities | _____ |
| <input type="checkbox"/> Education Facilities | |

*Government Organization Buildings: Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-6 Location(s) of the Project

Province _____

District _____ Village _____

Address _____

Longitude _____ Latitude _____

- If the project has multiple locations, please indicate the main location above, and attach the chart of other locations.

- Please attach a map indicating the project site.

1-7 Requested Total Budget (in Kip)

Write the total budget for the project *of original plan, and changes if made.*

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of Completion

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project as of completion has changed compared to the original plan.

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1-8 Duration of the Project Implementation

Write the duration of the project implementation.

Started _____ Month _____ Year

Completed _____ Month _____ Year

2 Summary of Project Completion

Write the summary on the project completion.

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3 Project Framework

3-1 Overall Goal

Write the original Overall Goal that was indicated in the Project Proposal.

(1) The summary and indicator of the original Overall Goal

.....

.....

.....

- (2) The indicators that express achievement of the original Overall Goal, and their status as of project completion

Indicators	Data Source / Means of Verification	Current Status

- (3) Groups that benefit as the result of achieving the original Overall Goal

Name of group/individual	Number of persons	Current Status

- (4) Write any changes from the original Overall Goal summary/indicators/beneficiary groups if any.

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(5) Indicate the reasons of the abovementioned change.

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3-2 Project Purpose

(1) Write the narrative summary of the Project Purpose.

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(2) Write the achievement level of the Project Purpose in summary.

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.....

(3) Write the indicators for the Project Purpose, and its achievement levels.

Indicators	Data Source / Means of Verification	Achievement Level

(4) Write the groups or individuals that benefitted as a result of the project.

Name of group/individual	Number of persons

3-3 Outputs and Activities

Write the Outputs and their achievement levels, along with activities that were conducted for its achievement. If any of the Outputs was not achieved, indicate its reasons;

[Output 1]

(a) Summary of Output 1

.....

(b) Achievement level of Output 1

.....

(c) Activities conducted for Output 1

Activities for Output 1	
Activities	Period
-	
-	
-	
-	
-	
-	

[Output 2]

(d) Summary of Output 2

.....

.....

(e) Achievement level of Output 2

.....

.....

(f) Activities conducted for Output 2

Activities for Output 2	
Activities	Period
-	
-	
-	
-	
-	
-	

[Output 3]

(g) Summary of Output 3

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(h) Achievement level of Output 3

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(i) Activities conducted for Output 3

Activities for Output 3	
Activities	Period
-	
-	
-	
-	
-	
-	

[Output 4]

(j) Summary of Output 4

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(k) Achievement level of Output 4

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(l) Activities conducted for Output 4

Activities for Output 1	
Activities	Period
-	
-	
-	
-	
-	
-	

4 Cost Breakdown (Total Cost)

Write the total cost of the completed project, and its breakdown based on the updated total budget. The total cost here should match the updated total indicated in 1-3.

Items	Cost (Kip)
Total Cost	

5 PIP Budget Results/Estimation

Write the cost results and/or estimation of the project by year until this year. If the payment is not completed, indicate the percentage of total cost born compared to the total budget cost still needed to be paid.

Items	Cost by Year				
	Year 1		Year 2		Total
Total Cost					

Percentage of budget vs. total cost _____%

(total divided by present estimation)

6 Expected Completion of Payment

Indicate the expected year of payment completion.

Year _____

7 Social Impact and its Countermeasures

Write social negative impacts that have occurred during implementation, or liable to occur after project completion. Also note the countermeasures to overcome or lessen these impacts.

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8 Environmental impact and its countermeasures

8-1 Environment Impact Assessment

Check the environmental certificates obtained in the planning stages of the project.

- ☐ Initial Environmental Examination (IEE)
- ☐ Environment Impact Assessment (EIA)
- ☐ Social Impact Assessment (SIA)
- ☐ Other Environmental Assessment Certificates

☐ None

8-2 Environmental Impact and its Countermeasures

Write environmental negative impacts that have occurred during implementation, or liable to occur after project completion. Also note the countermeasures to overcome or lessen these impacts.

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9 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

9-1 Operations and Maintenance Plan

Select the written plan expressing operation and maintenance after completion of the project.

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed between the Project Owner, organization responsible of operation, and DPI.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and DPI.
- ☐ Some agreement has been made, by not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

9-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

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- ☐ Not Decided

9-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



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ສັນຕິພາບ ເອກະລາດ ປະຊາທິປະໄຕ ເອກະພາບ ວັດທະນະຖາວອນ

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PIP Format III-6

Project Completion Report

For Kum-ban Development Projects

[Checkpoints]

This Project Completion Report Format is structured specifically for Kum-ban Development PIP projects, which has completed its physical implementation indicated in the project proposal. The following conditions should be cleared before filling up this format.

- ☐ This is a completion report for a Kum-ban Development PIP Project.

If either of the above conditions are not met, this is not the appropriate format to be used as the application of the PIP budget.

1 Basic Information of the Project

1-1 Name of the Kum-ban Development PIP Project

Write the name of the completed Kum-ban Development PIP Project.

Write the Project Code of the completed Kum-ban Development PIP Project.

1-2 Project Owner (and organization in charge)

Department/Section: _____

Name: _____

Tel. & Fax: _____

1-3 Collaborating / Advisory Organizations (if any)

Write the name(s) of governmental or non-governmental organizations that collaborated, or provided advisory to the project.

- _____
- _____
- _____

1-4 Sector to the Project

Select the specific sector that relates to the Project from the following. If “Other”, indicate specific sector.

- | | |
|--|--|
| <input type="checkbox"/> Public Works and Transportation | <input type="checkbox"/> Education |
| <input type="checkbox"/> Energy and Mining | <input type="checkbox"/> Public Health |
| <input type="checkbox"/> Agriculture and Forestry | <input type="checkbox"/> Other |
| <input type="checkbox"/> Information and Culture | _____ |

1-5 Key Subject of the Project

Select the key subject that relates to the Project from the following. If “Other”, indicate specific subject.

- | | |
|---|---|
| <input type="checkbox"/> Road/Bridge Construction | <input type="checkbox"/> Medical Facilities |
| <input type="checkbox"/> Electricity Substation/Lines | <input type="checkbox"/> Tourism Facilities |
| <input type="checkbox"/> Irrigation | <input type="checkbox"/> Government Organization Buildings* |
| <input type="checkbox"/> Information Technology | <input type="checkbox"/> Other |
| <input type="checkbox"/> Agriculture Facilities | _____ |
| <input type="checkbox"/> Education Facilities | |

*Government Organization Buildings: Buildings and/or facilities that operate solely as government administration office. Includes upgrade of the current facilities.

1-6 Location(s) of the Project

Province _____

District _____ Kum-Ban _____

Village _____

Address _____

Longitude _____ Latitude _____

- If the project has multiple locations, please indicate the main location above, and attach the chart of other locations.
- Please attach a map indicating the project site.

1-7 Requested Total Budget (in Kip)

Write the total budget for the project *of original plan, and changes if made.*

Total Budget Amount as of Original Plan

_____ Kip

Total Budget Estimation as of Completion

_____ Kip

Difference vs. Original

_____ Kip

Write the reasons that the total budget estimation of the project as of completion has changed compared to the original plan.

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1-8 Duration of the Project Implementation

Write the duration of the project implementation.

Started _____ Month _____ Year

Completed _____ Month _____ Year

2 Summary of Project Completion

Write the summary on the project completion.

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3 Framework of the Project

3-1 Overall Goal

Write the original Overall Goal that was indicated in the Project Proposal.

(1) The summary and indicator of the original Overall Goal

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(2) The indicators that express achievement of the original Overall Goal, and their status as of project completion

Indicators	Data Source / Means of Verification	Current Status

(3) Groups that benefit as the result of achieving the original Overall Goal

Name of group/individual	Number of persons	Current Status

(4) Write any changes from the original Overall Goal summary/indicators/beneficiary groups if any.

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(5) Indicate the reasons of the abovementioned change.

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3-2 Project Purpose

The Project Purpose is the objective of the project that is expected to be reached at its completion.

(1) Write the narrative summary of the Project Purpose.

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(2) Write the achievement level of the Project Purpose in summary.

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(3) Write the indicators for the Project Purpose, and its achievement levels.

Indicators	Data Source / Means of Verification	Achievement Level

(4) Write the groups or individuals that benefitted as a result of the project.

Name of group/individual	Number of persons

3-3 Outputs and Activities

Write the Outputs and their achievement levels, along with activities that were conducted for its achievement. If any of the Outputs was not achieved, indicate its reasons;

[Output 1]

(a) Summary of Output 1

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(b) Achievement level of Output 1

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(c) Activities conducted for Output 1

Activities for Output 1	
Activities	Period
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-	
-	
-	

[Output 2]

(d) Summary of Output 2

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(e) Achievement level of Output 2

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(f) Activities conducted for Output 2

Activities for Output 2	
Activities	Period
-	
-	
-	
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[Output 3]

(g) Summary of Output 3

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(h) Achievement level of Output 3

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(i) Activities conducted for Output 3

Activities for Output 3	
Activities	Period
-	
-	
-	
-	

Activities for Output 1	
Activities	Period
-	
-	
-	
-	

Items	Cost (Kip)
Total Cost	

5 PIP Budget Results/Estimation

Write the cost results and/or estimation of the project by year until this year. If the payment is not completed, indicate the percentage of total cost born compared to the total budget cost still needed to be paid.

Items	Cost by Year			
	Year 1		Year 2	
Total Cost				

Percentage of budget vs. total cost _____ %

(total divided by present estimation)

6 Expected Completion of Payment

Indicate the expected year of payment completion.

Year _____

7 Social Impact and its Countermeasures

Write social negative impacts that have occurred during implementation, or liable to occur after project completion. Also note the countermeasures to overcome or lessen these impacts.

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8 Environmental impact and its countermeasures

8-1 Environment Impact Assessment

Check the environmental certificates obtained in the planning stages of the project.

- ☐ Initial Environmental Examination (IEE)
- ☐ Environment Impact Assessment (EIA)
- ☐ Social Impact Assessment (SIA)
- ☐ Other Environmental Assessment Certificates

☐ None

8-2 Environmental Impact and its Countermeasures

Write environmental negative impacts that have occurred during implementation, or liable to occur after project completion. Also note the countermeasures to overcome or lessen these impacts.

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9 Project Sustainability

Sustainability asks whether the project and its direct effect can be sustained after the project is completed.

9-1 Operations and Maintenance Plan

Select the written plan expressing operation and maintenance after completion of the project.

- ☐ There is an official Operation and Maintenance Plan which is recognized and agreed between the Project Owner, organization responsible of operation, and DPI.
- ☐ An Operation and Maintenance Plan draft is made, but not yet formally agreed among the Project Owner, organizations responsible of operation, and DPI.
- ☐ Some agreement has been made, by not yet drafted in paper.
- ☐ There are no Operation and Maintenance Plan at this stage.

9-2 Organizational Sustainability

Write the organization in charge of operation and maintenance of the project outputs, once it is completed.

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- ☐ Not Decided

9-3 Financial Sustainability

Write the expected budget source and its annual amount of cost incurred in the operation and maintenance of the project after its completion.

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Signature of Project Owner _____



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Simplified Project Assessment Sheet (SPAS)
Format I-1 for Technical Promotion Projects

Name of the project:

Project Code:

Date of Assessment:

Name of the Assessment Officer:

Category	Questions	Comments	Points
1) Relevance			
Consistency	Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background of the Project, 3-2.Project Purpose)		
	Do the indicators correctly interpret the Project Purpose? (see 3-2.Project Purpose)		
	Is the Overall Goal consistent with the Project Purpose? (see 3-1.Overall Goal and 3-2 Project Purpose)		
	Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 3-1.Overall Goal)		
Beneficiaries	Are the beneficiaries identified for both Project Purpose and Overall Goal? (see 3-1.(3) and 3-2(3))		
	Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2(1), (2) and (3))		
2) Feasibility of Effectiveness			
Project Purpose and Outputs	Are the Outputs adequately set up to realize the Project Purpose? (see relations between 3-2 Project Purpose and 3-3 Outputs)		
3) Feasibility of Efficiency			
Cost	Is the cost estimation of the project appropriate and reliable? (see 3-5.Inputs and 4.5.Cost Estimation Breakdown)		
Schedule	Are the schedule of activities reasonable? (see 3-4 Planned Activities in achieving Outputs)		
Quality of Works	Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 3-3.Outputs, 3-4.Activities, 3-5.Inputs)		
4) Impact (negative impact)			
5) Sustainability			
Financial sustainability	Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 7-1 Operations and Maintenance Plan, 7-3 Financial Sustainability and attachment of O&M Plan)		
Technical sustainability	Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 7-1 Operations and Maintenance Plan and attachment of O&M Plan)		
Sustainability of Organization	Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 7-1 Operation and Maintenance Plan, 7-2 Organizational Sustainability)		
Rating			

Recommendations

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Rating Standards for SPAS I-1 (Technical Promotion Projects)

1. Relevance

Consistency 1: Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background of the Project, 3-2.Project Purpose)		Points
The Project Purpose is unknown.	0	
The Project Purpose, target year or indicators are unclear.	1-4	
The Project Purpose, target year and indicators are set up but it is difficult to achieve it at the completion of the project.	5-9	
The Project Purpose, target year and indicators are set up clearly and appropriately.	10	

Consistency 2: Do the indicators correctly interpret the Project Purpose? (see 3-2.Project Purpose)		Points
No indicators to reflect the Project Purpose are mentioned, nor set up.	0	
Some indicators are set up but does not reflect the achievement of the Project Purpose.	1-4	
Some indicators that reflect the Project Purpose, but not enough to reflect the full requirement for the Project Purpose.	5-9	
All indicators correctly interpret the Project Purpose. It is clear that with the achievement of indicators, it is known that the project has achieved its purpose.	10	

Consistency 3: Is the Overall Goal consistent with the Project Purpose? (see 3-1.Overall Goal and 3-2 Project Purpose)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with the Project Purpose. The relations between achievement of the Project Purpose, and its contribution toward the Overall Goal cannot be identified.	1-4	
Overall Goal is set up and has some consistency with the Project Purpose, but requires clearer logical and indicative relationship. It is not clear whether the achievement of the Project Purpose would contribute to the achievement of the Overall Goal in the future.	5-9	
The Project Purpose is consistent with the Overall Goal. The logical relationship is clear. It is obvious that with the achievement of the Project Purpose, there would be clear contribution to the effectiveness in the Overall Goal.	10	

Consistency 4: Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 3-1.Overall Goal)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with any development plans that the nation, region and sector has set up. The relations between achievement of the Overall Goal, and its contribution toward the national, regional and sector development plans cannot be identified.	1-4	
Overall Goal is set and has some consistency with the NSEDP, Provincial and Sector SEDP, or other related development plans. However, it requires clearer logical and indicative relationship. It is not clear whether the achievement of the Overall Goal would contribute to the NSEDP, Provincial and Sector SEDP targets.	5-9	
Overall Goal is consistent with the NSEDP, Provincial and Sector SEDP. Logical relationship is clear. It is obvious that with the achievement of the Overall Goal, there would be clear contribution to the NSEDP, Provincial and Sector SEDP targets.	10	

Beneficiaries 1: Are the beneficiaries identified for both Project Purpose and Overall Goal? (see 3-1.(3) and 3-2(3))		Points
Beneficiaries are not identified.	0	
Beneficiaries are identified but have no direct relations with the Project.	1-4	
Beneficiaries are identified but not specified into indicators.	5-6	
Present and future beneficiaries are identified in figures and maps but the information source is uncertain.	7-9	
Present and future beneficiaries are identified both location and size by certain figures and maps.	10	

Beneficiaries 2: Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2(1), (2) and (3))		Points
The project does not meet the needs of the beneficiaries.	0	
The project has very little contribution to meeting the needs of the beneficiaries.	1-4	
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	5-9	
The project meets the needs of the beneficiaries that are defined.	10	

2. Feasibility of Effectiveness

Project Purpose and Outputs: Are the Outputs adequately set up to realize the Project Purpose? (see relations between 3-2 Project Purpose and 3-3 Outputs)		Points
Outputs have no adequacy to the Project Purpose.	0	
Some important outputs are missed out. Even if all the Outputs are achieved, the Project Purpose will not be achieved.	(1-4) x 5	
Outputs seem to be adequate for Project Purpose achievement. However, the Project Purpose may not be achieved if there are changes in small conditions related to the Outputs.	(5-9) x 5	
Outputs are adequate for Project Purpose achievement. The Project Purpose will be achieved, even if small conditions are changed.	10 x 5 = 50	

3. Feasibility of Efficiency

Cost: Is the cost estimation of the project appropriate and reliable? (see 3-5.Inputs and 4.5.Cost Estimation Breakdown)		Points
There is lack of information and data in the cost estimation, thus not reliable.	0	
There is little information and data in the cost estimation, and some costs seem unreliable.	(1-4) x 2	
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	(5-9) x 2	
There is enough information and data in the cost estimation, and the costing is appropriate.	10 x 2	

Schedule: Are the schedule of activities reasonable? (see 3-4 Planned Activities in achieving Outputs)		Points
The schedule of the activities do not exist.	0	
The schedule of the activities exist but are not realistic. Either they are could not be completed within the timeframe of the project, or they are scheduled too long to commit activities.	1-4	
The schedule of the activates that exists seem realistic overall, but some modifications are needed in specific activity schedules.	5-9	
The schedule of the activities are reasonable under the current period set up.	10	

Quality of Works: Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 3-3.Outputs, 3-4.Activities, 3-5.Inputs)		Points
Workforce/Materials/Equipment/Technology required for works are not described.	0	
Workforce/Materials/Equipment/Technology required for works are described, but they do not meet (or do not know whether they meet) the prescribed standards.	1-4	
Workforce/Materials/Equipment/Technology required for the works are described, but there are some materials that need to be reconsidered since they do not meet the prescribed standards.	5-9	
Workforce/Materials/Equipment/Technology required for the works are clearly described, and they all meet their prescribed standards.	10	

4. Impact (negative impact)

5. Sustainability

Financial Sustainability: Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 7-1 Operations and Maintenance Plan, 7-3 Financial Sustainability and attachment of O&M Plan)	
Financial sustainability after completion is not considered.	0
Financial sustainability is considered but costs that should be born for operations and maintenance are not realistic.	1-4
Financial sustainability is considered but unsure that the sources for operation and maintenance costs could be constantly assured.	5-9
There is an operation and Maintenance Plan stating the plan for costs and benefit, that are realistic.	10

Technical Sustainability: Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 7-1 Operations and Maintenance Plan and attachment of O&M Plan)	
Sustainability for technical and material aspects are not considered.	0
Technical and material aspects during its operation and maintenance is considered, but these aspects include unrealistic technical and/or material requirements that cannot be obtained or followed up.	1-4
Technical and material aspects during its operation and maintenance is considered, but there are some aspects that need more clarity or training to personnel in charge of operation and maintenance.	5-9
There is an Operation and Maintenance Plan stating specific technical and material requirements, including training for operation and maintenance.	10

Sustainability of Organization: Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 7-1 Operation and Maintenance Plan, 7-2 Organizational Sustainability)	
Responsible organization/group for operation and maintenance is not decided.	0
Responsible organization/group for operation and maintenance is considered but have not been accepted by the party(ies).	1-4
Responsible organization/group for operation and maintenance is decided, but their specific roles and duties need more clarifications.	5-9
There is an Operation and Maintenance Plan stating specific organization and group who are responsible, including their specific roles and duties. Details are fully accepted from the responsible organization and group.	10

Total Scores and Final Rating (in time of the final N-SPAS assessment before implementation)**Rating**

Over 154 points	A
127 to 153 points	B
100 to 126 points	C
Under 99 points	D
Has score of 0-4 in any of the criterions above.	F



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Simplified Project Assessment Sheet (SPAS)
Format I-2 for Feasibility Study and/or Basic/Detailed Design

Name of the project:

Project Code:

Assessment Date:

Assessment Officer:

Category	Questions	Comments	Points
1) Relevance of the Future Project			
Consistency	Is the Project Purpose set up clearly and appropriately? (see 3-2.Project Purpose)		
	Is the Overall Goal consistent with the Project Purpose? (see 3-1.Overall Goal and 3-2.Project Purpose)		
	Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 3-1.Overall Goal)		
Beneficiaries	Are the beneficiaries identified for both Project Purpose and Overall Goal? (see 3-1(3) and 3-2(3))		
	Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2 and 3-2(3))		
Appropriateness of project site selection	Is the project site selected appropriately in strategic aspects? (see relations between 1-7.Project Location and 3-2. Project Purpose)		
2) Feasibility of Effectiveness for Project			
Project Purpose and Outputs	Are the Outputs adequately set up to realize the Project Purpose? (see relations between 3-2.Project Purpose & 3-3.Outputs)		
3) Feasibility of Efficiency for Project			
Cost	Is the cost estimation of the project available at this point? (see 3-4.Cost Estimation Breakdown of the Project)		
4) Impact of Project			
5) Sustainability of Project			
Total Points for Project			

6) F/S & Designing			
Relevance of F/S & Designing	Is the Feasibility Study & Designing plan consistent with the project?		
Cost of F/S & Designing	Is the cost estimation of the F/S & Designing plan appropriate and reliable?		
Schedule of F/S & Designing	Is the schedule of the F/S Designing appropriate?		
Quality of Works for F/S & Designing	Are the workforce/materials/equipment/technology required for F/S & Designing enough?		
Studies for social and environmental issues	Are Studies for social (resettlement etc.) and environmental (EIA, IEE etc.) included?		
Total Points for F/S and Designing			

Rating

Recommendations

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Rating Standards for SPAS I-2 (F/S and Design)

1. Relevance of Project

Consistency 1: Is the Project Purpose set up clearly and appropriately? (see 3-2.Project Purpose)		Points
The Project Purpose is unknown.	0	
The Project Purpose, target year or indicators are unclear.	(1-4) x 2	
The Project Purpose, target year and indicators are set up but it is difficult to achieve it at the completion of the project.	(5-9) x 2	
The Project Purpose, target year and indicators are set up clearly and appropriately.	10 x 2	

Consistency 2: Is the Overall Goal consistent with the Project Purpose? (see 3-1.Overall Goal and 3-2.Project Purpose)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with the Project Purpose. The relations between achievement of the Project Purpose, and its contribution toward the Overall Goal cannot be identified.	1-4	
Overall Goal is set up and has some consistency with the Project Purpose, but requires clearer logical and indicative relationship. It is not clear whether the achievement of the Project Purpose would contribute to the achievement of the Overall Goal in the future.	5-9	
The Project Purpose is consistent with the Overall Goal. The logical relationship is clear. It is obvious that with the achievement of the Project Purpose, there would be clear contribution to the effectiveness in the Overall Goal.	10	

Consistency 3: Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 3-1.Overall Goal)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with any development plans that the nation, region and sector has set up. The relations between achievement of the Overall Goal, and its contribution toward the national, regional and sector development plans cannot be identified.	(1-4) x 2	
Overall Goal is set and has some consistency with the NSEDP, Provincial and Sector SEDP, or other related development plans. However, it requires clearer logical and indicative relationship. It is not clear whether the achievement of the Overall Goal would contribute to the NSEDP, Provincial and Sector SEDP targets.	(5-9) x 2	
Overall Goal is consistent with the NSEDP, Provincial and Sector SEDP. Logical relationship is clear. It is obvious that with the achievement of the Overall Goal, there would be clear contribution to the NSEDP, Provincial and Sector SEDP targets.	10 x 2	

Beneficiaries 1: Are the beneficiaries identified for both Project Purpose and Overall Goal? (see 3-1(3) and 3-2(3))		Points
Beneficiaries are not identified.	0	
Beneficiaries are identified but have no direct relations with the Project.	1-4	
Beneficiaries are identified but not specified into indicators.	5-6	
Present and future beneficiaries are identified in figures and maps but the information source is uncertain.	7-9	
Present and future beneficiaries are identified both location and size by certain figures and maps.	10	

Beneficiaries 2: Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2 and 3-2(3))		Points
The project does not meet the needs of the beneficiaries.	0	
The project has very little contribution to meeting the needs of the beneficiaries.	1-4	
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	5-9	
The project meets the needs of the beneficiaries that are defined.	10	

Appropriateness of project site selection: Is the project site selected appropriately in strategic aspects? (see relations between 1-7.Project Location and 3-2. Project Purpose)		Points
The project site was selected randomly without strategic plans.	0	
The project site was selected randomly but seem to match strategic aspects, although the other aspect needs further reconsideration.	1-4	
The project site seem to match strategic aspects, although needs further validation to ensure that the project site is appropriate.	5	
The project site matches strategic aspects, although no other candidate sites were considered and compared at all.	6	
The project site matches strategic aspects, although needs further detailed confirmation on whether the selected site has priority as compared to other candidate sites.	7-9	
The project site is selected appropriately in strategic aspects, and can confirm that the site is appropriate as compared to other candidate sites.	10	

2. Feasibility of Effectiveness for Project

Project Purpose and Outputs 1: Are the Outputs adequately set up to realize the Project Purpose? (see relations between 3-2.Project Purpose & 3-3.Outputs)		Points
Outputs have no adequacy to the Project Purpose.	0	
Some important outputs are missed out. Even if all the Outputs are achieved, the Project Purpose will not be achieved.	(1-4) x 5	
Outputs seem to be adequate for Project Purpose achievement. However, the Project Purpose may not be achieved if there are changes in small conditions related to the Outputs.	(5-9) x 5	
Outputs are adequate for Project Purpose achievement. The Project Purpose will be achieved, even if small conditions are changed.	50	

3. Feasibility of Efficiency for Project

Cost: Is the cost estimation of the project available at this point? (see 3-4.Cost Estimation Breakdown of the Project)		Points
There is no information or data for cost estimation.	0	
There is little information and data on the cost, and no total cost estimation.	(1-4) x 4	
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	(5-9) x 4	
There is enough information and data in the cost estimation, and the costing is appropriate.	10 x 4	

4. Impact of Project**5. Sustainability of Project****6. F/S and Designing**

Relevance of F/S & Designing: Is the Feasibility Study & Designing plan consistent with the project?		Points
There are no specific plans of study or designing process.	0	
There is a study and designing plan but is not consistent with the project.	1-4	
There is a study and designing plan, but needs improvement to find out the specific information required in prior to start a project.	5-9	
There is a study and designing plan that is liable to find out the specific information required in prior to start a project.	10	

Cost of F/S & Designing: Is the cost estimation of the F/S & Designing plan appropriate and reliable?		Points
There is lack of information and data in the cost estimation, thus not reliable.	0	
There is little information and data in the cost estimation, and some costs seem unreliable.	1-4	
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	5-9	
There is enough information and data in the cost estimation, and the costing is appropriate.	10	

Schedule of F/S & Designing: Is the schedule of the F/S Designing appropriate?		Points
The schedule of F/S & Designing does not exist.	0	
The schedule of F/S & Designing exist but are not realistic. Either they are could not be completed within the timeframe, or they are scheduled too long to commit.	1-4	
The schedule of F/S & Designing seem realistic overall, but some modifications are needed in specific schedule.	5-9	
The schedule of F/S & Designing are reasonable under the current period set up.	10	

Quality of Works for F/S & Designing: Are the workforce/materials/equipment/technology required for F/S & Designing enough?		Points
Workforce/Materials/Equipment/Technology required for F/S & Designing are not described.	0	
Workforce/Materials/Equipment/Technology required for F/S & Designing are described, but they do not meet (or do not know whether they meet) the prescribed standards.	1-4	
Workforce/Materials/Equipment/Technology required for F/S & Designing are described, but there are some items that need to be reconsidered since they do not meet the prescribed standards.	5-9	
Workforce/Materials/Equipment/Technology required for F/S & Designing are clearly described, and they all meet their prescribed standards.	10	

Studies for social and environmental issues: Are Studies for social (resettlement etc.) and environmental (EIA, IEE etc.) included?		Points
Studies for social and environmental issues are not included.	0	
Some studies for social and environmental issues are included, but contents does not meet the required STEA/PSTEO certification or approval process.	1-4	
Studies for social and environmental issues are included, with approaches to meet the required STEA/PSTEO certification partially, but unsure whether the approaches are enough.	5-9	
Studies for social and environmental issues are included, with approaches to fully meet the required STEA/PSTEO certification.	10	

Total Scores and Final Rating (in time of the final assessment)**Rating**

Over 145 points for Project and over 43 points for F/S & Design (must fulfill both requirements)	A
Over 120 points for Project and over 36 for F/S & Design (must fulfill both requirements)	B
Over 94 for Project and over 28 for F/S & Design (must fulfill both requirements)	C
Under 93 points for Project	D
Has the score of the lower two levels (0 and 1-4 if in total 10) in any of the criterions above.	F



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 អគ្គនាយកដ្ឋានគ្រប់គ្រងគុណភាពបរិស្ថាន

Simplified Project Assessment Sheet (SPAS)
Format I-3 for NEW Construction Projects

Name of Project:

Project Proposal Number:

Assessment Date:

Assessment Officer:

Category	Questions	Comments	Points
1) Relevance			
Consistency	Is the Project Purpose set up clearly and appropriately? (see 1. Basic Information, 2. Background of the Project, 3-2 Project Purpose)		
	Do the indicators correctly interpret the Project Purpose? (see 3-2 Project Purpose)		
	Is the Overall Goal consistent with the Project Purpose? (see 3-1. Overall Goal and 3-2 Project Purpose)		
	Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 3-1. Overall Goal)		
Beneficiaries	Are the beneficiaries identified for both the Project Purpose and Overall Goal? (see 3-1(3) and 3-2(3))		
	Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2(1), (2) and (3))		
Appropriateness of project site selection	Is the project site selected appropriately in strategic aspects? (see 1-7 Project location, 2. Background of the Project, 3-2 Project Purpose)		
Economic Relevance	Is the necessary information (Cost, Benefit, and Investment criteria) reliable and adequately used the proper method (Discount rate, with/without, present value)? (see 7. Economic/Financial Analysis and attachment)		
	Is project B/C over 1 (or NPV>0, or IRR>r) ? (see 7. Economic/Financial Analysis and attachment)		
2) Feasibility of Effectiveness			
Project Purpose and Outputs	Are the outputs adequately set up to realize the Project Purpose? (see relations of 3-2 Project Purpose and 3-3 Outputs)		
3) Feasibility of Efficiency			
Cost	Is the cost estimation of the project appropriate and reliable? (see 4. 5.Cost Estimation Breakdown)		
Schedule	Is the schedule of the activities reasonable? (see 3-4 Planned Activities in Achieving Outputs)		
Quality of Works	Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 3-3 Outputs 3-4 Planned Activities 3-5 Planned Inputs)		
4) Impact			
Social and Environmental negative impact	Would the Social and Environmental negative impact be serious or not? (see 8.Social Impact and 9.Environmental Impact)		
Action taken for social and environmental issues	Are there countermeasures or alternatives to resolve social and environment issues? (see 8.Social Impact and 9.Environmental Impact)		
5) Sustainability			
Financial sustainability	Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 10-1 Operations and Maintenance Plan, 10-3 Financial Sustainability and attachment of O&M Plan)		
Technical sustainability	Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 10-1 Operations and Maintenance Plan and attachment of O&M Plan)		
Sustainability of Organization	Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 10-1 Operation and Maintenance Plan, 10-2 Organizational Sustainability)		
Total Points			
Rating			
Recommendations			

Rating Standards for SPAS I-3 (Construction Projects after F/S and Design)

1. Relevance

Consistency 1: Is the Project Purpose set up clearly and appropriately? (see 1. Basic Information, 2. Background of the Project, 3-2 Project Purpose)	
The Project Purpose is unknown.	0
The Project Purpose, target year or indicators are unclear.	1-4
The Project Purpose, target year and indicators are set up but it is difficult to achieve it at the completion of the project.	5-9
The Project Purpose, target year and indicators are set up clearly and appropriately.	10

Consistency 2: Do the indicators correctly interpret the Project Purpose? (see 3-2 Project Purpose)	
No indicators to reflect the Project Purpose are mentioned, nor set up.	0
Some indicators are set up but does not reflect the achievement of the Project Purpose.	1-4
Some indicators that reflect the Project Purpose, but not enough to reflect the full requirement for the Project Purpose.	5-9
All indicators correctly interpret the Project Purpose. It is clear that with the achievement of indicators, it is known that the project has achieved its purpose.	10

Consistency 3: Is the Overall Goal consistent with the Project Purpose? (see 3-1. Overall Goal and 3-2 Project Purpose)	
Overall Goal is not set up.	0
Overall Goal is set up but does not have relationship with the Project Purpose. The relations between achievement of the Project Purpose, and its contribution toward the Overall Goal cannot be identified.	1-4
Overall Goal is set up and has some consistency with the Project Purpose, but requires clearer logical and indicative relationship. It is not clear whether the achievement of the Project Purpose would contribute to the achievement of the Overall Goal in the future.	5-9
The Overall Goal is consistent with the Project Purpose. The logical relationship is clear. It is obvious that with the achievement of the Project Purpose, there would be clear contribution to the effectiveness in the Overall Goal.	10

Consistency 4: Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 3-1. Overall Goal)	
Overall Goal is not set up.	0
Overall Goal is set up but does not have relationship with any development plans that the nation, region and sector has set up. The relations between achievement of the Overall Goal, and its contribution toward the national, regional and sector development plans cannot be identified.	1-4
Overall Goal is set and has some consistency with the NSEDP, Provincial and Sector SEDP, or other related development plans. However, it requires clearer logical and indicative relationship. It is not clear whether the achievement of the Overall Goal would contribute to the NSEDP, Provincial and Sector SEDP targets.	5-9
Overall Goal is consistent with the NSEDP, Provincial and Sector SEDP. Logical relationship is clear. It is obvious that with the achievement of the Overall Goal, there would be clear contribution to the NSEDP, Provincial and Sector SEDP targets.	10

Beneficiaries 1: Are the beneficiaries identified for both the Project Purpose and Overall Goal? (see 3-1(3) and 3-2(3))	
Beneficiaries are not identified.	0
Beneficiaries are identified but have no direct relations with the Project.	(1to4) x 2
Beneficiaries are identified but not specified into indicators.	(5or6) x 2
Beneficiaries are identified in figures but there are more beneficiaries that can be considered but not mentioned.	(7to9) x 2
Beneficiaries that have direct effect from the project are specifically identified through indicators.	10 x 2

Beneficiaries 2: Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2(1), (2) and (3))	
The project does not meet the needs of the beneficiaries.	0
The project has very little contribution to meeting the needs of the beneficiaries.	1-4
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	5-9
The project meets the needs of the beneficiaries that are defined.	10

Appropriateness of project site selection: Is the project site selected appropriately in strategic aspects? (see 1-7 Project location, 2. Background of the Project, 3-2 Project Purpose)	
The project site was selected randomly without environmental studies nor strategic plans.	0
The project site was selected randomly but seem to match either environmental or strategic aspects, although the other aspect needs further reconsideration.	1-4
The project site seem to match both environmental and strategic aspects, although needs further validation to ensure that the project site is appropriate.	5
The project site matches both environmental and strategic aspects, although no other candidate sites were considered and compared at all.	6
The project site matches both environmental and strategic aspects, although needs further detailed confirmation on whether the selected site has priority as compared to other candidate sites.	7-9
The project site is selected appropriately in both environmental and strategic aspects, and can confirm that the site is appropriate as compared to other candidate sites.	10

Economic Relevance 1: is the necessary information (Cost, Benefit, and Investment criteria) reliable and adequately used the proper method (Discount rate, with-without, present value)?	
Information of economic relevance was not prepared and reported.	0
Information of necessary economic relevance was reported, but the calculation method used is not adequate.	4
Information of necessary economic relevance was reported with the adequate calculation method.	10

Economic Relevance 2: Is project B/C over 1 (or NPV>0, or IRR>r) ? (see 7. Economic/Financial Analysis and attachment)	
The project B/C is not over 1.0 (or NPV<0, IRR<r)	0
The project B/C is over 1.0 (or NPV>0, IRR>r)	10

2. Feasibility of Effectiveness

Project Purpose and Outputs: Are the outputs adequately set up to realize the Project Purpose? (see relations of 3-2 Project Purpose and 3-3 Outputs)	
Outputs have no adequacy to the Project Purpose.	0
Some important outputs are missed out. Even if all the Outputs are achieved, the Project Purpose will not be achieved.	(1-4) x 5
Outputs seem to be adequate for Project Purpose achievement. However, the Project Purpose may not be achieved if there are changes in small conditions related to the Outputs.	(5-9) x 5
Outputs are adequate for Project Purpose achievement. The Project Purpose will be achieved, even if small conditions are changed.	(10) x 5 = 50

3. Feasibility of Efficiency

Cost : Is the cost estimation of the project appropriate and reliable? (see 4. 5.Cost Estimation Breakdown)	
There is lack of information and data in the cost estimation, thus not reliable.	0
There is little information and data in the cost estimation, and some costs seem unreliable.	(1to4) x 2
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	(5to9) x 2
There is enough information and data in the cost estimation, and the costing is appropriate.	10 x 2

Schedule: Is the schedule of the activities reasonable? (see 3-4 Planned Activities in Achieving Outputs)	
The schedule of the activities do not exist.	0
The schedule of the activities exist but are not realistic. Either they are could not be completed within the timeframe of the project, or they are scheduled too long to commit activities.	1-4
The schedule of the activities that exists seem realistic overall, but some modifications are needed in specific activity schedules.	5-9
The schedule of the activities are reasonable under the current period set up.	10

Quality of Works: Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 3-3 Outputs 3-4 Planned Activities 3-5 Planned Inputs)	
Workforce/Materials/Equipment/Technology required for works are not described.	0
Workforce/Materials/Equipment/Technology required for works are described, but they do not meet (or do not know whether they meet) the prescribed standards.	1-4
Workforce/Materials/Equipment/Technology required for the works are described, but there are some materials that need to be reconsidered since they do not meet the prescribed standards.	5-9
Workforce/Materials/Equipment/Technology required for the works are clearly described, and they all meet their prescribed standards.	10

4. Impact

Social and Environmental negative impact: Would the Social and Environmental negative impact be serious or not? (see 8.Social Impact and 9.Environmental Impact)	
Very serious.	0
Serious.	1-4
A little serious.	5-9
No serious.	10

Action taken for social and environmental issuesI: Are there countermeasures or alternatives to resolve social and environment issues? (see 8.Social Impact and 9.Environmental Impact)	
No analysis or studies are done.	0
Some social and environmental issues are raised but no countermeasures are planned.	1-4
Social and environmental analysis are done and action plans counter measuring the social and environmental issues are raised, but not fully included in the activities of the project.	5-9
Social and environmental analysis are done and action plans counter measuring social and environmental issues are included as activities of the project.	10

5. Sustainability

Financial sustainability: Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 10-1 Operations and Maintenance Plan, 10-3 Financial Sustainability and attachment of O&M Plan)	
Financial sustainability after completion is not considered.	0
Financial sustainability is considered but costs that should be born for operations and maintenance are not realistic.	1-4
Financial sustainability is considered but unsure that the sources for operation and maintenance costs could be constantly assured.	5-9
There is an operation and Maintenance Plan stating the plan for costs and benefit, that are realistic.	10

Technical sustainability: Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 10-1 Operations and Maintenance Plan and attachment of O&M Plan)	
Sustainability for technical and material aspects are not considered.	0
Technical and material aspects during its operation and maintenance is considered, but these aspects include unrealistic technical and/or material requirements that cannot be obtained or followed up.	1-4
Technical and material aspects during its operation and maintenance is considered, but there are some aspects that need more clarity or training to personnel in charge of operation and maintenance.	5-9
There is an Operation and Maintenance Plan stating specific technical and material requirements, including training for operation and maintenance.	10

Sustainability of Organization: Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 10-1 Operation and Maintenance Plan, 10-2 Organizational Sustainability)	
Responsible organization/group for operation and maintenance is not decided.	0
Responsible organization/group for operation and maintenance is considered but have not been accepted by the party(ies).	1-4
Responsible organization/group for operation and maintenance is decided, but their specific roles and duties need more clarifications.	5-9
There is an Operation and Maintenance Plan stating specific organization and group who are responsible, including their specific roles and duties. Details are fully accepted from the responsible organization and group.	10

Total Scores and Final Rating (in time of the final assessment before implementation)	Rating
Over 205 points	A
169 to 204 points	B
133 to 168 points	C
Under 132	D
Has score of 0-4 in any of the criterions above.	F



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Simplified Project Assessment Sheet (SPAS)
Format I-4 for NEW Feasibility Study and Construction Project

Project Name:
 Project Proposal Number :
 Assessment Date:
 Assessment Officer:

Category	Questions	Comments	Points
1) Relevance			
Consistency	Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background, 4-2.Project Purpose)		
	Do the indicators correctly interpret the Project Purpose? (see relations between 4-2(1)summary and 4-2(2)indicators)		
	Is the Overall Goal consistent with the Project Purpose? (see relations between 4-1.Overall Goal and 4-2.Project Purpose)		
	Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 4-1.Overall Goal)		
Beneficiaries	Are the beneficiaries identified for both Project Purpose and Overall Goal? (see relations between 4-1(1),(3) and 4-2(1),(3))		
	Does the Project Purpose match for the beneficiaries' needs? (see relations between 4-2(1),(2) and (3))		
Appropriateness of project site selection	Is the project site selected appropriately in strategic aspects? (see 1-6.Project Location, 2.Background and 4-2.Project Purpose)		
2) Feasibility of Effectiveness			
Project Purpose and Outputs	Are the Outputs adequately set up to realize the Project Purpose? (see relations between 4-2.Project Purpose and 4-3.Outputs)		
3) Feasibility of Efficiency			
Cost	Is the cost estimation of the study and project appropriate and reliable? (see 3.F/S, 4-5.Inputs and 5.Cost Estimation)		
Schedule	Are the schedule of activities reasonable? (see 4-4.Activities)		
Quality of Works	Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 4-3.Outputs, 4-4.Activities and 4-5.Inputs)		
4) Impact			
Social and Environmental negative impact	Would the Social and Environmental negative impact be serious? (see 6.Social Impact and 7.Environmental Impact)		
Action taken for social and environmental issues	Is there any action plan for social and environment issues? (see 6.Social Impact and 7.Environmental Impact)		
5) Sustainability			
Financial sustainability	Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 8-1.Operations and Maintenance Plan, 8-3.Financial Sustainability and attached O&M Plan)		
Technical sustainability	Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 8-1.Operation and Maintenance Plan and attached O&M Plan)		
Sustainability of Organization	Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 8-1.Operations and Maintenance Plan, 8-2.Orginzational Sustainability and attached O&M Plan)		

Total Points

Rating

Recommendations

Rating Standards for SPAS I-4 (for F/S and Construction)

1. Relevance

Consistency 1: Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background, 4-2.Project Purpose)		Points
The Project Purpose is unknown.	0	
The Project Purpose, is set up but unclear.	1-4	
The Project Purpose is set up but it is difficult to achieve by the end of the project.	5-9	
A reasonable Project Purpose is set up clearly and appropriately.	10	

Consistency 2: Do the indicators correctly interpret the Project Purpose? (see relations between 4-2(1)summary and 4-2(2)indicators)		Points
No indicators to reflect the Project Purpose are mentioned, nor set up.	0	
Some indicators are set up but does not reflect the achievement of the Project Purpose.	1-4	
Some indicators that reflect the Project Purpose, but not enough to reflect the full requirement for the Project Purpose.	5-9	
All indicators correctly interpret the Project Purpose. It is clear that with the achievement of indicators, it is known that the project has achieved its purpose.	10	

Consistency 3: Is the Overall Goal consistent with the Project Purpose? (see relations between 4-1.Overall Goal and 4-2.Project Purpose)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with the Project Purpose. The relations between achievement of the Project Purpose, and its contribution toward the Overall Goal cannot be identified.	1-4	
Overall Goal is set up and has some consistency with the Project Purpose, but requires clearer logical and indicative relationship. It is not clear whether the achievement of the Project Purpose would contribute to the achievement of the Overall Goal in the future.	5-9	
The Project Purpose is consistent with the Overall Goal. The logical relationship is clear. It is obvious that with the achievement of the Project Purpose, there would be clear contribution to the effectiveness in the Overall Goal.	10	

Consistency 4: Is the Overall Goal consistent with the NSEDP, Provincial, and Sector SEDP? (see 4-1.Overall Goal)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with any development plans that the nation, region and sector has set up. The relations between achievement of the Overall Goal, and its contribution toward the national, regional and sector development plans cannot be identified.	1-4	
Overall Goal is set and has some consistency with the NSEDP, Provincial and Sector SEDP, or other related development plans. However, it requires clearer logical and indicative relationship. It is not clear whether the achievement of the Overall Goal would contribute to the NSEDP, Provincial and Sector SEDP targets.	5-9	
Overall Goal is consistent with the NSEDP, Provincial and Sector SEDP. Logical relationship is clear. It is obvious that with the achievement of the Overall Goal, there would be clear contribution to the NSEDP, Provincial and Sector SEDP targets.	10	

Beneficiaries 1: Are the beneficiaries identified for both Project Purpose and Overall Goal? (see relations between 4-1(1),(3) and 4-2(1),(3))		Points
Beneficiaries are not identified.	0	
Beneficiaries are identified but have no direct relations with the Project.	(1-4) x 2	
Beneficiaries are identified but not specified into indicators.	(5-6) x 2	
Present and future beneficiaries are identified in figures and maps but the information source is uncertain.	(7-9) x 2	
Present and future beneficiaries are identified both location and size by certain figures and maps.	10 x 2	

Beneficiaries 2: Does the Project Purpose match for the beneficiaries' needs? (see relations between 4-2(1),(2) and (3))		Points
The project does not meet the needs of the beneficiaries.	0	
The project has very little contribution to meeting the needs of the beneficiaries.	1-4	
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	5-9	
The project meets the needs of the beneficiaries that are defined.	10	

Appropriateness of project site selection: Is the project site selected appropriately in strategic aspects? (see 1-6.Project Location, 2.Background and 4-2.Project Purpose)		Points
The project site was selected randomly without strategic plans.	0	
The project site was selected randomly but seem to match strategic aspects, although the other aspect needs further	1-4	
The project site seem to match strategic aspects, although needs further validation to ensure that the project site is appropriate.	5	
The project site matches strategic aspects, although no other candidate sites were considered and compared at all.	6	
The project site matches strategic aspects, although needs further detailed confirmation on whether the selected site has priority as compared to other candidate sites.	7-9	
The project site is selected appropriately in strategic aspects, and can confirm that the site is appropriate as compared to other candidate sites.	10	

2. Feasibility of Effectiveness

Project Purpose and Outputs 1: Are the Outputs adequately set up to realize the Project Purpose? (see relations between 4-2.Project Purpose and 4-3.Outputs)		Points
Outputs have no adequacy to the Project Purpose.	0	
Some important outputs are missed out. Even if all the Outputs are achieved, the Project Purpose will not be achieved.	(1-4) x 5	
Outputs seem to be adequate for Project Purpose achievement. However, the Project Purpose may not be achieved if there are changes in small conditions related to the Outputs.	(5-9) x 5	
Outputs are adequate for Project Purpose achievement. The Project Purpose will be achieved, even if small conditions are changed.	10 x 5 = 50	

3. Feasibility of Efficiency

Cost: Is the cost estimation of the study and project appropriate and reliable? (see 3.F/S, 4-5.Inputs and 5.Cost Estimation)		Points
There is lack of information and data in the cost estimation, thus not reliable.	0	
There is little information and data in the cost estimation, and some costs seem unreliable.	(1-4) x 2	
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	(5-9) x 2	
There is enough information and data in the cost estimation, and the costing is appropriate.	10 x 2	

Schedule: Are the schedule of activities reasonable? (see 4-4.Activities)		Points
The schedule of the activities do not exist.	0	
The schedule of the activities exist but are not realistic. Either they are could not be completed within the timeframe of the project, or they are scheduled too long to commit activities.	1-4	
The schedule of the activates that exists seem realistic overall, but some modifications are needed in specific activity schedules.	5-9	
The schedule of the activities are reasonable under the current period set up.	10	

Quality of Works: Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 4-3.Outputs, 4-4.Activities and 4-5.Inputs)		Points
Workforce/Materials/Equipment/Technology required for works are not described.	0	
Workforce/Materials/Equipment/Technology required for works are described, but they do not meet (or do not know whether they meet) the prescribed standards.	1-4	
Workforce/Materials/Equipment/Technology required for the works are described, but there are some materials that need to be reconsidered since they do not meet the prescribed standards.	5-9	
Workforce/Materials/Equipment/Technology required for the works are clearly described, and they all meet their prescribed standards.	10	

4. Impact

Social and Environmental negative impact: Would the Social and Environmental negative impact be serious? (see 6.Social Impact and 7.Environmental Impact)		Points
Very serious.	0	
Serious.	1-4	
A little serious.	5-9	
No serious.	10	

Action taken for social and environmental issues: Is there any action plan for social and environment issues? (see 6.Social Impact and 7.Environmental Impact)		Points
No analysis or studies are done.	0	
Some social and environmental issues are raised but no countermeasures are planned.	1-4	
Social and environmental analysis are done and action plans counter measuring the social and environmental issues are raised, but not fully included in the activities of the project.	5-9	
Social and environmental analysis are done and action plans counter measuring social and environmental issues are included as activities of the project.	10	

5. Sustainability

Financial sustainability: Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 8-1.Operations and Maintenance Plan, 8-3.Financial Sustainability and attached O&M Plan)		Points
Financial sustainability after completion is not considered.	0	
Financial sustainability is considered but cost that should be born for operations and maintenance is not realistic.	1-4	
Financial sustainability is considered but unsure that the sources for operation and maintenance cost can be constantly assured.	5-9	
There is an Operation and Maintenance Plan stating the plan for cost and benefit, which is realistic.	10	

Technical sustainability: Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 8-1.Operation and Maintenance Plan and attached O&M Plan)		Points
Sustainability for technical and material aspects are not considered.	0	
Technical and material aspects during its operation and maintenance is considered, but these aspects include unrealistic technical and/r material requirements that cannot be followed.	1-4	
Technical and material aspects during its operation and maintenance is considered, but there are some aspects that need more clarity or training to personnel in charge of operation and maintenance.	5-9	
There is an Operation and Maintenance Plan stating specific technical and material requirements, including possibility of training for operation and maintenance.	10	

Sustainability of Organization: Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 8-1.Operations and Maintenance Plan, 8-2.Orginzational Sustainability and attached O&M Plan)		Points
Responsible organization/group for operation and maintenance is not decided.	0	
Responsible organization/group for operation and maintenance is considered but have not been accepted by the party(ies).	1-4	
Responsible organization/group for operation and maintenance is decided, but their specific roles and duties need more clarifications.	5-9	
There is an Operation and Maintenance Plan stating specific organization and group who are responsible, including their specific roles and duties. Details are fully accepted from the responsible organization and group.	10	

Total Scores and Final Rating (in time of the final assessment before implementation)**Rating**

Over 188 points	A
155 to 187 points	B
122 to 154 points	C
Under 121 points	D
Has score of 0-4 in any of the criterions above.	F



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Simplified Project Assessment Sheet (SPAS)
Format I-5 for Revival Projects

Project Name

Project Code

Assessment Date

Assessment Officer

Category	Questions	Comments	Points
1) Relevance			
Consistency	Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background, 3-2.Project Purpose)		
	Do the UPDATED indicators correctly interpret the Project Purpose? (see 2.Background, 3-2(1) Project Purpose Summary and 3-2(2)Indicators)		
	Is the Overall Goal consistent with the Project Purpose? (see 3-1.Overall Goal and 3-2.Project Purpose)		
	Is the Overall Goal consistent with the CURRENT NSEDP, Provincial, and Sector SEDP? (see 2.Background and 3-1.Overall Goal)		
Beneficiaries	Are the beneficiaries identified for both the Project Purpose and Overall Goal? (see 3-1.(3) and 3-2.(3))		
	Does the Project Purpose STILL match the beneficiaries' needs? (see relations between 2.Background and 3-2(3))		
Appropriateness of project site selection	Is the project site selected appropriately in strategic aspects? (see 1-6.Project Location, 2.Background, 3-2.Project Purpose)		
Economic Relevance	Is the necessary UPDATED information (Cost, Benefit, and Investment criteria) reliable and adequately used the proper method (Discount rate, with-without, present value)? (see 7.Economic/Financial Analysis and attachment)		
	Is the project B/C over 1 (or NPV >0, or IRR > r)? (see 7.Economic/Financial Analysis and attachment)		
2) Feasibility of Effectiveness			
Project Purpose and Outputs	Are the outputs adequately set up to realize the Project Purpose? (see relations between 3-2.Project Purpose and 3-3.Outputs)		
Expectations	Is the project likely to be accomplished based on the revised plan after suspension? (see relations between 2.Background and 3.Project Framework)		
3) Feasibility of Efficiency			
Cost	Is the cost estimation of the project revival workplan appropriate and reliable? (see 1-8.Total Cost, 3-5.Inputs, 4. 5. Cost Breakdown)		
Schedule	Is the schedule of the activities in the revival workplan reasonable? (see 3-4.Planned Activities for Completion by Outputs)		
Quality of Works	Are the workforce/materials/equipment/technology required for the works in the revival workplan enough to realize the project Outputs? (see relations between 3-3.Outputs, 3-4.Activities and 3-5.Inputs)		
4) Impact			
Social and Environmental negative impact after completion	Would the Social and Environmental negative impact after completion be serious or not? (see 2.Background, 8.Social Impact, 9.Environmental Impact)		
Social and Environmental negative impact during implementation and/or suspension	Are there any social and environmental negative impact during the past implementation, or during the suspension period of the project? (see 2.Background, 8.Social Impact, 9.Environmental Impact)		
Action taken for social and environmental issues	Is there any action plan for social and environment issues? (see 2.Background, 8.Social Impact, 9.Environmental Impact)		
5) Sustainability			
Financial sustainability	Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 10-1.Operations and Maintenance Plan, 10-3.Financial Sustainability and attached O&M Plan)		
Technical sustainability	Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 10-1.Operations and Maintenance Plan amd attached O&M Plan)		
Sustainability of Organization	Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 10-1.Operations and Maintenance Plan, 10-2.Organizational Sustainability)		
Total Points			
Rating			-
Recommendations			

Rating Standards for SPAS I-5 (for Revival Projects)

1. Relevance

Consistency 1: Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background, 3-2.Project Purpose)		Points
The Project Purpose is unknown.	0	
The Project Purpose, target year or indicators are unclear.	(1-4)x 0.5	
The Project Purpose, target year and indicators are set up but it is difficult to achive it at the completion of the project.	(5-9) x 0.5	
The Project Purpose, target year and indicators are set up clearly and appropriately.	10 x 0.5	

Consistency 2: Do the UPDATED indicators correctly interpret the Project Purpose? (see 2.Background, 3-2(1) Project Purpose Summary and 3-2(2)Indicators)		Points
No indicators to reflect the Project Purpose are mentioned, nor set up.	0	
Some indicators are set up but does not reflect the achievement of the Project Purpose, or not updated and does not reflect the current situation any more.	(1-4)x 0.5	
Some indicators reflect the Project Purpose, but not enough to reflect the full requirement for the Project Purpose.	(5-9) x 0.5	
All indicators correctly interpret the Project Purpose. It is clear that with the achievement of indicators, it is known that the project has achieved its purpose.	10 x 0.5	

Consistency 3: Is the Overall Goal consistent with the Project Purpose? (see 3-1.Overall Goal and 3-2.Project Purpose)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with the Project Purpose. The relations between achievement of the Project Purpose, and its contribution toward the Overall Goal cannot be identified.	(1-4)x 0.5	
Overall Goal is set up and has some consistency with the Project Purpose, but requires clearer logical and indicative relationship. It is not clear whether the achievement of the Project Purpose would contribute to the achievement of the Overall Goal in the future.	(5-9) x 0.5	
The Overall Goal is consistent with the Project Purpose. The logical relationship is clear. It is obvious that with the achievement of the Project Purpose, there would be clear contribution to the effectiveness in the Overall Goal.	10 x 0.5	

Consistency 4: Is the Overall Goal consistent with the CURRENT NSEDP, Provincial, and Sector SEDP? (see 2.Background and 3-1.Overall Goal)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with any of the current development plans that the nation, region and sector has set up. The relations between achievement of the Overall Goal, and its contribution toward the current national, regional and sector development plans cannot be identified.	(1-4)x 0.5	
Overall Goal is set and has some consistency with the current NSEDP, Provincial and Sector SEDP, or other related development plans. However, it requires clearer logical and indicative relationship. It is not clear whether the achievement of the Overall Goal would contribute to the current NSEDP, Provincial and Sector SEDP targets.	(5-9) x 0.5	
Overall Goal is consistent with the current NSEDP, Provincial and Sector SEDP. Logical relationship is clear. It is obvious that with the achievement of the Overall Goal, there would be clear contribution to the current NSEDP, Provincial and Sector SEDP targets.	10 x 0.5	

Beneficiaries 1: Are the beneficiaries identified for both the Project Purpose and Overall Goal? (see 3-1.(3) and 3-2.(3))		Points
Beneficiaries are not identified.	0	
Beneficiaries are identified but have no direct relations with the Project.	1-4	
Beneficiaries are identified but not specified into indicators.	5-6	
Beneficiaries are identified in figures but there are more beneficiaries that can be considered but not mentioned.	7-9	
Beneficiaries that have direct effect from the project are specifically identified through indicators.	10	

Beneficiaries 2: Does the Project Purpose STILL match the beneficiaries' needs? (see relations between 2.Background and 3-2(3))		Points
The project does not meet the needs of the beneficiaries.	0	
The project has very little contribution to meeting the needs of the beneficiaries.	(1-4)x 0.5	
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	(5-9) x 0.5	
The project meets the needs of the beneficiaries that are defined.	10 x 0.5	

Appropriateness of project site selection: Is the project site selected appropriately in strategic aspects? (see 1-6.Project Location, 2.Background, 3-2.Project Purpose)		Points
The project site was selected randomly without environmental studies nor strategic plans.	0	
The project site was selected randomly but seem to match either environmental or strategic aspects, although the other aspect needs further reconsideration.	(1-2)x 0.5	
The project site seem to match both environmental and strategic aspects, although needs further validation to ensure that the project site is appropriate.	(3-4)x 0.5	
The project site matches both environmental and strategic aspects, although no other candidate sites were considered and compared at all.	(5-6) x 0.5	
The project site matches both environmental and strategic aspects, although needs further detailed confirmation on whether the selected site has priority as compared to other candidate sites.	(7-9) x 0.5	
The project site is selected appropriately in both environmental and strategic aspects, and can confirm that the site is appropriate as compared to other candidate sites.	10 x 0.5	

Economic Relevance 1: Is the necessary UPDATED information (Cost, Benefit, and Investment criteria) reliable and adequately used the proper method (Discount rate, with-without, present value)? (see 7.Economic/Financial Analysis and attachment)		Points
Information of economic relevance was not prepared and reported.	0	
Information of necessary economic relevance was reported. However, although there are changes in the cost, the calculation was not updated, or the method used is not adequate.	4	
Information of necessary economic relevance was reported with updated adequate caluculation method.	10	

Economic Relevance 2: Is the project B/C over 1 (or NPV >0, or IRR > r)? (see 7.Economic/Financial Analysis and attachment)		Points
The project B/C is not over 1.0 (or NPV<0, IRR<r) or the information and calculation is unreliable.	0	
The project B/C is over 1.0 (or NPV>0, IRR>r)	10	

2. Feasibility of Effectiveness

Project Purpose and Outputs: Are the outputs adequately set up to realize the Project Purpose? (see relations between 3-2.Project Purpose and 3-3.Outputs)		Points
Outputs have no adequacy to the Project Purpose.	0	
Some important outputs are missed out. Even if all the Outputs are achieved, the Project Purpose will not be achieved.	(1-4)x 2	
Outputs seem to be adequate for Project Purpose achievement. However, the Project Purpose may not be achieved if there are changes in small conditions related to the Outputs.	(5-9) x 2	
Outputs are adequate for Project Purpose achievement. The Project Purpose will be acheved, even if small conditions are changed.	10 x 2	
Expectations: Is the project likely to be accomplished based on the revised plan after suspension? (see relations between 2.Background and 3.Project Framework)		Points
The project has not considered any revision after suspension, and is obvious that it would not achiveve its original Project Purpose if it is resumed based on the original plan.	0	
The project has not considered any revision after suspension, and not sure whether the Project Purpose would be accomplished if it is resumed based on the original plan.	(1-4)x 2	
The project was studied and revised after the suspension, but still unsure whether the Project Purpose would be accomplished due to lack of information.	(5-9) x 2	
The project was well studied and revised after the suspension. The Project Purpose is likely to be accomplished with the revised workplan.	10 x 2	

3. Feasibility of Efficiency

Cost: Is the cost estimation of the project revival workplan appropriate and reliable? (see 1-8.Total Cost, 3-5.Inputs, 4. 5. Cost Breakdown)		Points
There is no revival workplan, or there is lack of information and data in the cost estimation for the revival workplan, thus not reliable.	0	
There is little information and data in the cost estimation for the revival workplan, and some costs seem unreliable.	(1-4) x 2	
There is information and data in the cost estimation for the revival workplan, but there are still some clarifications needed in some of the costs.	(5-9) x 2	
There is enough information and data in the cost estimation for the revival workplan, and the costing is appropriate.	10 x 2	

Schedule: Is the schedule of the activities in the revival workplan reasonable? (see 3-4.Planned Activities for Completion by Outputs)		Points
There is not revival workplan, or the schedule of the activities do not exist in the revival workplan.	0	
The schedule of the activities in the revival workplan exist but are not realistic. Either they are could not be completed within the timeframe of the project, or they are scheduled too long to commit activities.	1-4	
The schedule of the activties in the revival workplan seem realistic overall, but some modifications are needed in specific activity schedules.	5-9	
The schedule of the activities in the revival workplan are reasonable under the current period set up.	10	

Quality of Works: Are the workforce/materials/equipment/technology required for the works in the revival workplan enough to realize the project Outputs? (see relations between 3-3.Outputs, 3-4.Activities and 3-5.Inputs)		Points
There is no revival workplan, or workforce/Materials/Equipment/Technology required for works are not described in the revivalwork plan.	0	
Workforce/Materials/Equipment/Technology required for works are described in the revival workplan, but they do not meet (or do not know whether they meet) the prescribed standards.	1-4	
Workforce/Materials/Equipment/Technology required for the works are described in the revival workplan, but there are some materials that need to be reconsidered since they do not meet the prescribed standards.	5-9	
Workforce/Materials/Equipment/Technology required for the works are clearly described in the revival workplan, and they all meet their prescribed standards.	10	

4. Impact

Social and Environmental negative impact after completion: Would the Social and Environmental negative impact after completion be serious or not? (see 2.Background, 8.Social Impact, 9.Environmental Impact)		Points
Social and environmental negative impact after completion is expected to be very serious, causing major damage to the society and/or the environment.	0	
Social and environmental negative impact after completion is expected to be serious, causing considerable damage to the society and/or the environment.	1-4	
Social and environmental negative impact after completion is expected to be small, causing minor damage to the society and/or the environment.	5-9	
Social and environmental negative impact after completion is expected to be minimum, causing little or no damage to the society and/or the environment.	10	

Social and Environmental negative impact during implementation and/or suspension: Are there any social and environmental negative impact during the past implementation, or during the suspension period of the project? (see 2.Background, 8.Social Impact, 9.Environmental Impact)		Points
Social and environmental negative impact during implementation and/or suspension was very serious, causing major damage to the society and/or the environment.	0	
Social and environmental negative impact during implementation and/or suspension was serious, causing considerable damage to the society and/or the environment.	(1-4)x 2	
Social and environmental negative impact during implementation and/or suspension was small, causing minor damage to the society and/or the environment.	(5-9) x 2	
Social and environmental negative impact during implementation and/or suspension was minimum, causing little or no damage to the society and/or the environment.	10 x 2	

Action taken for social and environmental issues: Is there any action plan for social and environment issues? (see 2.Background, 8.Social Impact, 9.Environmental Impact)		Points
No analysis or studies are done.	0	
Some social and environmental issues are raised but no countermeasures are planned.	1-4	
Social and environmental analysis are done and action plans counter measuring the social and environmental issues are raised, but not fully included in the activities of the project.	5-9	
Social and environmental analysis are done and action plans counter measuring social and environmental issues are included as activities of the project.	10	

5. Sustainability

Financial sustainability: Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 10-1.Operations and Maintenance Plan, 10-3.Financial Sustainability and attached O&M Plan)		Points
Financial sustainability after completion is not considered.	0	
Financial sustainability is considered but costs that should be born for operations and maintenance are not realistic.	1-4	
Financial sustainability is considered but unsure that the sources for operation and maintenance costs could be constantly assured.	5-9	
There is an operation and Maintenance Plan stating the plan for costs and benefit, that are realistic.	10	

Technical sustainability: Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 10-1.Operations and Maintenance Plan amd attached O&M Plan)		Points
Sustainability for technical and material aspects are not considered.	0	
Technical and material aspects during its operation and maintenance is considered, but these aspects include unrealistic technical and/or material requirements that cannot be obtained or followed up.	1-4	
Technical and material aspects during its operation and maintenance is considered, but there are some aspects that need more clarity or training to personnel in charge of operation and maintenance.	5-9	
There is an Operation and Maintenance Plan stating specific technical and material requirements, including training for operation and maintenance.	10	

Sustainability of Organization: Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 10-1.Operations and Maintenance Plan, 10-2.Organizationsal Sustainability)		Points
Responsible organization/group for operation and maintenance is not decided.	0	
Responsible organization/group for operation and maintenance is considered but have not been accepted by the party(ies).	1-4	
Responsible organization/group for operation and maintenance is decided, but their specific roles and duties need more clarifications.	5-9	
There is an Operation and Maintenance Plan stating specific organization and group who are responsible, including their specific roles and duties. Details are fully accepted from the responsible organization and group.	10	

Total Scores and Final Rating (in time of the final assessment)	Rating
Over 180 points	A
148 to 179 points	B
117 to 147 points	C
Under 116	D
Has the score of the lower two levels (0 and 1-4 if in total 10) in any of the criterions above.	F

Rating Standards for SPAS I-6 (for Kum-ban Development Projects)

1. Relevance

Consistency 1: Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background, 3-2.Project Purpose)		Points
The Project Purpose is unknown.	0	
The Project Purpose, is set up but unclear.	1-4	
The Project Purpose is set up but it is difficult to achieve by the end of the project.	5-9	
A reasonable Project Purpose is set up clearly and appropriately.	10	

Consistency 2: Do the indicators correctly interpret the Project Purpose? (see relations between 3-2(1)summary and 3-2(2)indicators)		Points
No indicators to reflect the Project Purpose are mentioned, nor set up.	0	
Some indicators are set up but does not reflect the achievement of the Project Purpose.	1-4	
Some indicators that reflect the Project Purpose, but not enough to reflect the full requirement for the Project Purpose.	5-9	
All indicators correctly interpret the Project Purpose. It is clear that with the achievement of indicators, it is known that the project has achieved its purpose.	10	

Consistency 3: Is the Overall Goal consistent with the Project Purpose? (see relations between 3-1.Overall Goal and 3-2.Project Purpose)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with the Project Purpose. The relations between achievement of the Project Purpose, and its contribution toward the Overall Goal cannot be identified.	1-4	
Overall Goal is set up and has some consistency with the Project Purpose, but requires clearer logical and indicative relationship. It is not clear whether the achievement of the Project Purpose would contribute to the achievement of the Overall	5-9	
The Project Purpose is consistent with the Overall Goal. The logical relationship is clear. It is obvious that with the achievement of the Project Purpose, there would be clear contribution to the effectiveness in the Overall Goal.	10	

Consistency 4: Is the Overall Goal consistent with the needs of the Kum-ban and District development Strategy? (see 2.Background and 3-1.Overall Goal)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with any development plans that the nation, region and sector has set up. The relations between achievement of the Overall Goal, and its contribution toward the national, regional and sector development plans cannot be identified.	(1-4) x 2	
Overall Goal is set and has some consistency with the NSEDP, Provincial and Sector SEDP, or other related development plans. However, it requires clearer logical and indicative relationship. It is not clear whether the achievement of the Overall Goal would contribute to the NSEDP, Provincial and Sector SEDP targets.	(5-9) x 2	
Overall Goal is consistent with the NSEDP, Provincial and Sector SEDP. Logical relationship is clear. It is obvious that with the achievement of the Overall Goal, there would be clear contribution to the NSEDP, Provincial and Sector SEDP targets.	10 x 2	

Beneficiaries 1: Are the beneficiaries specifically identified for both Project Purpose and Overall Goal? (see relations between 3-1(1),(2) and 3-2(1),(3))		Points
Beneficiaries are not identified.	0	
Beneficiaries are identified but have no direct relations with the Project.	(1-4) x 2	
Beneficiaries are identified but not specified into indicators.	(5-6) x 2	
Present and future beneficiaries are identified in figures and maps but the information source is uncertain.	(7-9) x 2	
Present and future beneficiaries are identified both location and size by certain figures and maps.	10 x 2	

Beneficiaries 2: Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2(1),(2) and (3))		Points
The project does not meet the needs of the beneficiaries.	0	
The project has very little contribution to meeting the needs of the beneficiaries.	(1-4) x 2	
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	(5-9) x 2	
The project meets the needs of the beneficiaries that are defined.	10 x 2	

Appropriateness of project site selection: Is the project site selected appropriately in strategic aspects? (see 1.Basic Information, 2.Background and 3-2.Project Purpose)		Points
The project site was selected randomly without strategic plans.	0	
The project site was selected randomly but seem to match strategic aspects, although the other aspect needs further	(1-4) x 2	
The project site seem to match strategic aspects, although needs further validation to ensure that the project site is appropriate.	5 x 2	
The project site matches strategic aspects, although no other candidate sites were considered and compared at all.	6 x 2	
The project site matches strategic aspects, although needs further detailed confirmation on whether the selected site has priority as compared to other candidate sites.	(7-9) x 2	
The project site is selected appropriately in strategic aspects, and can confirm that the site is appropriate as compared to other candidate sites.	10 x 2	

2. Feasibility of Effectiveness

Project Purpose and Outputs 1: Are the Outputs adequately set up to realize the Project Purpose? (see relations between 3-2.Project Purpose and 3-3.Outputs)		Points
Outputs have no adequacy to the Project Purpose.	0	
Some important outputs are missed out. Even if all the Outputs are achieved, the Project Purpose will not be achieved.	(1-4) x 5	
Outputs seem to be adequate for Project Purpose achievement. However, the Project Purpose may not be achieved if there are changes in small conditions related to the Outputs.	(5-9) x 5	
Outputs are adequate for Project Purpose achievement. The Project Purpose will be achieved, even if small conditions are changed.	10 x 5 = 50	

3. Feasibility of Efficiency

Cost: Is the cost estimation of the project appropriate and reliable? (see 1-7.Cost Estimation, 3-5.Inputs, 4.5.Cost Estimation Breakdown)		Points
There is lack of information and data in the cost estimation, thus not reliable.	0	
There is little information and data in the cost estimation, and some costs seem unreliable.	1-4	
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	5-9	
There is enough information and data in the cost estimation, and the costing is appropriate.	10 x 2	

Schedule: Are the schedule of activities reasonable? (see 3-4.Activities)		Points
The schedule of the activities do not exist.	0	
The schedule of the activities exist but are not realistic. Either they are could not be completed within the timeframe of the project, or they are scheduled too long to commit activities.	1-4	
The schedule of the activates that exists seem realistic overall, but some modifications are needed in specific activity schedules.	5-9	
The schedule of the activities are reasonable under the current period set up.	10	

Quality of Works: Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 3-3.Outputs, 3-4.Activities and 3-5.Inputs)		Points
Workforce/Materials/Equipment/Technology required for works are not described.	0	
Workforce/Materials/Equipment/Technology required for works are described, but they do not meet (or do not know whether they meet) the prescribed standards.	1-4	
Workforce/Materials/Equipment/Technology required for the works are described, but there are some materials that need to be reconsidered since they do not meet the prescribed standards.	5-9	
Workforce/Materials/Equipment/Technology required for the works are clearly described, and they all meet their prescribed standards.	10	

4. Impact

Social and Environmental negative impact: Would the Social and Environmental negative impact be serious? (see 7.Social Impact and 8.Environmental Impact)		Points
Very serious.	0	
Serious.	1-4	
A little serious.	5-9	
No serious.	10	

Action taken for social and environmental issues: Is there any action plan for social and environment issues? (see 7.Social Impact and 8.Environmental Impact)		Points
No analysis or studies are done.	0	
Some social and environmental issues are raised but no countermeasures are planned.	1-4	
Social and environmental analysis are done and action plans counter measuring the social and environmental issues are raised, but not fully included in the activities of the project.	5-9	
Social and environmental analysis are done and action plans counter measuring social and environmental issues are included as activities of the project.	10	

5. Sustainability

Financial sustainability: Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 9-1.Operations and Maintenance Plan, 9-3.Financial Sustainability and attached O&M Plan)		Points
Financial sustainability after completion is not considered.	0	
Financial sustainability is considered but cost that should be born for operations and maintenance is not realistic.	1-4	
Financial sustainability is considered but unsure that the sources for operation and maintenance cost can be constantly assured.	5-9	
There is an Operation and Maintenance Plan stating the plan for cost and benefit, which is realistic.	10	

Technical sustainability: Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 9-1.Operation and Maintenance Plan and attached O&M Plan)		Points
Sustainability for technical and material aspects are not considered.	0	
Technical and material aspects during its operation and maintenance is considered, but these aspects include unrealistic technical and/r material requirements that cannot be followed.	1-4	
Technical and material aspects during its operation and maintenance is considered, but there are some aspects that need more clarity or training to personnel in charge of operation and maintenance.	5-9	
There is an Operation and Maintenance Plan stating specific technical and material requirements, including possibility of training for operation and maintenance.	10	

Sustainability of Organization: Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 9-1.Operationsa and Maintenance Plan, 9-2.Orginzational Sustainability and attached O&M Plan)		Points
Responsible organization/group for operation and maintenance is not decided.	0	
Responsible organization/group for operation and maintenance is considered but have not been accepted by the party(ies).	1-4	
Responsible organization/group for operation and maintenance is decided, but their specific roles and duties need more clarifications.	5-9	
There is an Operation and Maintenance Plan stating specific organization and group who are responsible, including their specific roles and duties. Details are fully accepted from the responsible organization and group.	10	

Total Scores and Final Rating (in time of the final assessment before implementation)	Rating
Over 205 points	A
169 to 204 points	B
133 to 168 points	C
Under 132 points	D
Has score of 0-4 in any of the criterions above.	F

Rating Standards for SPAS I-6 (for Kum-ban Development Projects)

1. Relevance

Consistency 1: Is the Project Purpose set up clearly and appropriately? (see 1.Basic Information, 2.Background, 3-2.Project Purpose)		Points
The Project Purpose is unknown.	0	
The Project Purpose, is set up but unclear.	1-4	
The Project Purpose is set up but it is difficult to achieve by the end of the project.	5-9	
A reasonable Project Purpose is set up clearly and appropriately.	10	

Consistency 2: Do the indicators correctly interpret the Project Purpose? (see relations between 3-2(1)summary and 3-2(2)indicators)		Points
No indicators to reflect the Project Purpose are mentioned, nor set up.	0	
Some indicators are set up but does not reflect the achievement of the Project Purpose.	1-4	
Some indicators that reflect the Project Purpose, but not enough to reflect the full requirement for the Project Purpose.	5-9	
All indicators correctly interpret the Project Purpose. It is clear that with the achievement of indicators, it is known that the project has achieved its purpose.	10	

Consistency 3: Is the Overall Goal consistent with the Project Purpose? (see relations between 3-1.Overall Goal and 3-2.Project Purpose)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with the Project Purpose. The relations between achievement of the Project Purpose, and its contribution toward the Overall Goal cannot be identified.	1-4	
Overall Goal is set up and has some consistency with the Project Purpose, but requires clearer logical and indicative relationship. It is not clear whether the achievement of the Project Purpose would contribute to the achievement of the Overall Goal in the future.	5-9	
The Project Purpose is consistent with the Overall Goal. The logical relationship is clear. It is obvious that with the achievement of the Project Purpose, there would be clear contribution to the effectiveness in the Overall Goal.	10	

Consistency 4: Is the Overall Goal consistent with the needs of the Kum-ban and District development Strategy? (see 2.Background and 3-1.Overall Goal)		Points
Overall Goal is not set up.	0	
Overall Goal is set up but does not have relationship with any development plans that the nation, region and sector has set up. The relations between achievement of the Overall Goal, and its contribution toward the national, regional and sector development plans cannot be identified.	(1-4) x 2	
Overall Goal is set and has some consistency with the NSEDP, Provincial and Sector SEDP, or other related development plans. However, it requires clearer logical and indicative relationship. It is not clear whether the achievement of the Overall Goal would contribute to the NSEDP, Provincial and Sector SEDP targets.	(5-9) x 2	
Overall Goal is consistent with the NSEDP, Provincial and Sector SEDP. Logical relationship is clear. It is obvious that with the achievement of the Overall Goal, there would be clear contribution to the NSEDP, Provincial and Sector SEDP targets.	10 x 2	

Beneficiaries 1: Are the beneficiaries specifically identified for both Project Purpose and Overall Goal? (see relations between 3-1(1),(2) and 3-2(1),(3))		Points
Beneficiaries are not identified.	0	
Beneficiaries are identified but have no direct relations with the Project.	(1-4) x 2	
Beneficiaries are identified but not specified into indicators.	(5-6) x 2	
Present and future beneficiaries are identified in figures and maps but the information source is uncertain.	(7-9) x 2	
Present and future beneficiaries are identified both location and size by certain figures and maps.	10 x 2	

Beneficiaries 2: Does the Project Purpose match for the beneficiaries' needs? (see relations between 3-2(1),(2) and (3))		Points
The project does not meet the needs of the beneficiaries.	0	
The project has very little contribution to meeting the needs of the beneficiaries.	(1-4) x 2	
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	(5-9) x 2	
The project meets the needs of the beneficiaries that are defined.	10 x 2	

Appropriateness of project site selection: Is the project site selected appropriately in strategic aspects? (see 1.Basic Information, 2.Background and 3-2.Project Purpose)		Points
The project site was selected randomly without strategic plans.	0	
The project site was selected randomly but seem to match strategic aspects, although the other aspect needs further	(1-4) x 2	
The project site seem to match strategic aspects, although needs further validation to ensure that the project site is appropriate.	5 x 2	
The project site matches strategic aspects, although no other candidate sites were considered and compared at all.	6 x 2	
The project site matches strategic aspects, although needs further detailed confirmation on whether the selected site has priority as compared to other candidate sites.	(7-9) x 2	
The project site is selected appropriately in strategic aspects, and can confirm that the site is appropriate as compared to other candidate sites.	10 x 2	

2. Feasibility of Effectiveness

Project Purpose and Outputs 1: Are the Outputs adequately set up to realize the Project Purpose? (see relations between 3-2.Project Purpose and 3-3.Outputs)		Points
Outputs have no adequacy to the Project Purpose.	0	
Some important outputs are missed out. Even if all the Outputs are achieved, the Project Purpose will not be achieved.	(1-4) x 5	
Outputs seem to be adequate for Project Purpose achievement. However, the Project Purpose may not be achieved if there are changes in small conditions related to the Outputs.	(5-9) x 5	
Outputs are adequate for Project Purpose achievement. The Project Purpose will be achieved, even if small conditions are changed.	10 x 5 = 50	

3. Feasibility of Efficiency

Cost: Is the cost estimation of the project appropriate and reliable? (see 1-7.Cost Estimation, 3-5.Inputs, 4.5.Cost Estimation Breakdown)		Points
There is lack of information and data in the cost estimation, thus not reliable.	0	
There is little information and data in the cost estimation, and some costs seem unreliable.	1-4	
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	5-9	
There is enough information and data in the cost estimation, and the costing is appropriate.	10 x 2	

Schedule: Are the schedule of activities reasonable? (see 3-4.Activities)		Points
The schedule of the activities do not exist.	0	
The schedule of the activities exist but are not realistic. Either they are could not be completed within the timeframe of the project, or they are scheduled too long to commit activities.	1-4	
The schedule of the activates that exists seem realistic overall, but some modifications are needed in specific activity schedules.	5-9	
The schedule of the activities are reasonable under the current period set up.	10	

Quality of Works: Are the workforce/materials/equipment/technology required for the works enough to realize the project Outputs? (see 3-3.Outputs, 3-4.Activities and 3-5.Inputs)		Points
Workforce/Materials/Equipment/Technology required for works are not described.	0	
Workforce/Materials/Equipment/Technology required for works are described, but they do not meet (or do not know whether they meet) the prescribed standards.	1-4	
Workforce/Materials/Equipment/Technology required for the works are described, but there are some materials that need to be reconsidered since they do not meet the prescribed standards.	5-9	
Workforce/Materials/Equipment/Technology required for the works are clearly described, and they all meet their prescribed standards.	10	

4. Impact

Social and Environmental negative impact: Would the Social and Environmental negative impact be serious? (see 7.Social Impact and 8.Environmental Impact)		Points
Very serious.	0	
Serious.	1-4	
A little serious.	5-9	
No serious.	10	

Action taken for social and environmental issues: Is there any action plan for social and environment issues? (see 7.Social Impact and 8.Environmental Impact)		Points
No analysis or studies are done.	0	
Some social and environmental issues are raised but no countermeasures are planned.	1-4	
Social and environmental analysis are done and action plans counter measuring the social and environmental issues are raised, but not fully included in the activities of the project.	5-9	
Social and environmental analysis are done and action plans counter measuring social and environmental issues are included as activities of the project.	10	

5. Sustainability

Financial sustainability: Is there any Operations and Maintenance plan clearly stating its plan for financial sustainability? (see 9-1.Operations and Maintenance Plan, 9-3.Financial Sustainability and attached O&M Plan)		Points
Financial sustainability after completion is not considered.	0	
Financial sustainability is considered but cost that should be born for operations and maintenance is not realistic.	1-4	
Financial sustainability is considered but unsure that the sources for operation and maintenance cost can be constantly assured.	5-9	
There is an Operation and Maintenance Plan stating the plan for cost and benefit, which is realistic.	10	

Technical sustainability: Is there any Operations and Maintenance plan clearly stating its plan for technical and material sustainability? (see 9-1.Operation and Maintenance Plan and attached O&M Plan)		Points
Sustainability for technical and material aspects are not considered.	0	
Technical and material aspects during its operation and maintenance is considered, but these aspects include unrealistic technical and/r material requirements that cannot be followed.	1-4	
Technical and material aspects during its operation and maintenance is considered, but there are some aspects that need more clarity or training to personnel in charge of operation and maintenance.	5-9	
There is an Operation and Maintenance Plan stating specific technical and material requirements, including possibility of training for operation and maintenance.	10	

Sustainability of Organization: Is there any Operations and Maintenance plan clearly stating its responsible organizations and/or groups? (see 9-1.Operationsa and Maintenance Plan, 9-2.Orginzational Sustainability and attached O&M Plan)		Points
Responsible organization/group for operation and maintenance is not decided.	0	
Responsible organization/group for operation and maintenance is considered but have not been accepted by the party(ies).	1-4	
Responsible organization/group for operation and maintenance is decided, but their specific roles and duties need more clarifications.	5-9	
There is an Operation and Maintenance Plan stating specific organization and group who are responsible, including their specific roles and duties. Details are fully accepted from the responsible organization and group.	10	

Total Scores and Final Rating (in time of the final assessment before implementation)	Rating
Over 205 points	A
169 to 204 points	B
133 to 168 points	C
Under 132 points	D
Has score of 0-4 in any of the criterions above.	F



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Simplified Project Assessment Sheet (SPAS)
Format II-1 for ONGOING Technical Promotion Projects

Project Name:

Project Code:

Date of Assessment:

Name of the Assessor

Category	Questions	Comments	Points
1. Relevance			
Consistency	Is the project overall goal relevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		
Beneficiaries	Is the project STILL consistent with the beneficiaries' needs ? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		
2. Effectiveness			
Current Status	Have the Outputs been achieved as planned so far? (see I-4.Expected Duration, 2.Progress of the Project, 3-3.Outputs and 3-4.Activities)		
Expectations	Are the Outputs of the project likely to be achieved or not? (see 1-3.Requested Total Budget, 2.Progress of the Project, 3-3.Outputs and 3-4.Activities; expecially on its changes)		
3. Efficiency			
Cost	Has the total cost been changed from the initially planned cost? (If changed, what is the revised amount, and what are the reasons?) (see 1-3.Requested Total Budget, 4.Cost Estimation Breakdown, 5.PIP Budget Results)		
Schedule	Is the construction done as scheduled in the plan? (see 1-4.Expected Duration, 2.Porgess, 3-4.Activities)		
Quality of Works	Are the workforce/materials/ equipment/technology required for the works enough to realize the project Outputs? (see 2.Progress, 3-3.Outputs, 3-4.Activities)		
4. (Negative) Impact			
5. Sustainability			

Total Points

Rating

Recommendation to the project

Rating Standards for SPAS II-1; Ongoing Technical Promotion Projects

1. Relevance

Consistency: Is the project overall goal relevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		Points
No relevance at all.	0	
Some relevance but not direct. Used to be relevant but not any more, due to changes in policies and development plans.	1-4	
The project is relevant to the latest development plans, but not specific (indicative).	5-9	
The project is relevant and specific (expressed in indicators) to the latest development plans.	10	

Beneficiaries: Is the project STILL consistent with the beneficiaries' needs ? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		Points
The project no longer is consistent with the beneficiaries' needs.	0	
The project now has very little consistency with the beneficiaries' needs.	1-4	
The project is still consistent with the beneficiaries' needs, but requires come reconsideration on their current needs.	5-9	
The project remains highly consistent with the beneficiaries' needs.	10	

2. Effectiveness

Current Status: Have the Outputs been achieved as planned so far? (see I-4.Expected Duration, 2.Progress of the Project, 3-3.Outputs and 3-4.Activities)		Points
Implementation has not started, or no plans to start, although the project itself is approved.	0	
Achievement (or expected achievement) of Outputs within this financial year is below 30% of original plan.	1-4	
Achievement (or expected achievement) of Outputs within this financial year is 30%-50% of original plan.	5-6	
Achievement (or expected achievement) of Outputs within this financial year is 50%-70% of original plan.	7-9	
Achievement (or expected achievement) of Outputs within this financial year is over 100% of original plan.	10	

Expectations: Are the Outputs of the project likely to be achieved or not? (see 1-3.Requested Total Budget, 2.Progress of the Project, 3-3.Outputs and 3-4.Activities; expecially on its changes)		Points
By the end of the project, achievement of Outputs are feared to be suspended, and could not complete as originally planned.	0	
By the end of the project, accomplishment of Outputs can be expected only with major changes (over 2 years extension and/or over 120% of original budget plan).	1-4	
By the end of the project, accomplishment of Outputs can be expected only with some changes (1 year extension and/or 105-120% of original budget plan).	5-6	
By the end of the project, accomplishment of Outputs can be expected with minor changes (no extension and under 105% of original budget plan).	7-9	
By the end of the project, accomplishment of Outputs can be expected without changes	10	

3. Efficiency

Cost: Has the total cost been changed from the initially planned cost? (If changed, what is the revised amount, and what are the reasons?) (see 1-3.Requested Total Budget, 4.Cost Estimation Breakdown, 5.PIP Budget Results)		Points
The total cost seem to have been increased from its original plan, and there is neither a revised plan of cost nor schedule.	0	
The total cost has been changed (increased) from its original planned cost, but specific cost estimation and its scheduling are not prepared.	1-4	
The total cost has been changed (increased) from its original planned cost, and the revised cost estimation and its expenditure schedule are prepared.	5-9	
The actual cost has been so far spent as planned, and there are no changes in the estimated cost for the rest of the period.	10	

Schedule: Is the construction done as scheduled in the plan? (see 1-4.Expected Duration, 2.Prorgess, 3-4.Activities)		Points
Project implementation has not started, or is not expected to start although approved.	0	
Actual implementation of the project until this year is under 30% of the original planned schedule.	1-4	
Actual implementation of the project until this year covers 31-50% of the original planned schedule.	5-6	
Actual implementation of the project until this year covers 51-70% of the original planned schedule.	7-9	
Actual implementation of the project until this year is on schedule.	10	

Quality of Works: Are the workforce/materials/ equipment/technology required for the works enough to realize the project Outputs? (see 2.Progress, 3-3.Outputs, 3-4.Activities)		Points
Works are fundamentally below national standards. Need reconsideration of rebuilding the project.	0	
Many quality factors are below national standards. Need major re-work and changes.	1-4	
Many quality factors fulfill national standards. However, some needs major consideration and changes.	5-6	
Most quality factors fulfill national standards. However, some needs minor changes.	7-9	
All works fulfill national standards.	10	

4. Impact

5. Sustainability

Total Scores and Final Rating	Rating
Over 61 points	A
50 points to 60 points	B
40 points to 49 points	C
Under 39 points	D
Has score of 0 - 4 in any of the criterions above.	F



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Simplified Project Assessment Sheet (SPAS)
Format II-2 for ONGOING Feasibility Studies and/or Basic/Detailed Design

Project Name:

Project Code:

Date of Assessment:

Name of the Assessor

Category	Questions	Comments	Points
1. Relevance of Project			
Consistency	Is the Overall Goal of the <i>project in study</i> relevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 2.Progress of the Study/Design)		10
Beneficiaries	Is the <i>project in study</i> STILL consistent with the beneficiaries' needs ? (see 2.Progress of the Study/Design)		10
2. Effectiveness of Project			
3. Efficiency of Project			
4. (Negative) Impact of Project			
5. Sustainability of Project			
Total Points for Project			

6 F/S & Designing			
Relevance of F/S & Designing	Is the Feasibility Study & Designing plan still consistent with the project? (see 2.Progress of the Study/Design)		
Cost of F/S & Designing	Is the cost estimation of the F/S & Designing plan continues to be appropriate? (see 1-4.Total Budget,3.Cost Estimation Breakdown)		
Schedule of F/S & Designing	Is the schedule of the F/S Designing continues to be appropriate? (see 2-2.Framework Progress)		
Quality of Works for F/S & Designing	Are the workforce/materials/equipment/technology required for F/S & Designing still enough? (see 2.Progress of the Study/Design)		
Total Points for F/S and Designing			
Rating			

Recommendation to the project

Rating Standards for SPAS II-2; Ongoing Feasibility Study and/or Basic/Detailed Design

1. Relevance

Consistency: Is the Overall Goal of the project in studyrelevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 2.Progress of the Study/Design)		Points
No relevance at all.	0	
Some relevance but not direct. Used to be relevant but not any more, due to changes in policies and development plans.	1-4	
The project is relevant to the latest development plans, but not specific (indicative).	5-9	
The project is relevant and specific (expressed in indicators) to the latest development plans.	10	

Beneficiaries: Is the project in study STILL consistent with the beneficiaries' needs ? (see 2.Progress of the Study/Design)		Points
The project no longer is consistent with the beneficiaries' needs.	0	
The project now has very little consistency with the beneficiaries' needs.	1-4	
The project is still consistent with the beneficiaries' needs, but requires come reconsideration on their current needs.	5-9	
The project remains highly consistent with the beneficiaries' needs.	10	

2. Effectiveness

3. Efficiency

4. Impact

5. Sustainability

6. F/S and Designing

Relevance of F/S & Designing: Is the Feasibility Study & Designing plan still consistent with the project? (see 2.Progress of the Study/Design)		Points
There are no specific plans of study or designing process.	0	
There is a study and designing plan but is no longer consistent with the project.	1-4	
There is a study and designing plan, but needs further improvement to find out the specific information required in prior to start a project.	5-9	
There is a study and designing plan that is liable to find out the specific information required in prior to start a project.	10	

Cost of F/S & Designing: Is the cost estimation of the F/S & Designing plan continues to be appropriate? (see 1-4.Total Budget,3.Cost Estimation Breakdown)		Points
There is lack of information and data in the cost estimation, thus not reliable.	0	
There is little information and data in the cost estimation, but some costs have become unreliable.	1-4	
There is information and data in the cost estimation, but there are still some clarifications needed in some of the costs.	5-9	
There is enough information and data in the cost estimation, and the costing is appropriate.	10	

Schedule of F/S & Designing: Is the schedule of the F/S Designing continues to be appropriate? (see 2-2.Framework Progress)		Points
The schedule of F/S & Designing does not exist.	0	
The schedule of F/S & Designing exist but are no longer realistic. Either they are could not be completed within the timeframe, or they are scheduled too long to commit.	1-4	
The schedule of F/S & Designing seem realistic overall, but some modifications are still needed in specific schedule.	5-9	
The schedule of F/S & Designing are reasonable under the current period set up.	10	

Quality of Works for F/S & Designing: Are the workforce/materials/equipment/technology required for F/S & Designing still enough? (see 2.Progress of the Study/Design)		Points
Workforce/Materials/Equipment/Technology required for F/S & Designing are not described.	0	
Workforce/Materials/Equipment/Technology required for F/S & Designing are described, but they no longer meet (or do not know whether they meet) the prescribed standards.	1-4	
Workforce/Materials/Equipment/Technology required for F/S & Designing are described, but there are some items that still need to be reconsidered since they do not meet the prescribed standards.	5-9	
Workforce/Materials/Equipment/Technology required for F/S & Designing are clearly described, and they all meet their prescribed standards.	10	

Total Scores and Final Rating	Rating
Over 18 for Project, and over 35 for F/S & Designing.	A
Over 15 for Project, and over 29 for F/S & Designing.	B
Over 12 for Project, and Over 23 for F/S & Designing.	C
Under 11 for Project	D
Has score of 0 - 4 in any of criterion above.	F



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Simplified Project Assessment Sheet (SPAS)
Format II-3 for ONGOING Construction Projects

Project Name:

Project Code:

Date of Assessment:

Name of the Assessor

Category	Questions	Comments	Points
1. Relevance			
Consistency	Is the project overall goal relevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		
Beneficiaries	Is the project STILL consistent with the beneficiaries' needs ? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		
2. Effectiveness			
Current Status	Have the Outputs been achieved as planned so far? (see 1-4.Current Status, 3-3.Outputs, 3-4.Activities, especially on its changes)		
Expectations	Are the Outputs of the project likely to be achieved or not? (see 1-3.Requested total budget, 1-4.Current Status, 3-3.Outputs, 3-4.Activities, especially on its changes)		
3. Efficiency			
Cost	Has the total cost been changed from the initially planned cost? (see 1-3.Total Budget, 4.Breakdown, 5.Budget Results)		
Schedule	Is the construction done as scheduled in the plan? (see 1-4.Current Status, 2.Progress, 3-4.Activities)		
Quality of Works	Are the workforce/materials/ equipment/technology required for the works enough to realize the project Outputs? (see 2.Progress, 3-4.Activities)		
4. (Negative) Impact			
Unexpected Social and Environmental negative impact	Are there any unexpected negative impact caused through the implementation of the project? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		
Action Taken for Environmental and Social Issues.	Has an action been taken for social and environmental issues as originally planned? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		
5. Sustainability			
Operations and Maintenance Plan	Are there any UPDATED Operations and Maintenance Plan? (see 8.Sustainability)		

Total Points

Rating

Recommendation to the project

Rating Standards for SPAS II-3; Ongoing Construction Projects

1. Relevance

Consistency: Is the project overall goal relevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		Points
No relevance at all.	0	
Some relevance but not direct. Used to be relevant but not any more, due to changes in policies and development plans.	1-4	
The project is relevant to the latest development plans, but not specific (indicative).	5-9	
The project is relevant and specific (expressed in indicators) to the latest development plans.	10	

Beneficiaries: Is the project STILL consistent with the beneficiaries' needs ? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		Points
The project no longer is consistent with the beneficiaries' needs.	0	
The project now has very little consistency with the beneficiaries' needs.	1-4	
The project is still consistent with the beneficiaries' needs, but requires come reconsideration on their current needs.	5-9	
The project remains highly consistent with the beneficiaries' needs.	10	

2. Effectiveness

Current Status: Have the Outputs been achieved as planned so far? (see 1-4.Current Status, 3-3.Outputs, 3-4.Activities, especially on its changes)		Points
Implementation has not started or no plans to start as originally planned, although the project itself is approved.	0	
Achievement (or expected achievement) of Outputs within this financial year is below 30% of original plan.	1-4	
Achievement (or expected achievement) of Outputs within this financial year is 30%-50% of original plan.	5-6	
Achievement (or expected achievement) of Outputs within this financial year is 50%-70% of original plan.	7-9	
Achievement (or expected achievement) of Outputs within this financial year is over 100% of original plan.	10	

Expectations: Are the Outputs of the project likely to be achieved or not? (see 1-3.Requested total budget, 1-4.Current Status, 3-3.Outputs, 3-4.Activities, especially on its changes)		Points
Achievement of Outputs are feared to be suspended indefinitely, and could not complete as originally planned.	0	
Acheivement of Outputs can be expected only by exceeding the originally planned budget (whether or not the Outputs would be achieved).	1-4	
Achievement of Outputs can be expected without changes in the budget but the scheduled may be delayed to the following financial year.	5-6	
Achievement of Outputs can be expected without changes in the budget, but the scheduled may be delayed within the financial year.	7-9	
Achievement of Outputs can be expected without changes.	10	

3. Efficiency

Cost: Has the total cost been changed from the initially planned cost? (see 1-3.Total Budget, 4.Breakdown, 5.Budget Results)		Points
The total cost has increased from its original plan, and there is neither a revised plan of cost.	0	
The total cost has been changed (increased) from its original planned cost, but specific cost estimation and its scheduling are not prepared.	1-4	
The total cost has not been changed (increased) from its original planned cost at present, but future cost estimation are not specifically prepared.	5-9	
The actual cost has been so far spent as planned, and there are no changes in the estimated cost for the rest of the period.	10	

Schedule: Is the construction done as scheduled in the plan? (see 1-4.Current Status, 2.Progress, 3-4.Activities)		Points
Project implementation has not started, or is not expected to start this financial year although approved.	0	
Actual implementation of the project until this year is under 30% of the original planned schedule.	1-4	
Actual implementation of the project until this year covers 31-50% of the original planned schedule.	5-6	
Actual implementation of the project until this year covers 51-70% of the original planned schedule.	7-9	
Actual implementation of the project until this year is on schedule.	10	

Quality of Works: Are the workforce/materials/ equipment/technology required for the works enough to realize the project Outputs? (see 2.Progress, 3-4.Activities)		Points
Works are fundamentally below national standards. Need reconsideration of rebuilding the project.	0	
Many quality factors are below national standards. Need major re-work and changes.	1-4	
Many quality factors fulfill national standards. However, some needs major consideration and changes.	5-6	
Most quality factors fulfill national standards. However, some needs minor changes.	7-9	
All works fulfill national standards.	10	

4. Impact

Unexpected Social and Environmental negative impact: Are there any unexpected negative impact caused through the implementation of the project? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		Points
There are negative impacts that need consideration of implementing (or suspending) the project itself.	0	
There are negative impacts that need major changes in project design.	1-4	
There are negative impacts that may need minor changes in project design.	5-6	
There are negative impacts that may cause potential threat to the project if countermeasures are not considered.	7-9	
There are no unexpected negative impacts from project.	10	

Action Taken for Environmental and Social Issues.: Has an action been taken for social and environmental issues as originally planned? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		Points
Action for environmental and social issues are not taken and major disturbance in the project is caused.	0	
Minor disturbance in the project is caused due to limited action for environmental and social issues.	1-3	
Action for environmental and social issues are taken, but delayed and there is potential disturbance in the project.	4-6	
Action for environmental and social issues are taken, but not complete.	7-9	
Complete action for environmental and social issues are taken, preventing any obstacles in the project.	10	

5. Sustainability

Operations and Maintenance Plan: Are there any UPDATED Operations and Maintenance Plan? (see 8.Sustainability)		Points
There are no Operations and Maintenance Plans.	0	
Operation and Maintenance Plans were considered at the beginning of the project, but there are no reports at present.	1-4	
Operation and Maintenance Plans are existent and considerations for update are made. However, further review is necessary before the completion of the project.	5-9	
There is an updated Operation and Maintenance Plan that can be used in time of project completion.	10	

Total Scores and Final Rating	Rating
Over 85 points	A
70 to 84 points	B
55 to 69 points	C
Under 54 points	D
Has score of 0 - 4 in any of the criteria above.	F



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Simplified Project Assessment Sheet (SPAS)
Format II-6 for ONGOING Kum-ban Development Projects

Project Name:

Project Code:

Date of Assessment:

Name of the Assessor

Category	Questions	Comments	Points
1. Relevance			
Consistency	Is the project overall goal relevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		
Beneficiaries	Is the project STILL consistent with the beneficiaries' needs ? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		
2. Effectiveness			
Current Status	Have the Outputs been achieved as planned so far? (see 2.Progress, 3-3.Outputs, 3-4.Activities, especially on its changes)		
Expectations	Are the Outputs of the project likely to be achieved or not? (see 1-4.Expected Duration, 2.Progress, 3-3.Outputs, 3-4.Activities, especially on its changes)		
3. Efficiency			
Cost	Has the total cost been changed from the initially planned cost? (see 1-3.Requested Total Budget, 4.Breakdown, 5.Budget Results)		
Schedule	Is the construction done as scheduled in the plan? (see 1-4.Expected Duration, 2.Progress, 3-4.Activities)		
Quality of Works	Are the workforce/materials/ equipment/technology required for the works enough to realize the project Outputs? (see 2.Progress, 3-4.Activities)		
4. (Negative) Impact			
Unexpected Social and Environmental negative impact	Are there any unexpected negative impact caused through the implementation of the project? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		
Action Taken for Environmental and Social Issues.	Has an action been taken for social and environmental issues as originally planned? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		
5. Sustainability			
Operations and Maintenance Plan	Are there any UPDATED Operations and Maintenance Plan? (see 8.Sustainability)		

Total Points

Rating

Recommendation to the project

Rating Standards for SPAS II-6: Ongoing Kum-ban Development Projects

1. Relevance

Consistency: Is the project overall goal relevant with the LATEST NSEDP and Provincial, Sector SEDP? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		Points
No relevance at all.	0	
Some relevance but not direct. Used to be relevant but not any more, due to changes in policies and development plans.	1-4	
The project is relevant to the latest development plans, but not specific (indicative).	5-9	
The project is relevant and specific (expressed in indicators) to the latest development plans.	10	

Beneficiaries: Is the project STILL consistent with the beneficiaries' needs ? (see 3-1.Overall Goal & 3-2.Project Purpose, especially on its changes)		Points
The project no longer is consistent with the beneficiaries' needs.	0	
The project now has very little consistency with the beneficiaries' needs.	1-4	
The project is still consistent with the beneficiaries' needs, but requires come reconsideration on their current needs.	5-9	
The project remains highly consistent with the beneficiaries' needs.	10	

2. Effectiveness

Current Status: Have the Outputs been achieved as planned so far? (see 2.Progress, 3-3.Outputs, 3-4.Activities, especially on its changes)		Points
Implementation has not started or no plans to start as oiriginally planned, although the project itself is approved.	0	
Achievement (or expected achievement) of Outputs within this financial year is below 30% of original plan.	1-4	
Achievement (or expected achievement) of Outputs within this financial year is 30% -50% of original plan.	5-6	
Achievement (or expected achievement) of Outputs within this financial year is 50% -70% of original plan.	7-9	
Achievement (or expected achievement) of Outputs within this financial year is over 100% of original plan.	10	

Expectations: Are the Outputs of the project likely to be achieved or not? (see 1-4.Expected Duration, 2.Progress, 3-3.Outputs, 3-4.Activities, especially on its changes)		Points
Achievement of Outputs are feared to be suspended indefinitely, and could not complete as originally planned.	0	
Acheivement of Outputs can be expected only by exceeding the originally planned budget (whether or not the Outputs would be achieved).	1-4	
Achievement of Outputs can be expected without changes in the budget but the scheduled may be delayed to the following financial year.	5-6	
Achievement of Outputs can be expected without changes in the budget, but the scheduled may be delayed within the financial year.	7-9	
Achievement of Outputs can be expected without changes.	10	

3. Efficiency

Cost: Has the total cost been changed from the initially planned cost? (see 1-3.Requested Total Budget, 4.Breakdown, 5.Budget Results)		Points
The total cost has increased from its original plan, and there is neither a revised plan of cost.	0	
The total cost has been changed (increased) from its original planned cost, but specific cost estimation and its scheduling are not prepared.	1-4	
The total cost has not been changed (increased) from its original planned cost at present, but future cost estimation are not specifically prepared.	5-9	
The actual cost has been so far spent as planned, and there are no changes in the estimated cost for the rest of the period.	10	

Schedule: Is the construction done as scheduled in the plan? (see 1-4.Expected Duration, 2.Progress, 3-4.Activities)		Points
Project implementation has not started, or is not expected to start this financial year although approved.	0	
Actual implementation of the project until this year is under 30% of the original planned schedule.	1-4	
Actual implementation of the project until this year covers 31-50% of the original planned schedule.	5-6	
Actual implementation of the project until this year covers 51-70% of the original planned schedule.	7-9	
Actual implementation of the project until this year is on schedule.	10	

Quality of Works: Are the workforce/materials/ equipment/technology required for the works enough to realize the project Outputs? (see 2.Progress, 3-4.Activities)		Points
Works are fundamentally below national standards. Need reconsideration of rebuilding the project.	0	
Many quality factors are below national standards. Need major re-work and changes.	1-4	
Many quality factors fulfill national standards. However, some needs major consideration and changes.	5-6	
Most quality factors fulfill national standards. However, some needs minor changes.	7-9	
All works fulfill national standards.	10	

4. Impact

Unexpected Social and Environmental negative impact: Are there any unexpected negative impact caused through the implementation of the project? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		Points
There are negative impacts that need consideration of implementing (or suspending) the project itself.	0	
There are negative impacts that need major changes in project design.	1-4	
There are negative impacts that may need minor changes in project design.	5-6	
There are negative impacts that may cause potential threat to the project if countermeasures are not considered.	7-9	
There are no unexpected negative impacts from project.	10	

Action Taken for Environmental and Social Issues.: Has an action been taken for social and environmental issues as originally planned? (see 2.Progress, 3-1.Overall Goal, 3-2.Project Purpose, especially on its changes)		Points
Action for environmental and social issues are not taken and major disturbance in the project is caused.	0	
Minor disturbance in the project is caused due to limited action for environmental and social issues.	1-3	
Action for environmental and social issues are taken, but delayed and there is potential disturbance in the project.	4-6	
Action for environmental and social issues are taken, but not complete.	7-9	
Complete action for environmental and social issues are taken, preventing any obstacles in the project.	10	

5. Sustainability

Operations and Maintenance Plan: Are there any UPDATED Operations and Maintenance Plan? (see 8.Sustainability)		Points
There are no Operations and Maintenance Plans.	0	
Operation and Maintenance Plans were considered at the beginning of the project, but there are no reports at present.	1-4	
Operation and Maintenance Plans are existent and considerations for update are made. However, further review is necessary before the completion of the project.	5-9	
There is an updated Operation and Maintenance Plan that can be used in time of project completion.	10	

Total Scores and Final Rating	Rating
Over 85 points	A
70 to 84 points	B
55 to 69 points	C
Under 54 points	D
Has score of 0 - 4 in any of the criterion above.	F



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Simplified Project Evaluation Sheet (SPES)
Format III-3 for COMPLETED Construction Projects

Name of the project:
Code of the project:
Date of the assessment:
Name of the officer in charge:

Category	Questions	Comments	Points
1) Relevance			
Consistency	Is the project Overall Goal relevant with the LATEST NSED and Provincial, Sector SEDP?		
	Is the Project Purpose STILL consistent with the Overall Goal?		
Beneficiaries	Does the project STILL match with the beneficiaries' needs ?		
Appropriateness of project site selection	Was the project site selected appropriately in strategic aspects?		
2) Effectiveness			
Project Purpose	Have the Project Purpose been achieved as planned?		
Outputs	Have all the Outputs completed as planned?		
	Have all the Outputs contribute to the Project Purpose?		
3) Efficiency			
Cost	Was the implemented cost according to plans?		
Schedule	Was the implementation schedule same as planned schedule?		
Quality of Works	Were the workforce/materials/equipment/technology enough to accomplish the project Outputs?		
4) Impact			
Social and Environmental negative impact	Have any unexpected social and/or environmental negative impact during implementation of the project occurred?		
	Were adequate social and environmental actions taken during implementation of the project?		
	Are social and/or environmental negative impact during operation been considered and avoidance/mitigation plans existent?		
Positive Impact	Are there any plans to maintain the positive impact, especially toward the Overall Goal after the completion of the project?		
5) Sustainability			
Financial sustainability	Are there any Operations and Maintenance plans clearly stating its plan for financial sustainability?		
Technical sustainability	Are there any Operations and Maintenance plans clearly stating its plan for technical and material sustainability?		
Sustainability of Organization	Are there any Operations and Maintenance plans clearly stating its responsible organizations and/or groups?		

Total Points

Rating -

Recommendations

Rating Standards for SPES III-1 (Completed Construction Projects)

1. Relevance

Consistency 1: Is the project Overall Goal relevant with the LATEST NSEDP and Provincial, Sector SEDP?	
No relevance at all.	0
Some relevance but not direct. Used to be relevant but not any more, due to changes in policies and development plans.	1-2
The project Overall Goal is relevant to the latest development plans, but not specific (indicative).	3-4
The project Overall Goal is relevant and specific (expressed in indicators) to the latest development plans.	5

Consistency 2: Is the Project Purpose STILL consistent with the Overall Goal?	
There is no consistency among the Project Purpose and the Overall Goal at all.	0
Some relevance but not direct. Used to be relevant but not any more, due to changes in overall goal.	1-2
The Project Purpose is relevant to overall goal, but not specific (indicative).	3-4
The Project Purpose is relevant and specific (expressed in indicators) to the Overall Goal.	5

Beneficiaries: Does the project STILL match with the beneficiaries' needs ?	
The project does not meet the needs of the beneficiaries.	0
The project has very little contribution to meeting the needs of the beneficiaries.	1-2
The project has contribution to meeting some needs of the beneficiaries, but needs improvement for fulfillment.	3-4
The project meets the needs of the beneficiaries that are defined.	5

Appropriateness of project site selection: Was the project site selected appropriately in strategic aspects?	
The selected project site was totally different from the needs.	0
The project site roughly meets the needs.	1-2
Project site meets the needs, but needed improvement for fulfillment.	3-4
The project site exactly meets the needs.	5

2. Effectiveness

Project Purpose: Have the Project Purpose been achieved as planned?	
The indicators of project purpose were too unclear to evaluate.	0
The indicators of the project purpose were not even reached half of the planned.	(1-4) x 2
The indicators of the project purpose were achieved approximately.	(5-9) x 2
The indicators of project purpose were achieved perfectly.	10 x 2

Outputs: Have all the Outputs completed as planned?	
None of the Outputs were completed as planned	0
Some outputs were completed as planned.	(1-4) x 2
Major outputs were completed, but some were not completed as planned.	(5-9) x 2
All Outputs were perfectly implemented as planned.	10 x 2

Outputs: Have all the Outputs contribute to the Project Purpose?	
Outputs are too unclear to evaluate the contribution to the Project Purpose.	0
Some Outputs did not contribute to realize the Project Purpose at all.	(1-4) x 2
Most of the Outputs contributed to realize the Project Purpose.	(5-9) x 2
All the Outputs contributed to realize the Project Purpose.	10 x 2

3. Efficiency

Cost: Was the implemented cost according to plans?	
The cost is unclear, and needs major investigation for clarification.	0
The total cost has been increased as compared to the plans, and needs investigation for clarification of reasons.	(1-4) x 2
The costs were according to plans as a total, but contents are unclear and needs investigations.	(1-4) x 2
The total cost has been increased as compared to the plans, but the reasons are clear	5 x 2
The total cost was according to plans, but some of the contents were different.	(6-9) x 2
The costs in total and its contents were according to plans.	10 x 2

Schedule: Was the implementation schedule same as planned schedule?	
The schedule of construction is unclear.	0
The schedule of construction and activities were different from the initial plan or the revised plan.	1-4
The schedule of construction and activities were different from initial plan, but were modified so that the constructions and activities would finish on time.	5-9
The schedule of construction and activities were the same as what was planned.	10

Quality of Works: Were the workforce/materials/equipment/technology enough to accomplish the project Outputs?	
Implemented workforce/materials/equipment/technology were unclear.	0
The quality of workforce/materials/equipment/technology were too low to realize the Outputs.	1-4
Some of the quality of workforce/materials/equipment/technology were not adequate to realize the outputs, but others managed to cover up.	5-9
All of the quality of workforce/materials/equipment/technology were adequate to realize the Outputs.	10

4. Impact

Social and Environmental negative impact 1: Have any unexpected social and/or environmental negative impact during implementation of the project occurred?	
Social and/or environmental negative impact during implementation of the project occurred, causing major damage to the society and/or environment.	0
Some unexpected social and/or environmental negative impact has occurred during implementation of the project, causing minor damage to the society and/or environment.	1-4
Some unexpected social and/or environmental negative impact has been detected during implementation of the project, but there was no damage to the society and/or environment, nor any delay and suspension to the project.	5-9
No unexpected social and/or environmental negative impact has occurred.	10

Social and Environmental negative impact2: Were adequate social and environmental actions taken during implementation of the project?	
Action for environmental and social issues are not taken and major disturbance in the project is caused.	0
Minor disturbance in the project is caused due to limited action for environmental and social issues.	1-4
Action for environmental and social issues are taken, but there is disturbance in the project.	5-9
Complete action for environmental and social issues are taken, preventing any obstacles in the project.	10

Social and Environmental negative impact3: Are social and/or environmental negative impact during operation been considered and avoidance/mitigation plans existent?	
There are no avoidance or mitigation plans to consider social and/or environmental negative impact that may occur during operation.	0
There are some avoidance and mitigation measure that consider social and environmental negative impact that may occur during operation, but they are not enough to avoid negative impacts.	1-4
There are avoidance and mitigation plans that consider social and environmental negative impact that may occur during operation, but some of the avoidance and mitigation measures are not covered, or seems unrealistic.	5-9
There is a complete set of avoidance and mitigation plans that consider social and environmental negative impact that may occur during operation.	10

Positive Impact: Are there any plans to maintain the positive impact, especially toward the Overall Goal after the completion of the project?	
The possibility of maintaining the positive impact toward the Overall Goal would be very low.	0
The possibility of maintaining the positive impact toward the Overall Goal may need more conditions or time.	1-4
The possibility of maintaining the positive impact toward the Overall Goal by the project is relatively high. However, if there are still liabilities that some external conditions change and affect the impact.	5-9
The possibility of maintaining the positive impact toward the Overall Goal by the project is very high. There is a high possibility of its achievement.	10

5. Sustainability

Financial sustainability: Are there any Operations and Maintenance plans clearly stating its plan for financial sustainability?	
There are no plans for financial sustainability.	0
An Operation and Maintenance Plan mentioning financial sustainability is existent, but does not provide specific plans.	(1-4) x 2
An Operation and Maintenance Plan stating financial sustainability is prepared, but there the liability to maintain the financial status is not clear.	(5-9) x 2
An Operation and Maintenance Plan clearly stating financial sustainability, of which is realistic, is prepared.	10 x 2

Technical sustainability: Are there any Operations and Maintenance plans clearly stating its plan for technical and material sustainability?	
There are no plans for sustaining technical aspects.	0
An Operation and Maintenance Plan mentioning sustainability of technical aspects is existent, but does not provide specific approaches of plans.	(1-4) x 2
An Operation and Maintenance Plan stating sustainability of technical aspects is prepared, but the liability to maintain the financial status is not clear.	(5-9) x 2
An Operation and Maintenance Plan clearly stating the sustainability of technical aspects, of which is realistic, is prepared.	10 x 2

Sustainability of Organization: Are there any Operations and Maintenance plans clearly stating its responsible organizations and/or groups?	
Responsible organization/group for operation and maintenance is not decided.	0
Responsible organization/group for operation and maintenance is decided, but there is no specific plans or documents stating their roles and responsibilities.	(1-4) x 2
An Operation and Maintenance Plan or document stating the responsible organization/group is prepared, but specific roles and duties are not stated clearly.	(5-9) x 2
There is an Operation and Maintenance Plan stating specific organization/group responsible, including their specific roles and duties. Details are fully accepted from the responsible organization and group.	10 x 2

Total Scores and Final Rating	Rating
Over 188 points	A
155 to 187 points	B
122 to 154 points	C
Under 121 points	D
Has score of 0-4 in any of the criterion above.	F



Lao People Democratic Republic
Peace Independence Democracy Unity and Prosperity

Simplified Project Information Sheet (SPIS)

(FOR APPLICATION OF ODA NATIONAL CONTRIBUTION BUDGET)

Sheet A: required information on background of the Project
To be prepared by Project Owner (PO) Department/Ministry and
to be submitted to;

- 1) International Cooperation Division of Provincial Department of Planning Investment, in case the PO is a Provincial Department and**
2) Planning Department of the ministry, in case the PO is a line ministry
not later than DD/MM/ 2010
under responsibility of the National Project Director

This SPIS is ordered as essential condition for disbursement of ODA national contribution budget under execution of
Decree N. 75/ PM, dated on March 20, 2009 on the Management and Usage of the ODA
Based on the agreement of the government meeting on the 17-18, December 2008 and the consensus of the meeting members
on the meeting between MoFA and MPI on February 16, 2009

Based on the requested letters of MPI N. 0407/PI dated February 24, 2008 and the letter of management and administration
organization N. 23/MAO dated February 24, 2008.

- (1) Project Name
English

Lao

- (2) Sector

- ☐ 1) Energy ☐ 2) Social Development ☐ 3) Area & rural development ☐ 4) Transport ☐ 5) Health
☐ 6) Agriculture (incl. Irrigation) & Forestry ☐ 7) Education & HRD ☐ 8) Development Administration ☐ 9) Natural Resources
☐ 10) Economic Management ☐ 11) Disaster Preparedness ☐ 12) Domestic Trade

- (3) Project ID

- (4) Belonging Priority Programme Name
English

Lao

- (5) Implementing Agency (Project Owner Agency) of GoL
English

Lao

- (6) Supervising Department/Ministry
English

Lao

- (7) Development Partners (in case of co-finance, write all partners)

English /Lao

English /Lao

1.	6.
2.	7.
3.	8.
4.	9.
5.	10.

- (8) Type of cooperation

- ☐ 1) Technical Assistance (TA) ☐ 2) Grant aid ☐ 3) Loan

(9) Signed Date on Agreement (DD/MM/YY)

(10) Starting date (DD/MM/YY)

(11) Ending date (DD/MM/YY)

(12) Present Status

☐ 1) New ☐ 2) On going ☐ 3) Closed (Debt), Closed Date (DD/MM/YY)

(13) Project Location

1) Name of targeted Provinces on this Project (Write all the targeted Provinces)

English/Lao	English/Lao
1.	6.
2.	7.
3.	8.
4.	9.
5.	10.

2) Name of targeted Districts in this Province (Write target Districts of the Project Owner who applies this SPIS/ or in this Province only)

English/Lao	English/Lao
1.	6.
2.	7.
3.	8.
4.	9.
5.	10.

(14) Address of Project Unit members

Role	Name (English/Lao)	Position (English/Lao)	Telephone/Fax	Mobile	E-mail
Person in charge of Supervising Ministry (only in case the PO is a provincial line department)					
National Project Director					
National Project Manager					
Person in charge of Project Owner					
Project consultants					

(15) Project Purpose (See the Project Document)

English/Lao

1	
2	
3	
4	
5	

(16) Project Components (See the Project Document)

English/Lao	English/Lao
1	6
2	7
3	8
4	9.
5.	10.

Simplified Project Information Sheet (SPIS)

Sheet B: required data on proposed ODA national contribution budget

To be prepared by Project Owner (PO) Department/Ministry and
to be submitted to;

1) International Cooperation Division of Provincial Department of Planning Investment, in case the PO is a Provincial Department and

2) Planning Department of the ministry, in case the PO is a line ministry

not later than DD/MM/ 2010

under responsibility of the National Project Director

(1) Original disbursement Plan (Original Plan)

Type of payment	Currency Unit	Fiscal year 1 ()			Fiscal year 2 ()			Fiscal year 3 ()			Fiscal year 4 ()			Fiscal year 5 ()			Total Amount		
		NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total
In Cash	()																		
In Kind	()																		
Total	()																		
Adopted exchange rate		1 US\$ = Kip																	
		Other currency: 1() = Kip (Date of the rate:)																	
		Other currency: 1() = Kip (Date of the rate:)																	
Full name of documents used for data source																			
		NCB: National contribution budget by GoL ODA: ODA fund by Donors									In Cash: Amount to be paid by currency In Kind: Amount to be paid by other measures, e.g. labor and Tax Exemption								

(2) Revised disbursement plan (based on actual disbursement)

		Revised date as of (dd/mm/yy) (/ /)																	
Type of payment	Currency Unit	Fiscal year 1 ()			Fiscal year 2 ()			Fiscal year 3 ()			Fiscal year 4 ()			Fiscal year 5 ()			Fiscal year 6 ()		
		NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total
In Cash	()																		
In Kind	()																		
Total	()																		
Adopted exchange rate		1 US\$ = Kip																	
		Other currency: 1() = Kip (Date of the rate:)																	
		Other currency: 1() = Kip (Date of the rate:)																	
Full name of documents used for data source																			
		NCB: National contribution budget by GoL ODA: ODA fund by Donors									In Cash: Amount to be paid by currency In Kind: Amount to be paid by other measures, e.g. labor and Tax Exemption								

Type of payment	Currency Unit	Fiscal year 7 ()			Fiscal year 8 ()			Fiscal year 9 ()			Fiscal year 10 ()			Fiscal year 11 ()			Total amount		
		NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total	NCB	ODA	Total
In Cash	()																		
In Kind	()																		
Total	()																		
Adopted exchange rate		1 US\$ = Kip																	
		Other currency: 1() = Kip (Date of the rate:)																	
		Other currency: 1() = Kip (Date of the rate:)																	
Full name of documents used for data source																			
		NCB: National contribution budget by GoL ODA: ODA fund by Donors									In Cash: Amount to be paid by currency In Kind: Amount to be paid by other measures, e.g. labor and Tax Exemption								

(3) Details of Total Amount of ODA Fund by Donor for all the years in Revised Disbursement Plan

No.	Name of Donor	Unit of Currency	Total Amount ODA				
			Grant	Technical Assistance	Loan	Others	Total ODA
1							
2							
3							
4							
5							
Total							

(4) Annual disbursement plan of This Year and Next Year by components, in Revised Disbursement Plan

No.	List of Components/Activities	Amount already requested for This Year ()		Amount to be requested for Next Year ()	
		National Contribution Budget (NCB) by GoL Currency Unit (Kip)	ODA Fund (ODA) by donors Currency Unit ()	National Contribution Budget (NCB) by GoL Currency Unit (Kip)	ODA Fund (ODA) by donors Currency Unit ()
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
Total					
Converted amount into Kip Exchange rate: 1 ()=()Kip (Date of the rate:)					

↑ National Contribution Budget (NCB) requested for Next Year

(5). Check by Key Persons

	Comments	Signature	Signed Date (DD/MM/YY)
Person in charge of Supervising Ministr (only in case the PO is a provincial line department)			
National Project Director			
National Project Manager			
Person in charge of Project Owner, who writes this SPIS			
Project Consultant/Advisor			

Comparative Assessment (CompAss) Chart

[illegible]